

“New Frontiers of Strongly Correlated Electron Materials” workshop

KITS Beijing

Rejuvenated Understanding of Cuprate High- T_c Superconductors

Univ. Tokyo Masa Imada

Collaborators:

**Shiro Sakai, Marcello Civelli, Kota Ido, Takahiro Ohgoe,
KITS, Beijing, Takahiro Misawa, Hui-Hai Zhao, Satoshi Morita, Andrew
Darmawan, Youhei Yamaji, Yusuke Nomura, Atsushi Fujimori,
Teppei Yoshida**



Open post doctoral fellow position

**computational approach to
strongly correlated electron systems**

contact

imada@ap.t.u-tokyo.ac.jp

Outline

1. Competing Orders

Stripes and *d*-wave superconductivity in Hubbard studied by the state of the art numerical methods

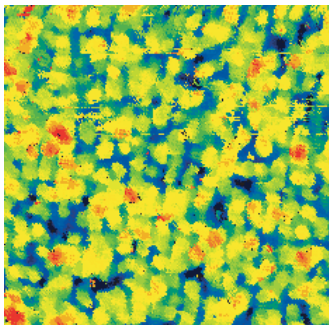
2. *ab initio* Hamiltonian for cuprate superconductors (HgBa₂CuO₄) and its solution

3. New concept & mechanism emerging from numerics

Emergent fermions; dark fermion theory
cDMFT and machine learning of ARPES

4. Summary and outlook

Charge inhomogeneity vs. superconductivity



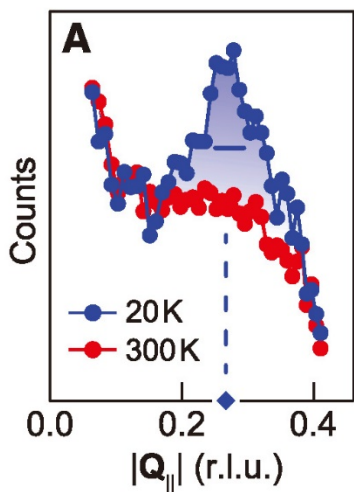
Lee *et al.* Nature
442, 546 (2006)

patch structure,
stripes

Tranquada(1995),

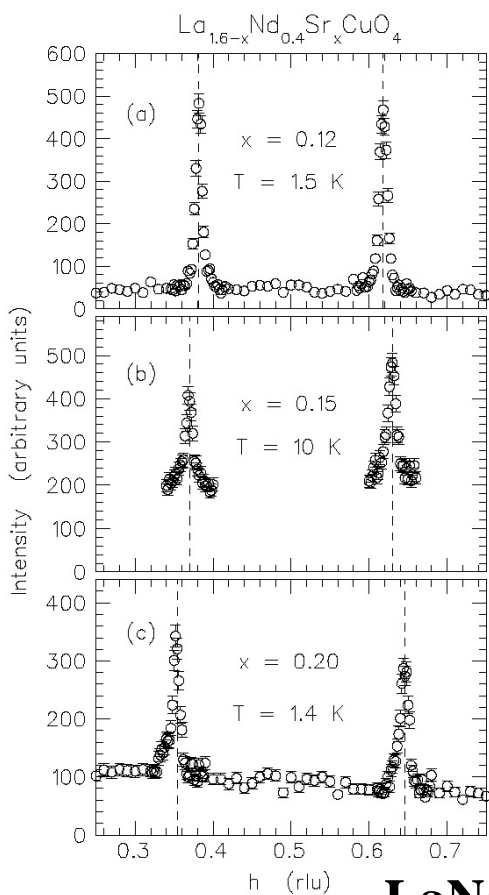
.....

REXS - UD15K



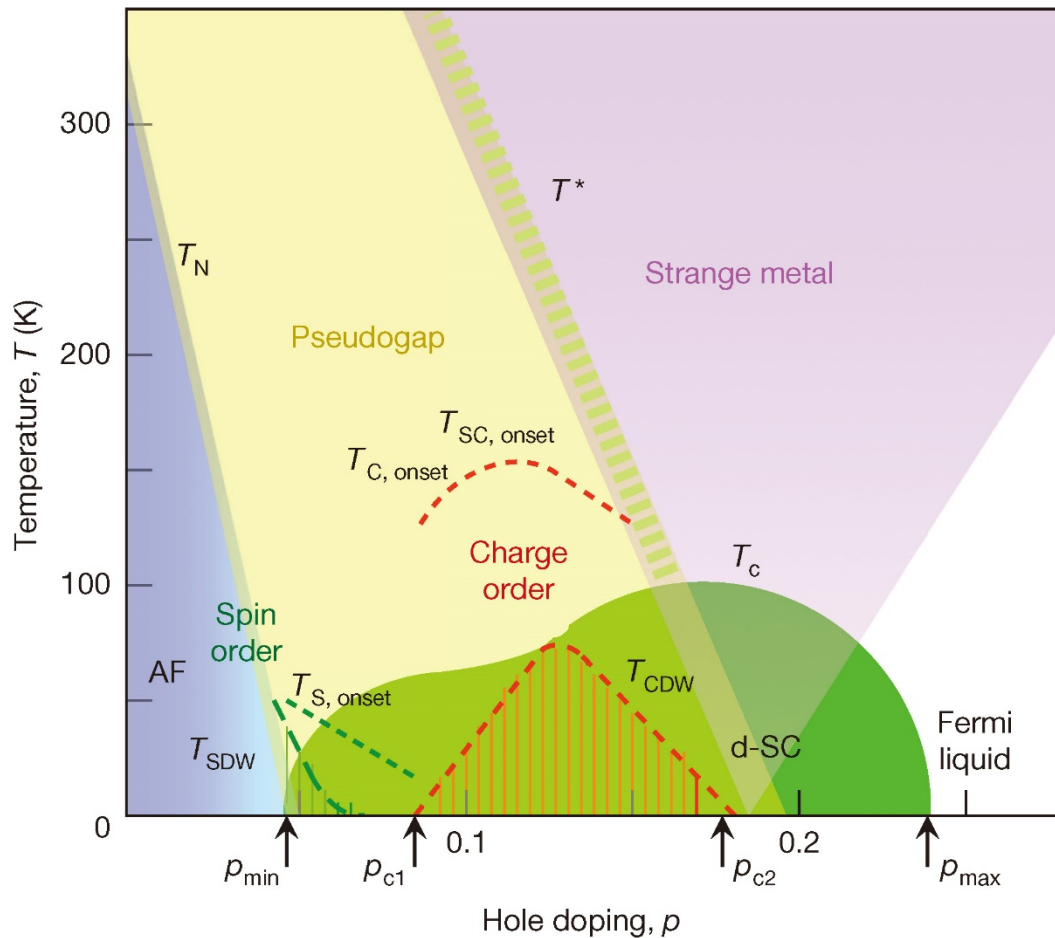
Bi2201

Comin *et al.* 2014



LaNdSrCuO

Tranquada *et al.* 1996



Keimer *et al.* Nature 2015

M. IMADA

$$|\psi\rangle = \mathcal{L}_L \mathcal{P}_J \mathcal{P}_{\text{d-h}}^{\text{ex.}} \mathcal{P}_G \mathcal{L}^{S=0} |\phi_{\text{pair}}\rangle$$

$$|\Phi_{\text{pair}}\rangle = \left[\sum_{ij\sigma\tau} f_{ij\sigma\tau} c_{i\sigma}^\dagger c_{j\tau}^\dagger \right]^{N/2} |0\rangle$$

f_{ij} : pair-dependent variational parameter

optimization of 1000-10000 variables to overcome bias

Represent strong entanglement in the real space representation

Various Correlation Factors and Quantum Number Projection

Tahara, MI
JPSJ 77 (2008) 114701

$$|\psi\rangle = \mathcal{L}_L \mathcal{P}_J \mathcal{P}_{\text{d-h}}^{\text{ex.}} \mathcal{P}_G \mathcal{L}^{S=0} |\phi_{\text{pair}}\rangle$$

Gutzwiller factor $\mathcal{P}_G = \exp \left[-g \sum_i n_{i\uparrow} n_{i\downarrow} \right]$

doublon-holon correlation factor
Yokoyama *et al.*

$$\mathcal{P}_{\text{d-h}}^{\text{ex.}} = \exp \left[- \sum_{\substack{m=0 \\ \text{n.n.}}}^2 \sum_{\ell=1,2} \alpha_{(m)}^{(\ell)} \sum_i \xi_{i(m)}^{(\ell)} \right]$$

$$\xi_{i(0)}^{(1)} = d_i \prod_{\tau} (1 - h_{i+\tau}) + h_i \prod_{\tau} (1 - d_{i+\tau})$$

long-ranged Jastrow factor
Sorella *et al.*
Giamarchi *et al.*

$$\mathcal{P}_J = \exp \left[-\frac{1}{2} \sum_{i \neq j} v_{ij} n_i n_j \right]$$

