

Topological Phases in Driven Quantum Systems

Lukasz Fidkowski

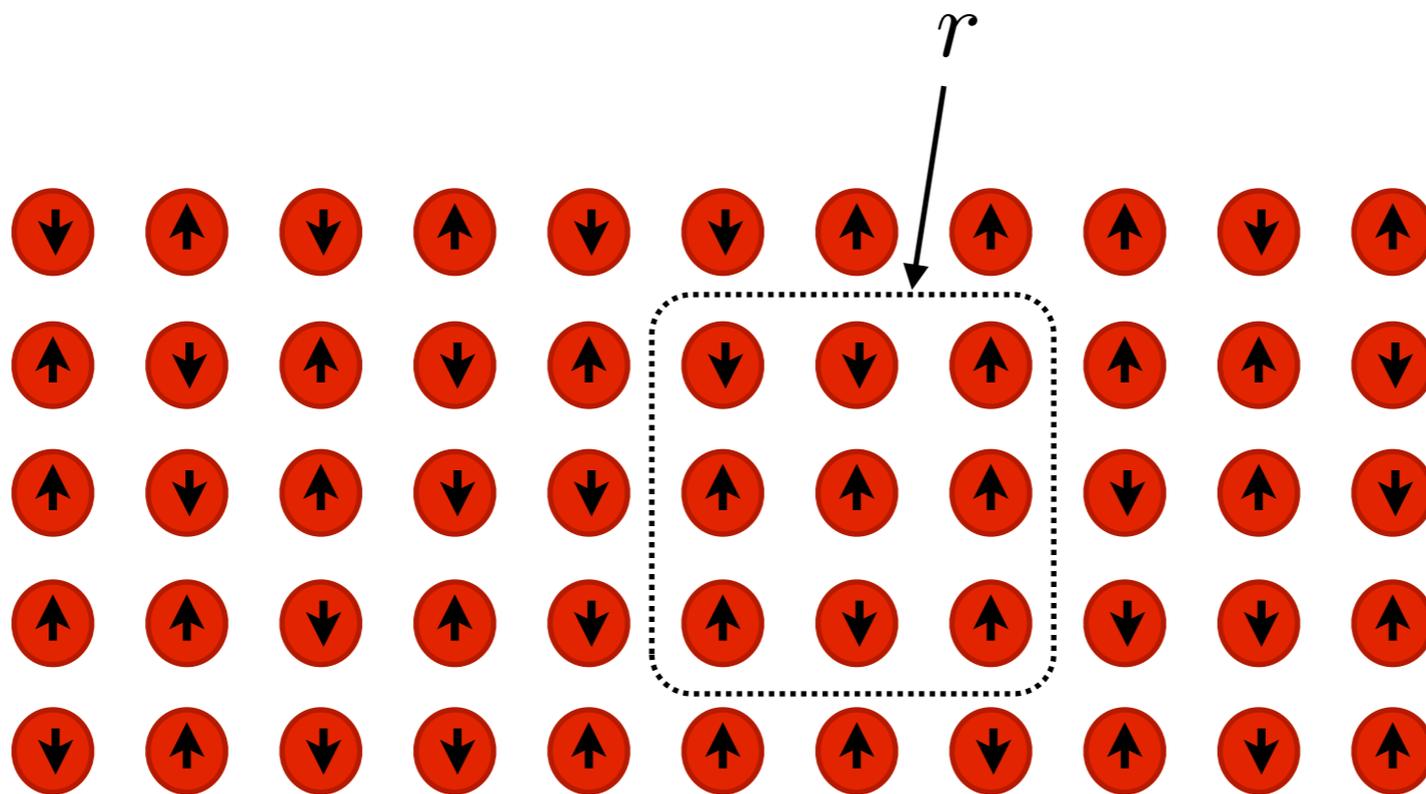
(with H.-C. Po, A. C. Potter, and A. Vishwanath)