quantum number projection

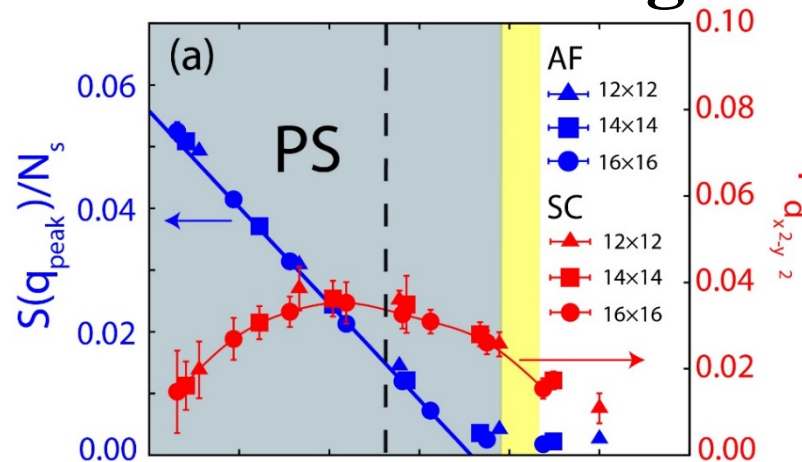
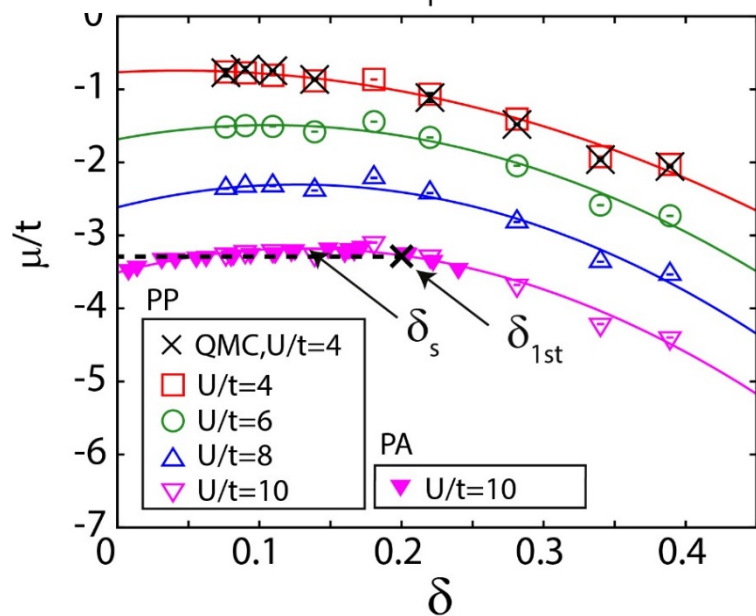
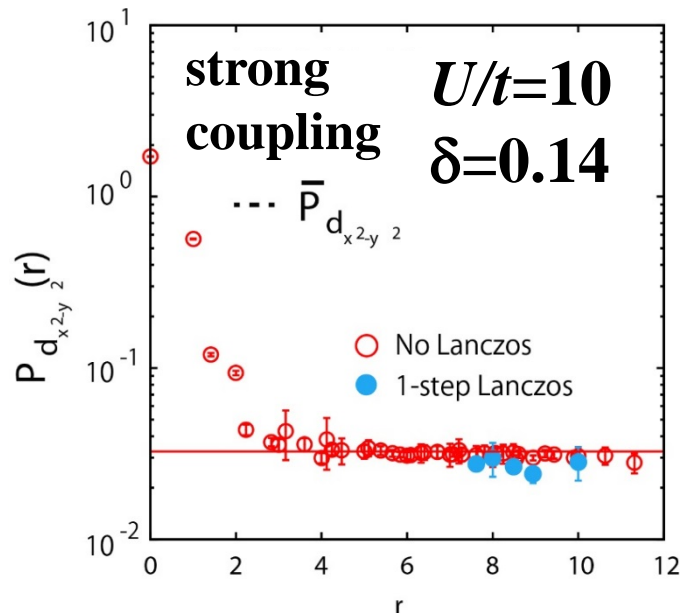
$$\mathcal{L}^S = \frac{2S + 1}{8\pi^2} \int d\Omega P_S(\cos \beta) \hat{R}(\Omega)$$

Lanczos operation $\mathcal{L}_L = (1 + \alpha H)$

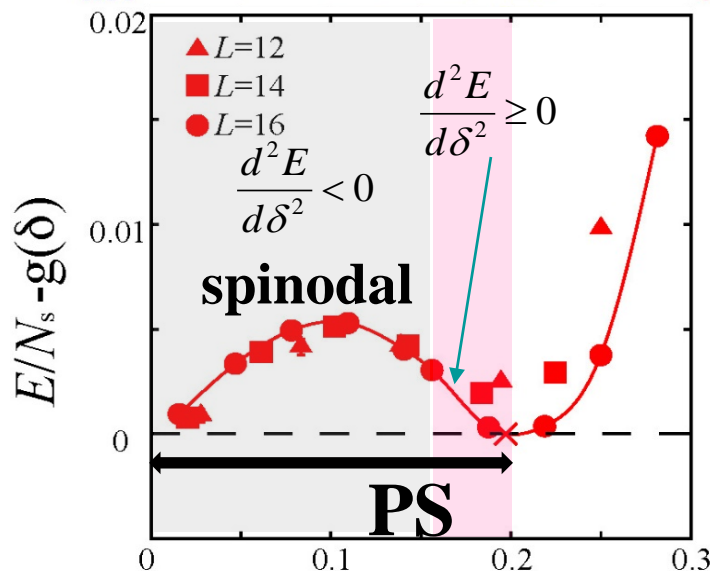
Phase separation and SC

$U/t = 10$: strong coupling

Hubbard models: VMC



$\bar{P}_{d_{x^2-y^2}}$: squared SC order parameter



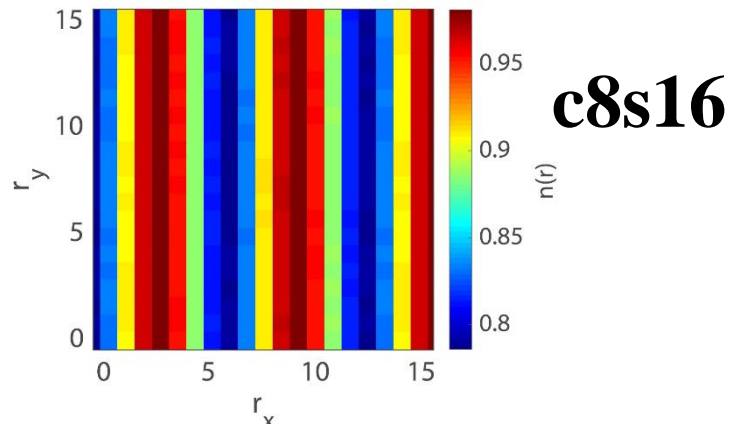
see also
Capone, Kotliar (2009)
Aichhorn *et al.* (2007)
Sordi *et al.* (2012)
Yokoyama *et al.* (2012)
Neuscamman *et al.* (2012)
Chang *et al.* (2010)

$E = a\delta + b\delta^2 + \dots, \quad b < 0$

PS (inhomogeneity) is universally unavoidable for strong coupling SC

If long-period stripe is allowed: severe competition of dSC and stripes

$U/t=10$ Hubbard $\delta \sim 0.11$



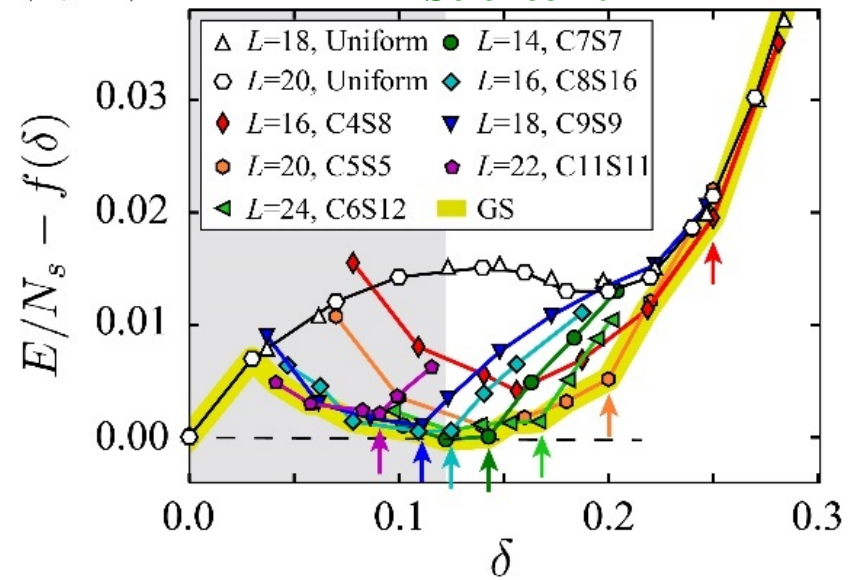
uniform dSC metastable
stripe (weak dSC) GS
energy difference $\sim 0.01t$

Ido, Ohgoe, Imada

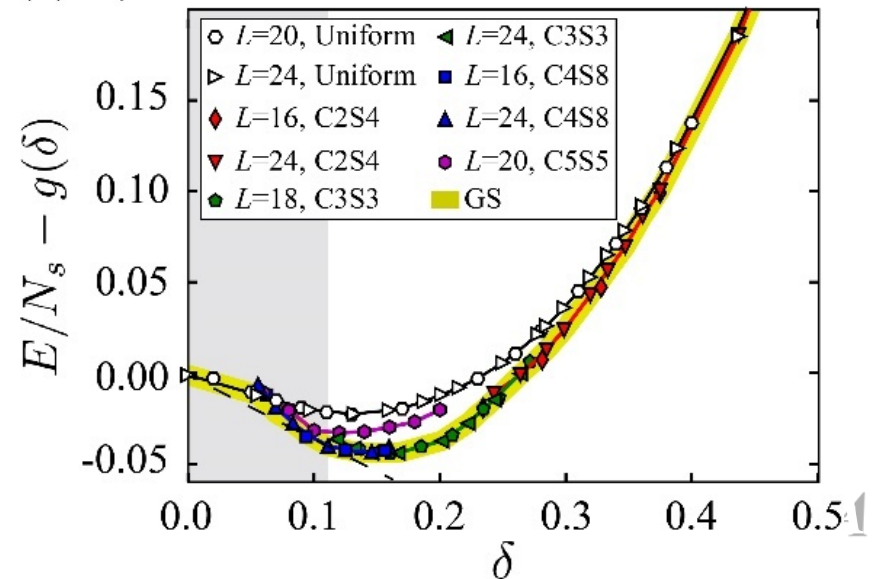
Phys. Rev. B 97, 045138 (2018)

see also B.-X. Zheng *et al.*
Science 2017

(a) $t'/t = 0$



(b) $t'/t = -0.3$



FTTN combined with VMC

Zhao *et al.* PRB 96, 085103 (2017)

$$|\Psi\rangle = \mathcal{P}(\mathcal{L}^{K=0} \mathcal{L}^{C_4} \mathcal{M}) \mathcal{L}^{K=0} \mathcal{L}^{S=0} |\phi_{\text{pair}}\rangle$$

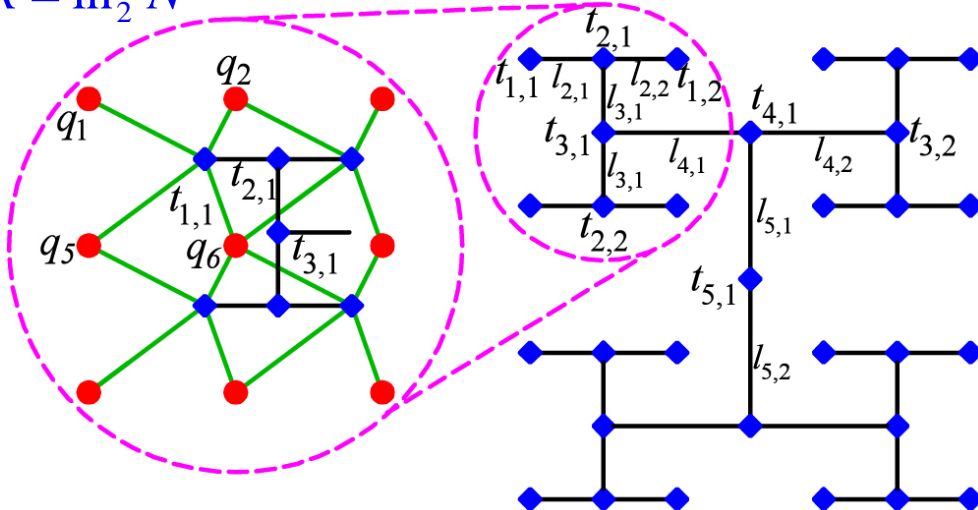
$$\Rightarrow \mathcal{P}(\mathcal{L}^{K=0} \mathcal{L}^{C_4} \mathcal{M}) \sum_{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N} |\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N\rangle \langle \mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N | \mathcal{L}^{K=0} \mathcal{L}^{S=0} |\phi_{\text{pair}}\rangle$$

1. exact contraction, variational principle
2. lattice symmetry preserving
3. guaranteed convergence for $D \rightarrow \infty$

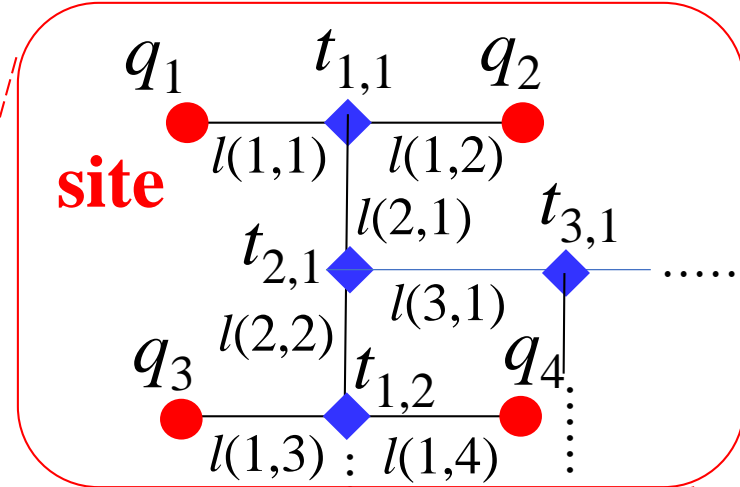
$$\mathcal{M} |q_1, q_2, \dots, q_N\rangle = \sum_{\{l(j,i)\}=1}^D \prod_{i=1}^{N/2} [t_{1,i}(q_{2i-1}, q_{2i}, l_{2,i})]$$

$$\times \prod_{j=2}^{R-1} \prod_{i=1}^{N/2^j} [t_{j,i}(l_{j,2i-1}, l_{j,2i}, l_{j+1,i})] t_{R,1}(l_{R,1}, l_{R,2}) |\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N\rangle$$

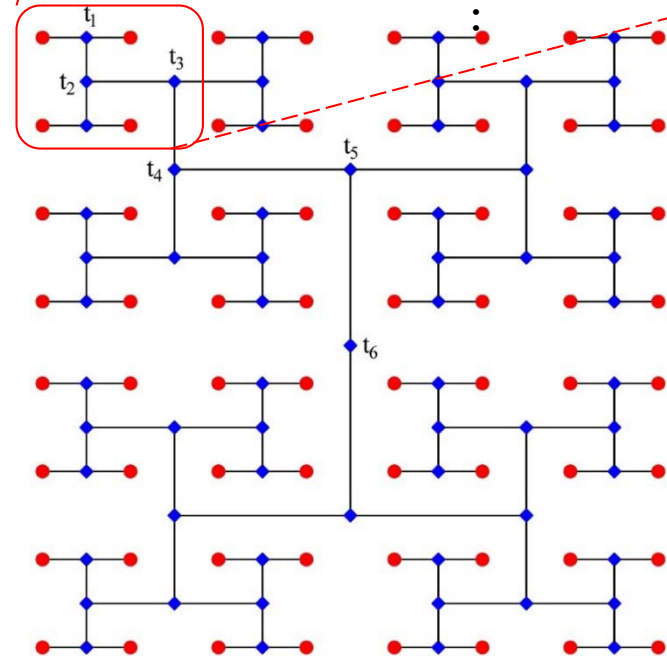
$$R = \ln_2 N$$



Fat tree tensor network

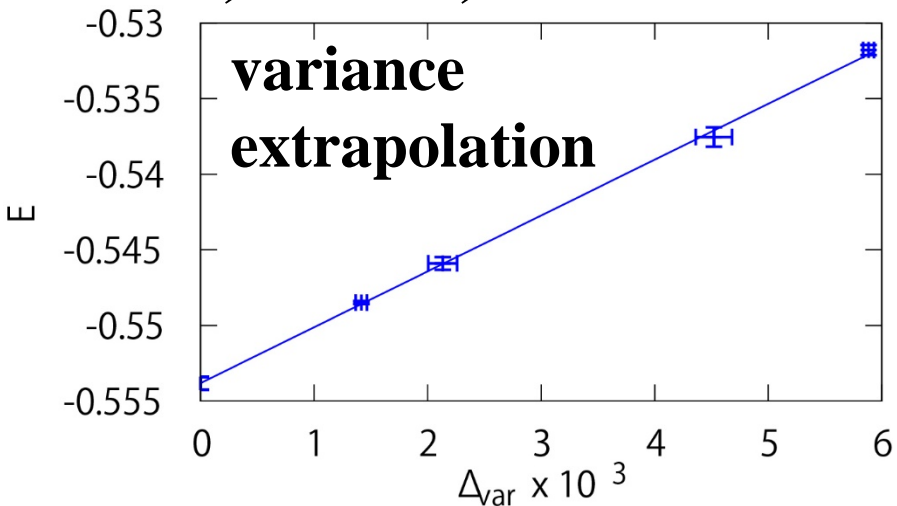


TTN

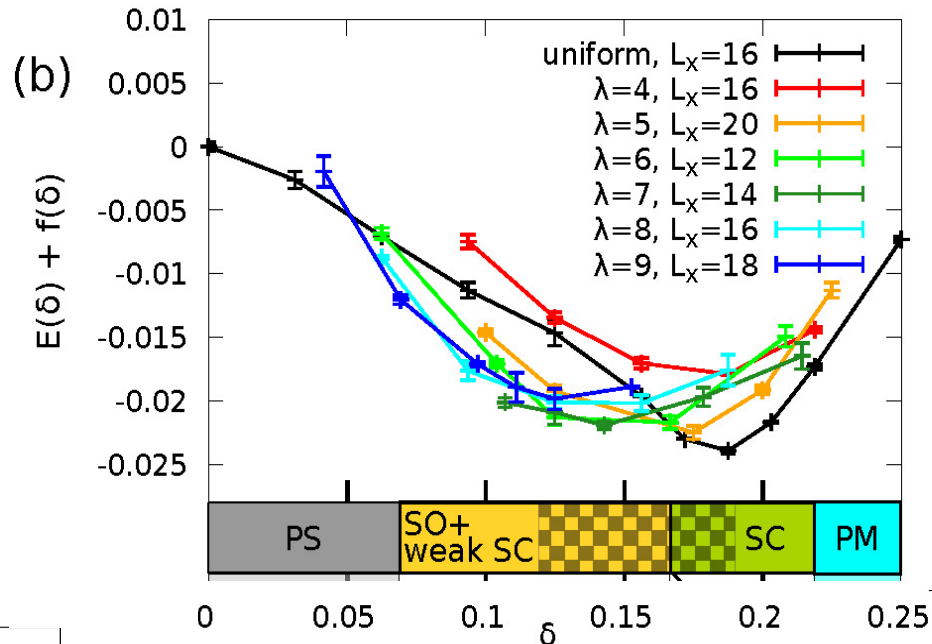


VMC+ tensor network+ Lanczos with variance extrapolation: Phase diagram of Hubbard

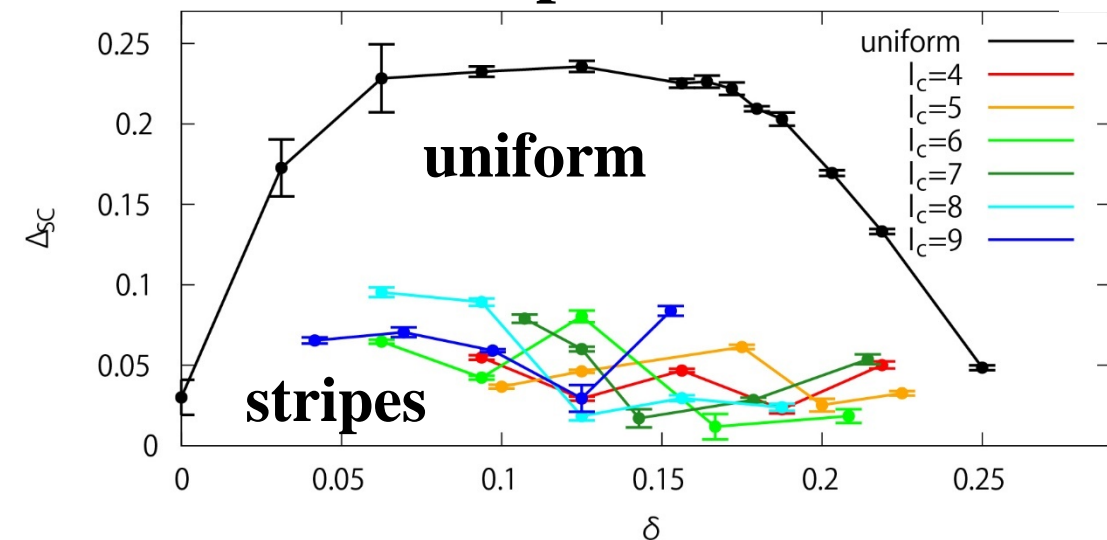
VMC, FTTN, Lanczos $U/t=10$



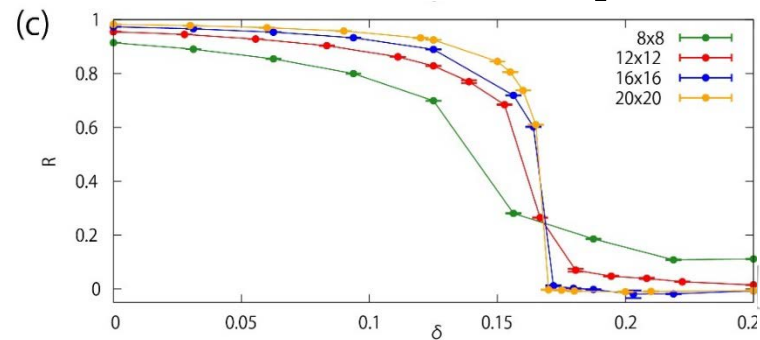
Darmawan, Yamaji, Nomura, Imada



d -wave SC order parameter



AF quantum critical
at $\delta \sim 0.17$ for uniform phase



Outline

1. Competing Orders

Stripes and d -wave superconductivity in Hubbard studied by the state of the art numerical methods

2. *ab initio* Hamiltonian for cuprate superconductors (HgBa₂CuO₄) and its solution

3. New concept emerging from numerics

Emergent fermions; dark fermion theory

cDMFT and machine learning of ARPES

4. Summary and outlook

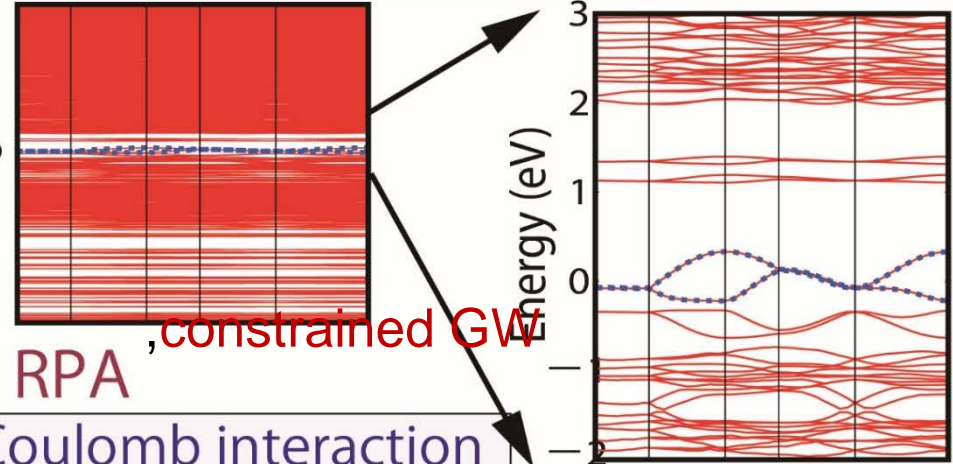
Basic Scheme of MACE

(multi-scale *ab initio* scheme for correlated electrons)

1. Global electronic structure by DFT

far from Fermi level

tens eV



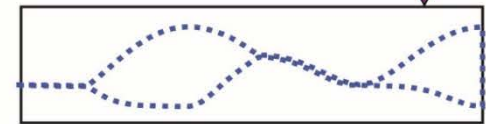
2. downfolding

constrained RPA

- (1) Screened Coulomb interaction
- (2) Self-energy

Low-energy effective Hamiltonian

1/10-1/100 eV



“target band”

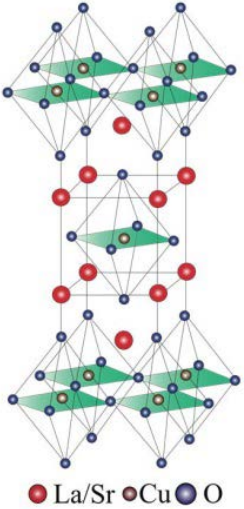
3. Low-energy solver

variational Monte Carlo (VMC),
path-integral renormalization group (PIRG),
(cluster) dynamical mean-field theory (DMFT),
.....

remove double counting
by LDA+cRPA \rightarrow cGW

1st-principles effective Hamiltonian for curates

$\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$



Hirayama *et al.*
arXiv:1708.07498

$\text{HgBa}_2\text{CuO}_4$

La_2CuO_4

1band (x^2-y^2)

2band ($x^2-y^2, 3z^2-r^2$)

3band ($x^2-y^2, 2p_\sigma$)

Hamiltonians

Aryasetiawan *et al.* PRB 2004
MACE scheme for *ab initio* hamiltonian

improved cGW-SIC method

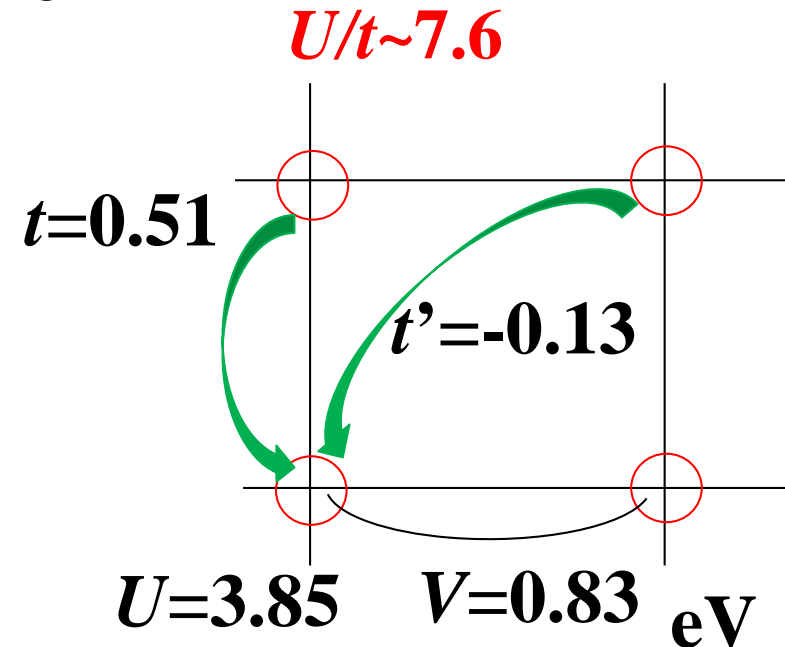
$2p\sigma$ level feedback to

satisfy

$$n_{\text{GW}} = n_{\text{VMC}}$$

$\text{HgBa}_2\text{CuO}_4$ 1-band Hamiltonian eV

One-body parameters (eV)	t_1	t_2	t_3	t_4	t_5
	0.509	-0.127	0.077	-0.018	-0.004
Two-body parameters (eV)	U	V_1	V_2	V_3	V_4
	3.846	0.834	0.460	0.318	0.271



fully *ab initio* results with long-ranged transfer and interaction

Uniform SC state is stabilized for *ab initio* case

V_3 partially cancels V_1

experiments: $0.09 < \delta < 0.12$, $q \sim 0.25$
W. Tabis, Y. Li, M. L. Tacon,
et al., Nat. Commun. 5, 5875 (2014).
G. Campi, A. Bianconi, et al.
S. M. Kazakov, et al., Nature 525,
359 (2015).

Outline

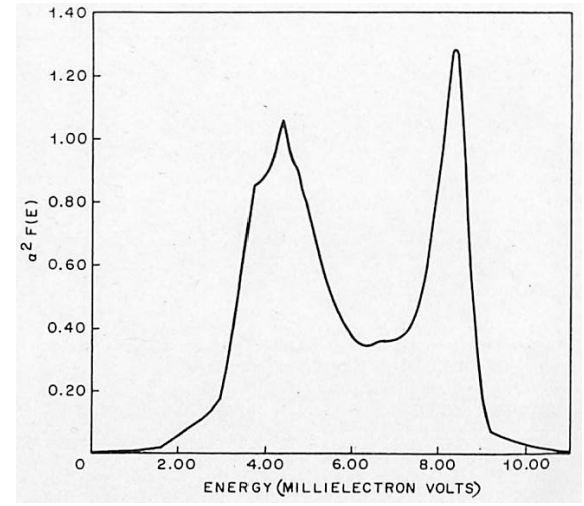
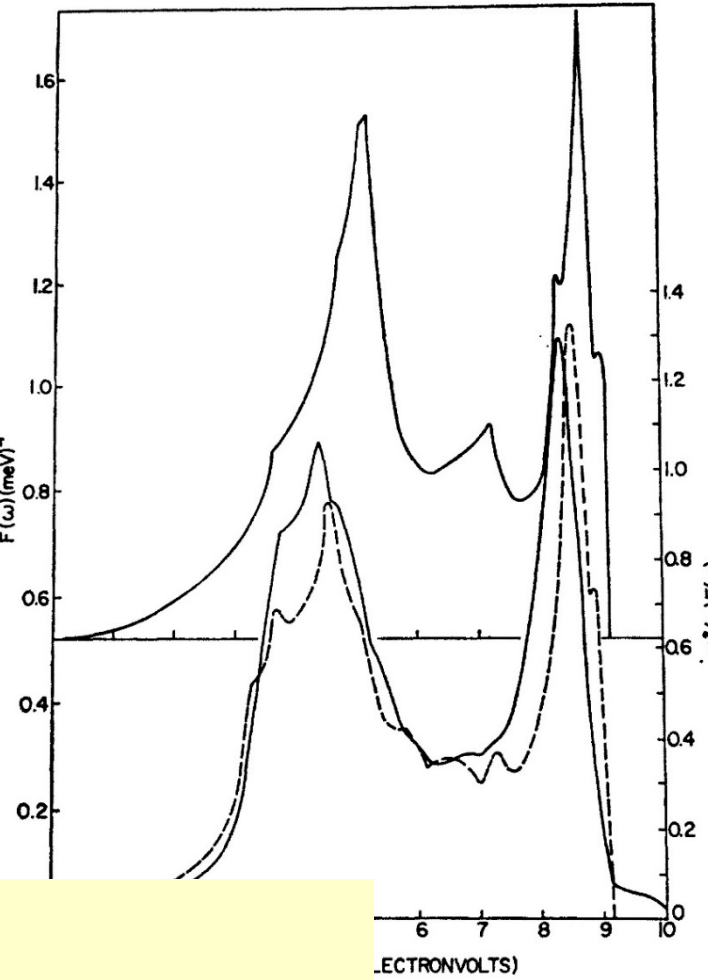
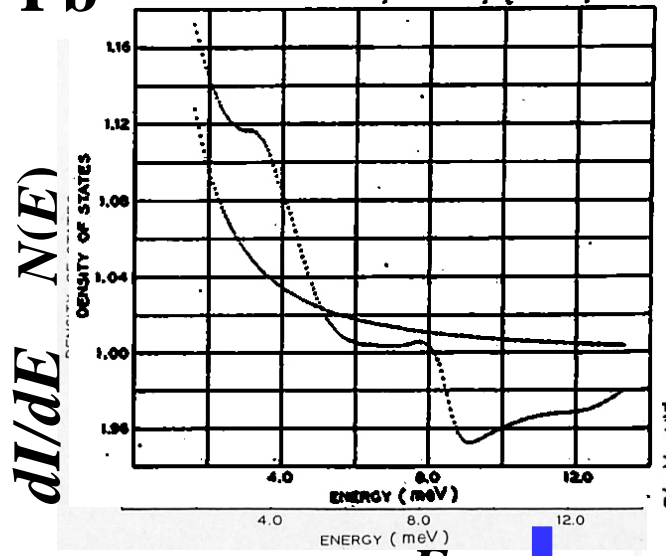
1. Competing Orders
Stripes and *d*-wave superconductivity in Hubbard studied by the state of the art numerical methods
2. *ab initio* Hamiltonian for cuprate superconductors (HgBa₂CuO₄) and its solution
3. **New concept & mechanism emerging from numerics**
Emergent fermions; dark fermion theory
cDMFT and machine learning of ARPES
4. Summary and outlook

Introduction

How did the BCS el-ph mechanism become convincing?

strong-coupling superconductivity

Pb



$$N(\omega) = \int dk \text{Im} G(k, \omega)$$

$$G(k, \omega) \Rightarrow \Sigma^{\text{ano}}$$

$$\Delta(E) = z \Sigma^{\text{ano}}$$

Kramers Kronig

$$\Delta \propto \text{Re} \Sigma^{\text{ano}}(\omega = 0) = \frac{2}{\pi} \int_0^{\infty} d\omega \frac{\text{Im} \Sigma^{\text{ano}}(\omega)}{\omega}$$

E
 measured phonon density of states
 ↑
 neutron scattering data

Bosonic (phonon) glue makes peaks

the computed pairing self-energy $\Phi(\omega)$

High resolution ARPES data for cuprates

Kondo *et al.*
 Nature 457, 296 (2009)
 Nat. Phys. 5, 21 (2010)

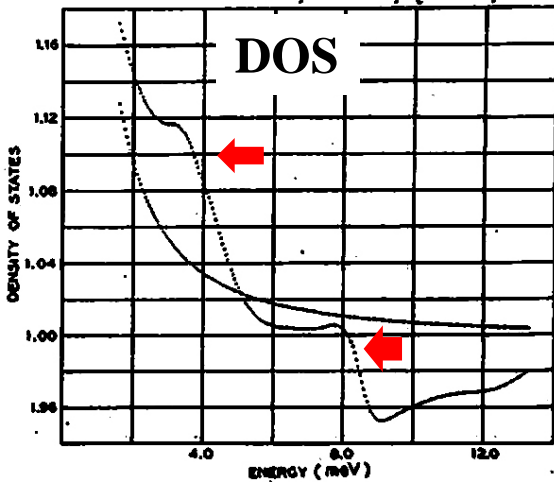


ARPES data

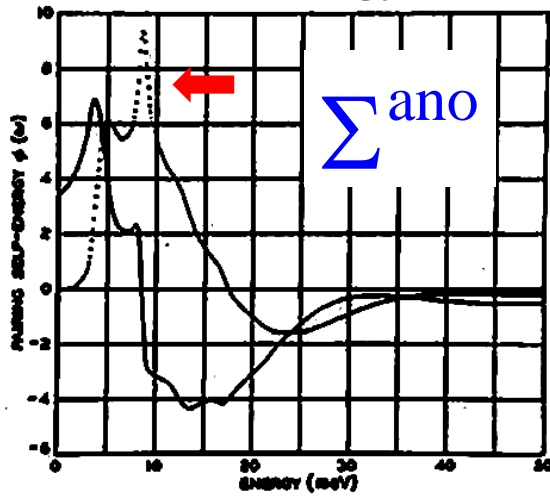
spectral func. $A(k, \omega) = \text{Im } G(k, \omega)$

optimal doping $T_c \sim 90\text{K}$ underdoped $T_c \sim 29\text{K}$

Pb McMillan-Rowell
 1965, 1969



self-energy

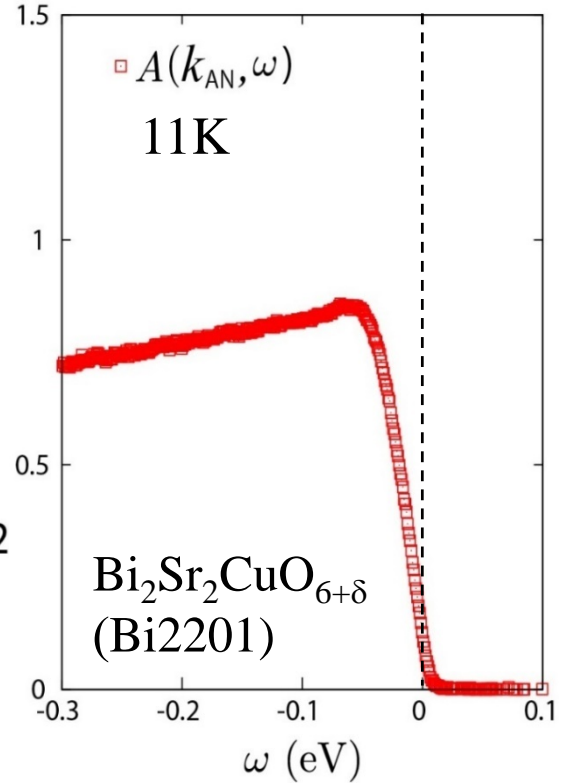
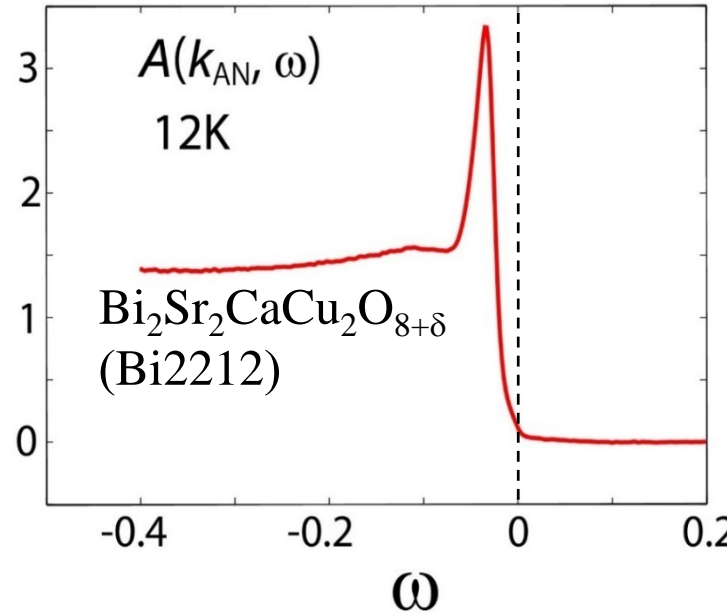


Real (—) and imaginary (···) parts of the computed pairing self-energy $\phi(\omega)$ for Pb vs. $\omega - \epsilon_F$.

BCS superconductors

antinodal point
 mild peak-dip-hump

Can we infer SC mechanism from $A(k, \omega)$?
 Thirty-years-long puzzle & challenge



Green's function and self-energy in Nambu representation

$$G = \begin{pmatrix} \omega - \varepsilon_{\mathbf{k}} - \Sigma^{\text{nor}} & -\Sigma^{\text{ano}} \\ -\Sigma^{\text{ano}} & \omega + \varepsilon_{\mathbf{k}} + \Sigma^{\text{nor}} \end{pmatrix}^{-1} \quad \text{Nambu representation}$$
$$G(\mathbf{k}, \omega) = \left[\omega + \mu - \varepsilon_{\mathbf{k}} - \left(\Sigma^{\text{nor}}(\mathbf{k}, \omega) + W(\mathbf{k}, \omega) \right) \right]^{-1}$$

$$W(\mathbf{k}, \omega) = \frac{\Sigma^{\text{ano}}(\mathbf{k}, \omega)^2}{\omega - \mu + \varepsilon_{\mathbf{k}} + \Sigma^{\text{nor}}(\mathbf{k}, -\omega)^*}$$

$$A(k, \omega) = \text{Im } G(k, \omega)$$

Re Σ is given from Im Σ through K.K. transformation

$$A(k, \omega) \Leftrightarrow \mathcal{A} \left(\left\{ \text{Im } \Sigma^{\text{nor}}(k, \omega), \text{Im } \Sigma^{\text{ano}}(k, \omega) \right\} \right)$$

$A(k, \omega)$ is functional of $\text{Im } \Sigma^{\text{nor}}(k, \omega)$ and $\text{Im } \Sigma^{\text{ano}}(k, \omega)$

Experimentally, only $A(k, \omega)$ is known

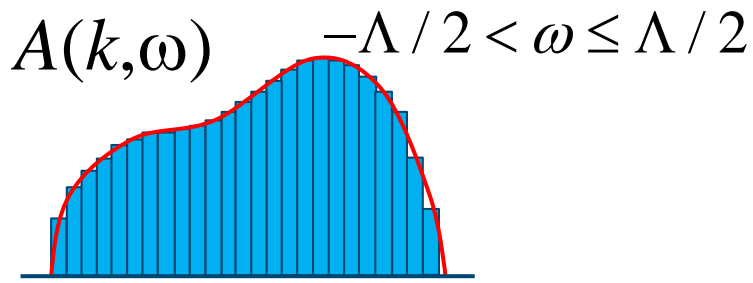
How to estimate (infer)

$\text{Im } \Sigma^{\text{nor}}(k, \omega)$ and $\text{Im } \Sigma^{\text{ano}}(k, \omega)$ separately?

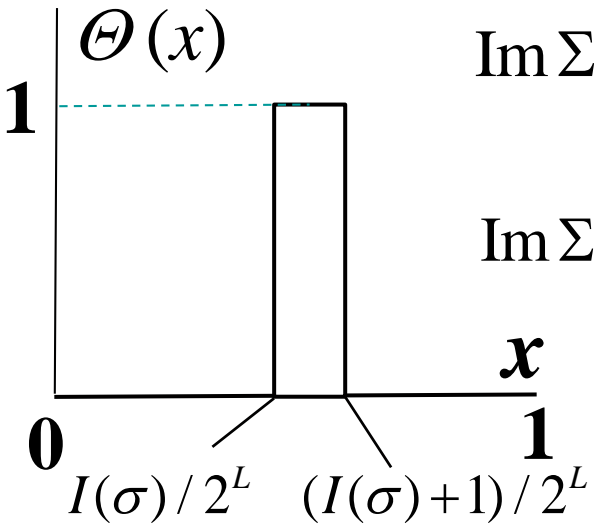
inverse problem

\Rightarrow machine learning

Boltzmann Machine Representation



dividing up into grids with block function fit



$$\text{Im } \Sigma^{\text{nor}}(\mathbf{k}, \omega) = -\sum_s \mathcal{C}(S) \Theta_\sigma^L \left(\frac{\omega + \Lambda/2}{\Lambda} \right)$$

$$\text{Im } \Sigma^{\text{ano}}(\mathbf{k}, \omega) = -\sum_s \mathcal{D}(S) \left[\Theta_\sigma^L \left(\frac{\omega + \Lambda/2}{\Lambda} \right) - \Theta_\sigma^L \left(\frac{\Lambda/2 - \omega}{\Lambda} \right) \right]$$

+ real parts from K.K. trans.
physical constraints from symmetry



Boltzmann machine

minimize $\chi^2 = \frac{1}{2N_d} \sum_j \left[A^{\text{exp}}(\omega_j) - A^{\text{BM}}(\omega_j) \right]^2$

Normal and anomalous self-energies; peaks and cancellation

optimal Bi2212

underdoped Bi2201

prominent peaks in Σ^{nor} and Σ^{ano}
at ± 45 meV(OP)

± 55 meV (UD)

secondary weak peaks at
 ± 130 meV (OP), ± 160 meV (UD)

**Cancellation of Σ^{nor} and
 W in $\Sigma^{\text{tot}} = \Sigma^{\text{nor}} + W$**

**The reason why overlooked
in experiments for decades**

**Agreement with
dark fermion theory**



**existence of
*dark fermion***

Sakai et al. PRL
116, 057003 (2016)

Peaks are the origin of SC

$\text{Re } \Sigma^{\text{ano}}(\mathbf{k}, \omega = 0) \propto \text{Re} \Delta(\mathbf{k}, \omega = 0)$
; SC gap

truncated K.K. transform

$$I_{\Sigma}(\Omega) \equiv \frac{2}{\pi} \frac{\left| \int_0^{\Omega} d\omega \frac{\text{Im } \Sigma^{\text{ano}}(\omega)}{\omega} \right|}{\text{Re } \Sigma^{\text{ano}}(\omega = 0)}$$

**90% of $\text{Re } \Sigma^{\text{ano}}(\omega=0)$ is
from the first negative peaks
in $\text{Im } \Sigma^{\text{ano}}(\omega)$**

**Cutting the first peaks
leads to the disappearance of SC
and to a good normal metal**

QP width \sim exp. resolution 10 meV

Why ML teaches us cancellation? Black box?

We are led to physical intuition

large SC gap
around $\omega=0$ in $A(k, \omega)$



prominent peak
structure in $\text{Im } \Sigma^{\text{ano}}$
at $|\omega| > \text{gap}$

No prominent structure
in $A(k, \omega)$ at $|\omega| > \text{gap}$



Cancellation of
normal and anomalous
contribution in $A(k, \omega)$

quantum coherence
only at $|\omega| < \omega^*$

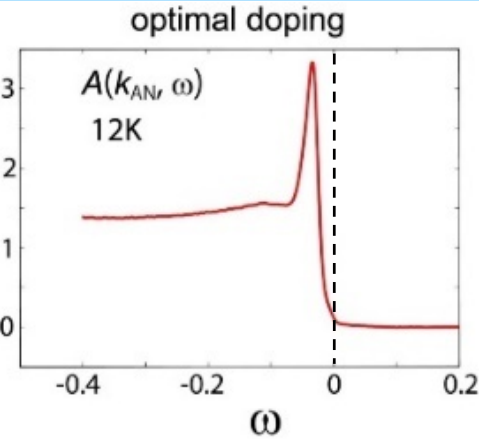
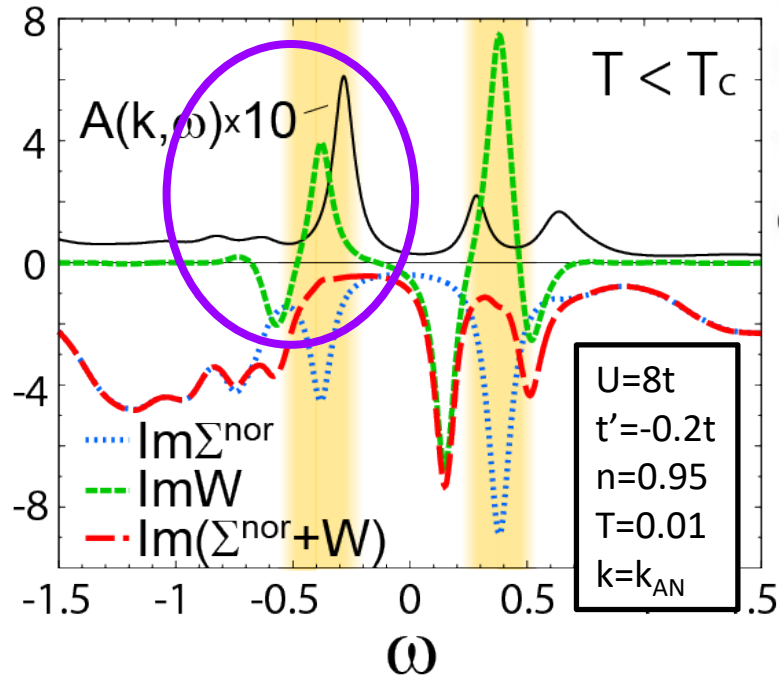


Peak must be
in the region
 $|\omega| < \omega^*$

Pole cancellation between Σ^{nor} and Σ^{ano} in CDMFT of Hubbard

Sakai *et al.*
 Phys. Rev. Lett.
 116 (2016) 057003

$A(\mathbf{k}, \omega)$ similar to exp.

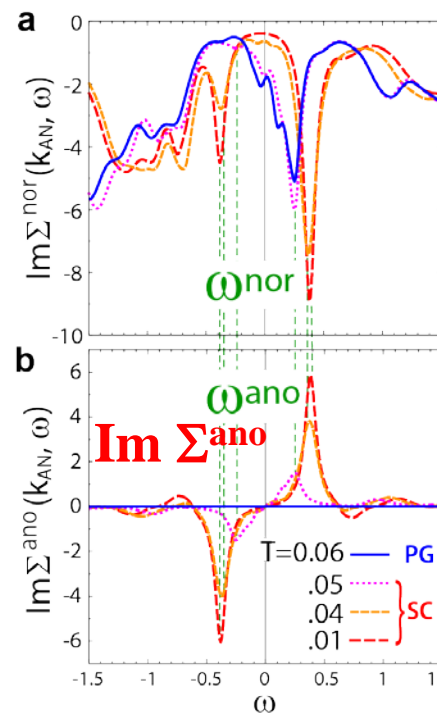


Σ^{nor} cancels with W in $\Sigma^{\text{tot}} = \Sigma^{\text{nor}} + W$!



sharp peaks in Σ^{nor} , Σ^{ano} , W !

Perfect agreement with the machine learning result obtained purely from the experimental data



How is the cancellation understood?

As far as we know, the only way is the dark fermion (two-component) theory.

What is TCFM (two-component fermion model — dark fermion model) ?

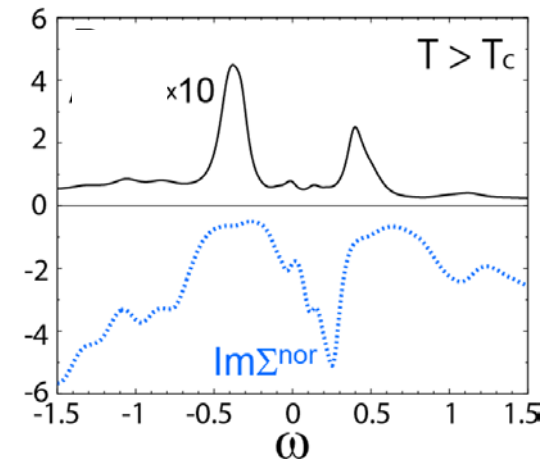
$$H = \sum_k [\varepsilon_c(k) c_{k\sigma}^\dagger c_{k\sigma} + \Lambda_k (c_{k\sigma}^\dagger d_{k\sigma} + \text{H.c.}) + \varepsilon_d(k) d_{k\sigma}^\dagger d_{k\sigma}]$$

$$G = (\omega - H)^{-1} = \begin{pmatrix} \omega - \varepsilon_c & -\Lambda \\ -\Lambda & \omega - \varepsilon_d \end{pmatrix}^{-1}$$

CDMFT
Sakai et al.

$$G_c = \frac{1}{(\omega - \varepsilon_c(k)) - \Sigma^{\text{nor}}(k, \omega)} \quad \Sigma_c^{\text{nor}}(k, \omega) = \frac{\Lambda_k^2}{\omega - \varepsilon_d}$$

hybridization gap = pseudogap



cf. RVB

Yang, Rice, Zhang (2006)

Konig, Rice, Tsvetlik (2006)

for two-leg ladders

d ; dark fermion

How to understand the cancellation; Dark fermion theory

Two-component fermion model at $T < T_c$

$$H = \sum_k [\varepsilon_c(k) c_{k\sigma}^\dagger c_{k\sigma} + \Lambda_k (c_{k\sigma}^\dagger d_{k\sigma} + \text{H.c.}) + \varepsilon_d(k) d_{k\sigma}^\dagger d_{k\sigma}$$

$$+(\Delta_c c_{k\sigma}^\dagger c_{k\sigma}^\dagger + \Delta_d d_{k\sigma}^\dagger d_{k\sigma}^\dagger + \text{H.c.})]$$

Nambu representation
4x4 matrix

$$\Sigma_c^{\text{nor}}(k, \omega) = G_d \Lambda_k^2 = \frac{\Lambda_k^2}{(\omega - \varepsilon_d) - \frac{\Delta_d^2}{\omega + \varepsilon_d}}$$

$$G(\mathbf{k}, \omega) = \left[\omega + \mu - \varepsilon_{\mathbf{k}} - \Sigma_c^{\text{nor}}(\mathbf{k}, \omega) - W(\mathbf{k}, \omega) \right]^{-1}$$

$$W(\mathbf{k}, \omega) = \frac{\Sigma_c^{\text{ano}}(\mathbf{k}, \omega)^2}{\omega - \mu + \varepsilon_{\mathbf{k}} + \Sigma_c^{\text{nor}}(\mathbf{k}, -\omega)^*}$$

$$\Sigma_c^{\text{ano}}(k, \omega) = \Delta_c + G_d \Lambda_k^2 = \Delta_c + \frac{\Lambda_k^2 \Delta_d (\omega + \varepsilon_d)}{(\omega - \varepsilon_d) - \frac{\Delta_d^2}{\omega + \varepsilon_d}}$$

For $T > T_c$, pole at $\omega = \varepsilon_d$
generates pseudogap

The residue of W
has the same amplitude
with that of Σ^{ano}

but with the opposite sign
 \Rightarrow The poles cancel in
 $\Sigma^{\text{nor}} + W$ smoking gun

Bosonic excitations such as
spin fluctuations would not
cause the cancellation.

Emergent hybridization as the universal origin of gap generation

Hybridization gap of TCFM is the universal origin of gap formation

$$H = \sum_{k\sigma\sigma'} [\varepsilon(k)c_{k\sigma}^\dagger c_{k\sigma} + \varepsilon'(k)d_{k\sigma'}^\dagger d_{k\sigma'} + \Lambda(k)(c_{k\sigma}^\dagger d_{k\sigma'} + h.c.)]$$

(1) fermion symmetry breaking ex. AF, CO $d_k = c_{k+Q}$

$$c^\dagger c d^\dagger d \Rightarrow \langle d^\dagger c \rangle c^\dagger d$$

CO, AF, BCS-SC, QCD mass (Nambu-Jona-Lasinio)

(2) bose condensation

$$c^\dagger d (b + b^\dagger) \Rightarrow c^\dagger d (\langle b \rangle + \langle b^\dagger \rangle)$$

weak interaction (W-, Z-boson condensation),
QCD gluon condensation

Nambu-Goldstone
↓
Higgs mechanism

They require always spontaneous symmetry breaking.
How about the pseudogap and Mott gap
where apparent SSB is absent?

⇒ ML and cDMFT tell us electron fractionalization into
 c and dark fermion d without SSB

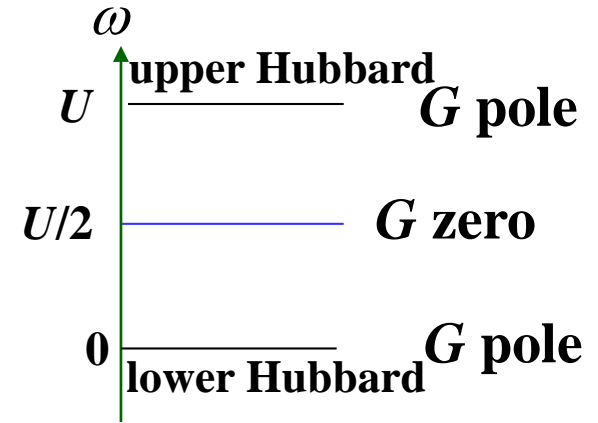
How about Mott gap?

Zhu, Zhu PRB 87, 085120 (2013)
 Sakai *et al.* PRB 94, 115130 (2016)
 Imada, Suzuki arXiv:1804.05301

$$H = U c_{\uparrow}^{\dagger} c_{\uparrow} c_{\downarrow}^{\dagger} c_{\downarrow} \quad t \rightarrow 0 \text{ atomic limit; exact}$$

$$G = \frac{1}{2} \frac{1}{\omega} + \frac{1}{2} \frac{1}{\omega - U} \Leftrightarrow G = \frac{1}{\omega - \Sigma}, \quad \Sigma = \frac{\frac{1}{4} U^2}{\omega - \frac{U}{2}}$$

↑ lower Hubbard ↑ upper Hubbard



$$\tilde{d}_{\sigma} \equiv c_{\sigma} (1 - 2n_{-\sigma})$$

$$\tilde{c}_{\sigma} \equiv c_{\sigma}$$



$$H_{\text{TCFM}} = \sum_{\sigma} [\varepsilon_{\tilde{c}} \tilde{c}_{\sigma}^{\dagger} \tilde{c}_{\sigma} + \varepsilon_{\tilde{d}} \tilde{d}_{\sigma}^{\dagger} \tilde{d}_{\sigma} + \Lambda (\tilde{c}_{\sigma}^{\dagger} \tilde{d}_{\sigma} + h.c.)]$$

$$\varepsilon_{\tilde{c}} = \varepsilon_{\tilde{d}} = -\Lambda = \frac{U}{2}$$

no symmetry breaking

\tilde{d}_{σ} ; Mott gap fermion

exact fractionalization

mapping between **single-component interacting** system (Hubbard)
 and **two-component noninteracting** system (TCFM)

Electron fractionalization in Mott insulator

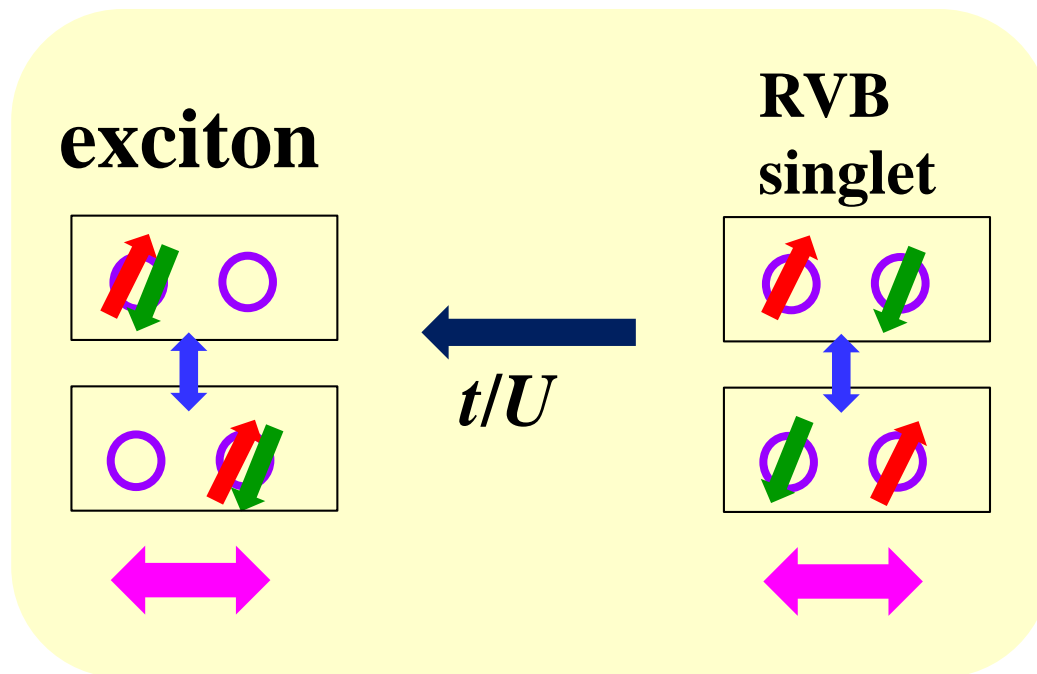
Emergent Mott gap fermion

When t/U is nonzero,

Mottgap fermion $\tilde{d}_\sigma = c_\sigma(1 - n_{-\sigma}) - c_\sigma n_{-\sigma}$ is interpreted as a fermion extracted from an antiresonant state of UHB and LHB, namely doublon-holon bound state (exciton) in the insulator

- $c_\sigma^\dagger n_{-\sigma}$ upper Hubbard creation (doublon generation)
- $c_\sigma^\dagger (1 - n_{-\sigma})$ lower Hubbard creation (singlon generation)

“cradle” of
Mott gap
fermion =



singlet-exciton
resonant state

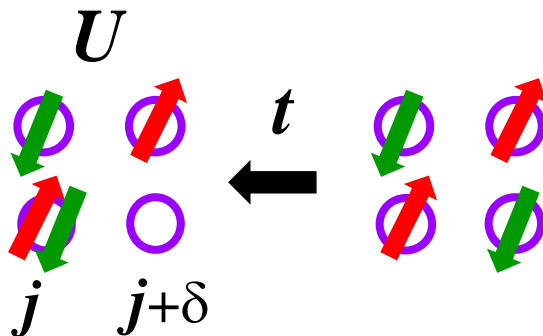
Imada, Suzuki
arXiv:1804.05301

Bose-Einstein condensation of excitons

case of nonzero t

exciton dynamics

exciton creation



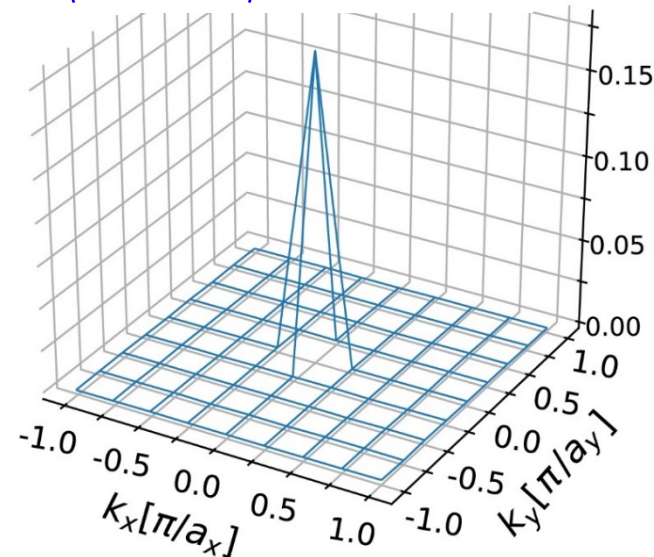
$$b_{j,\delta}^\dagger = \sum_{\sigma} g_{b\delta} c_{j,\sigma}^\dagger c_{j+\delta,\sigma} n_{j,-\sigma} (1 - n_{j+\delta,-\sigma})$$

$$H_b = \zeta \sum_{j,\delta} (b_{j,\delta}^\dagger + b_{j,\delta}) + \sum_{k,\delta,\delta'} \varepsilon_{b\delta,\delta'}(k) b_{k,\delta}^\dagger b_{k,\delta'} + \sum_{i,j,\delta,\delta'} V_b(i,j,\delta,\delta') n_{bi,\delta} n_{bj,\delta'}$$

ζ : symmetry breaking field, $\zeta \ll \varepsilon_b$

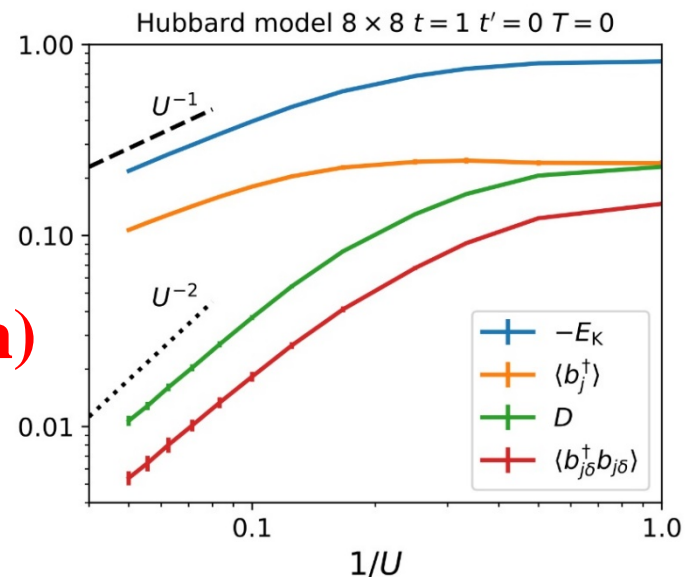
vacuum fluctuation

$$\langle b(k)_\sigma^\dagger \rangle = \langle b(k)_\sigma \rangle$$



$$b_{ij,\sigma}^\dagger \Rightarrow \langle b_{ij,\sigma}^\dagger \rangle \neq 0$$

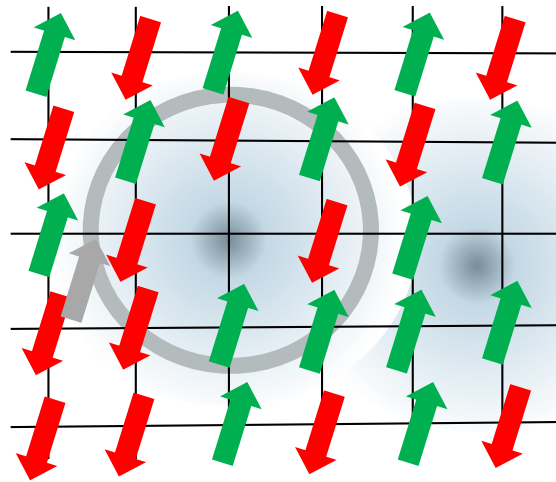
Frenkel-type exciton
BEC (trivial condensation)



Carrier doping generates several excitonic bound states

Imada, Suzuki

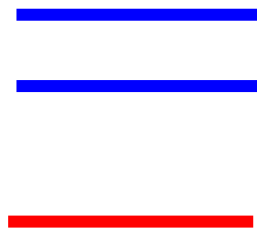
arXiv:1804.05301



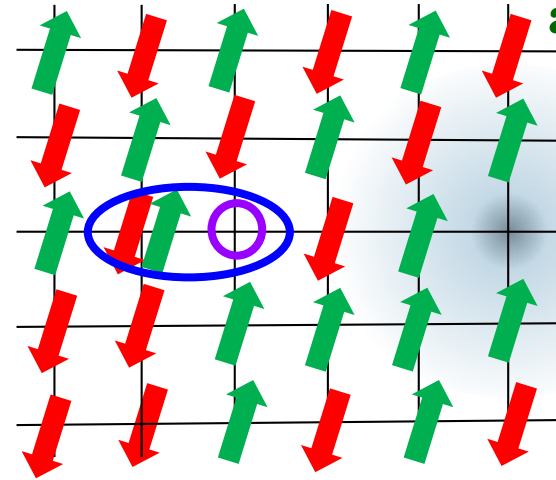
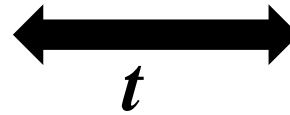
||

dark fermion + hole

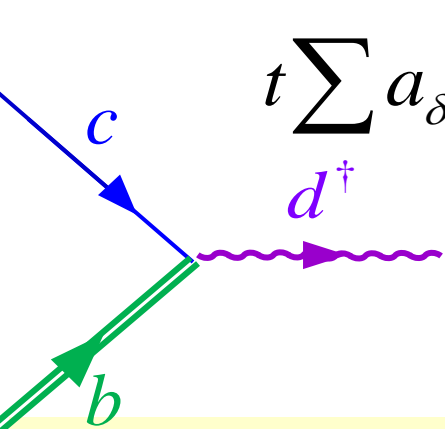
Wannier exciton



Frenkel exciton



Mott gap fermion



$$t \sum_{\delta} a_{\delta} d^{\dagger}_{j,\delta,\sigma} c_{k\sigma} b_{j,\delta} + \text{H.c.}$$



$$t \sum_{\delta} d^{\dagger}_{j,\delta,\sigma} c_{k\sigma} \langle b_{j,\delta} \rangle + \text{H.c.}$$

$$\langle b_{j,\delta} \rangle \sim t/U$$

hybridization gap $\sim t^2/U$

bistability/fractionalization between dark fermion and electron
 \Rightarrow charge inhomogeneity & phase separation

Summary

1. *ab initio* results support dominant uniform dSC \Leftrightarrow Hubbard
2. Boltzmann machine and cDMFT; completely different approaches give consistent self-energy structure;
 - ★ anomalous peaks, dominating the SC
 - ★ cancellation of Σ^{nor} and Σ^{ano} contributions

Summary

3. supports dark fermion and 2-component hybridization mechanism resulting in

- ★ peak cancellation on the self-energies &
- ★ gap generation without spontaneous symmetry breaking in TCFM

$$H = \sum_k [\varepsilon_c(k) c_{k\sigma}^\dagger c_{k\sigma} + \Lambda_k (c_{k\sigma}^\dagger d_{k\sigma} + \text{H.c.}) + \varepsilon_d(k) d_{k\sigma}^\dagger d_{k\sigma} + (\Delta_c c_{k\sigma}^\dagger c_{k\sigma}^\dagger + \Delta_d d_{k\sigma}^\dagger d_{k\sigma}^\dagger + \text{H.c.})]$$

4. origin of cuprate pseudogap and superconductivity

- ★ Interpreted from electron fractionalization in **Mott insulator**, giving associated Frenkel excitons and Mott gap fermions
- ★ Possible further fractionalization in the **doped Mott insulator** whose hot bed is Wannier excitons

Dark fermions arising from Wannier excitons
boost up SC and generates pseudogap
⇒ experimental detection