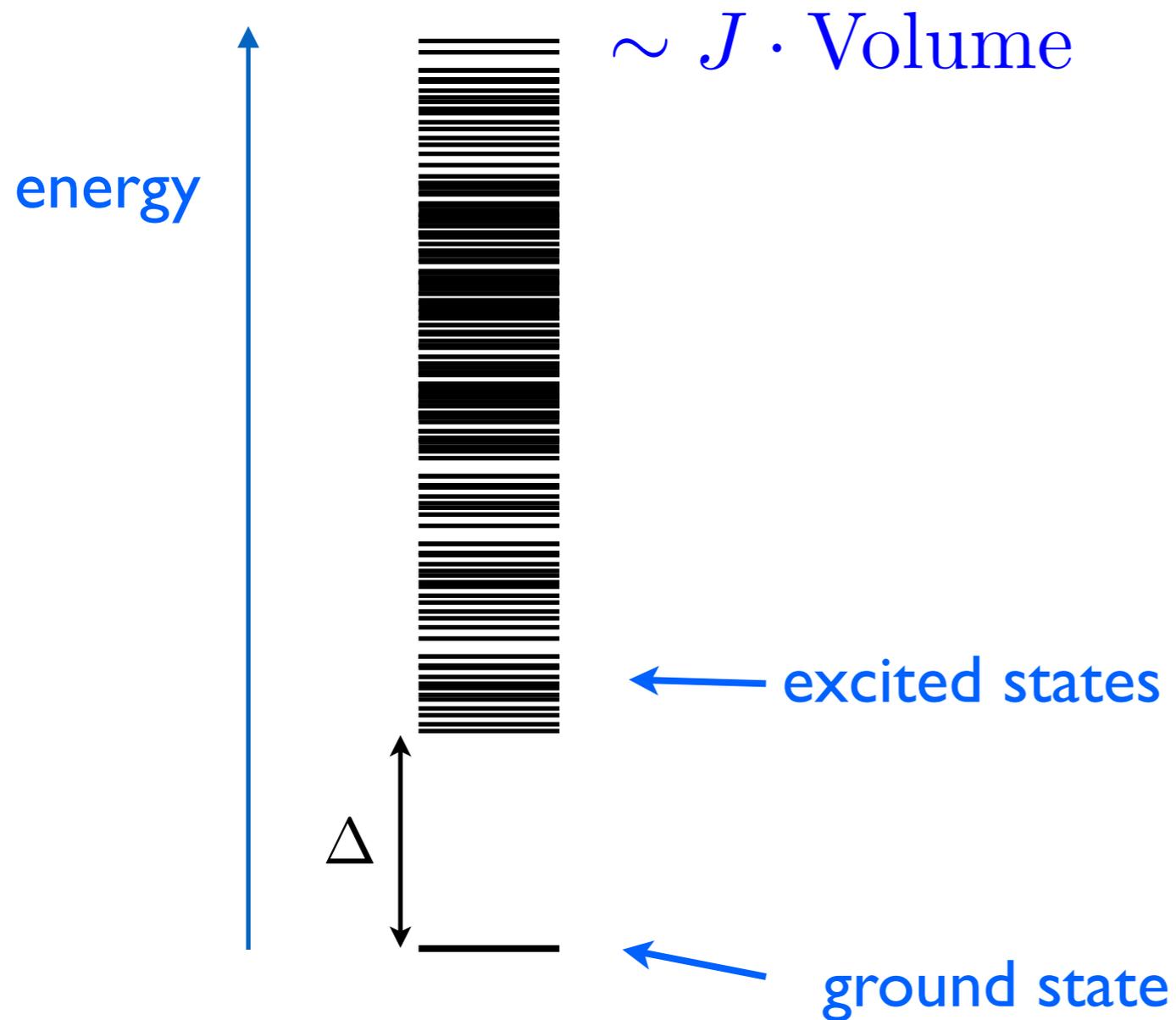
Quantum many body systems:

Hilbert space: $\mathcal{H} = \bigotimes_{\text{sites } i} \mathcal{H}_i$

Hamiltonian: $H = \sum_r H_r$ $J = \max_r |H_r|$



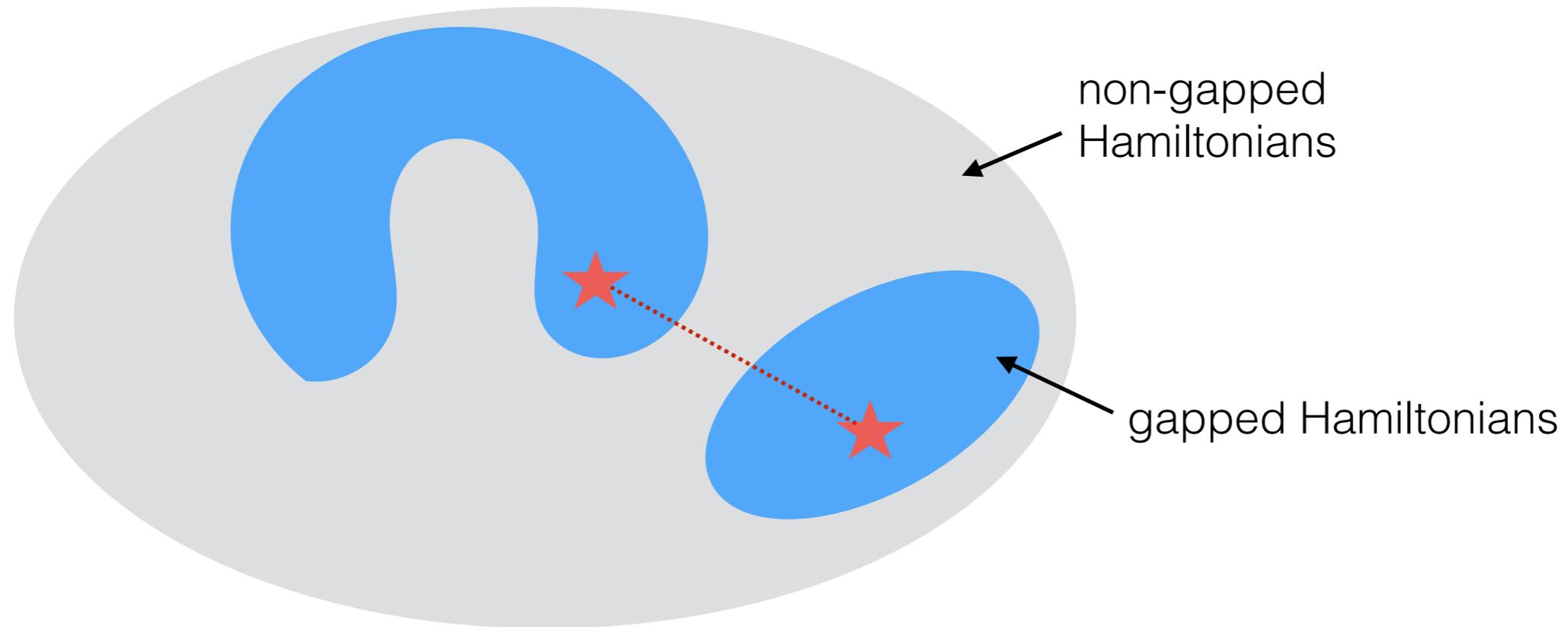
Spectrum of gapped Hamiltonian:



Gapped:

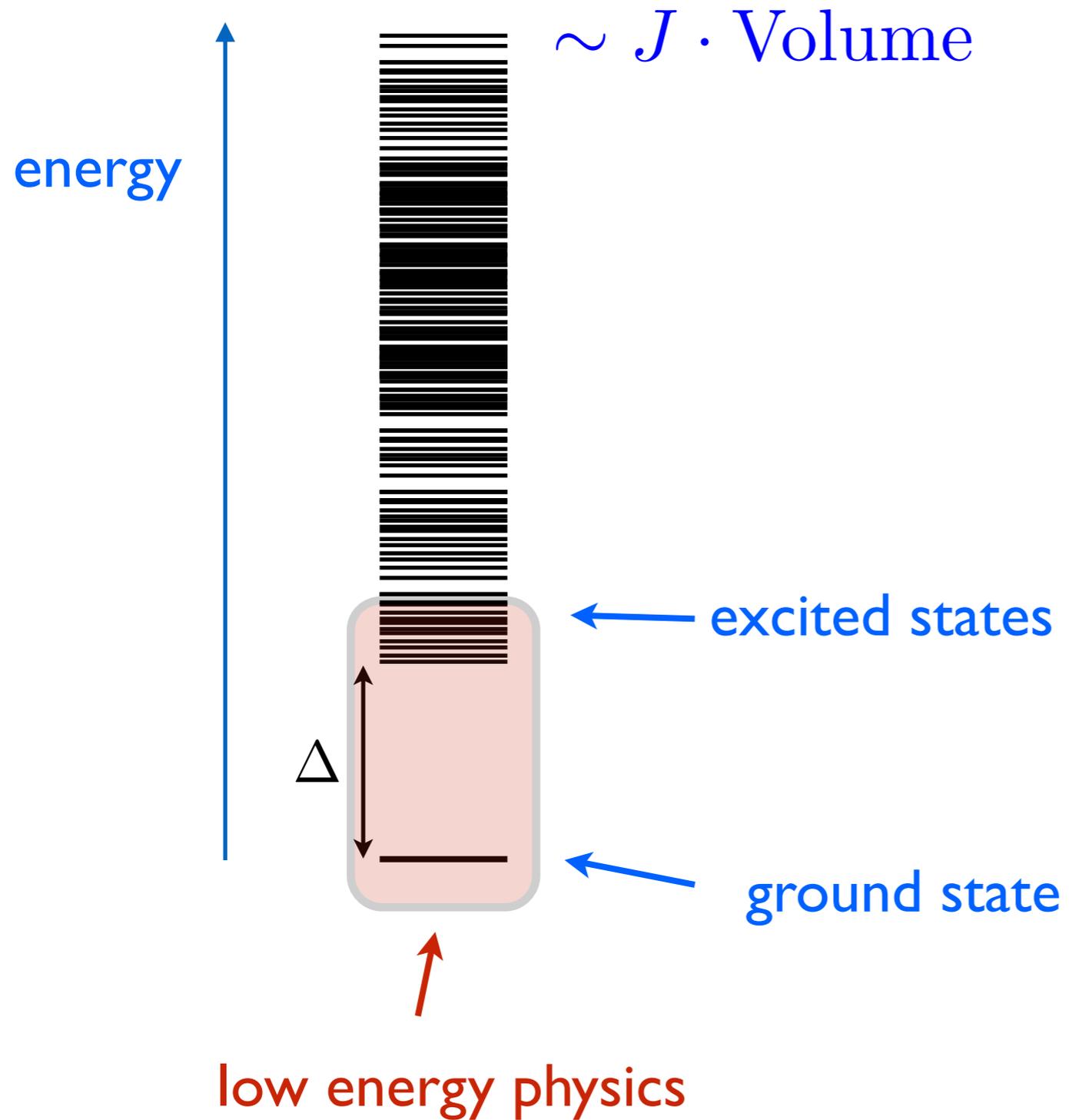
- exponentially decaying correlations in ground state
- area law entanglement entropy in ground state

Topological phases



- different phases distinguished by many-body invariants: e.g. quantized Hall conductivity, quasiparticle statistics, etc.

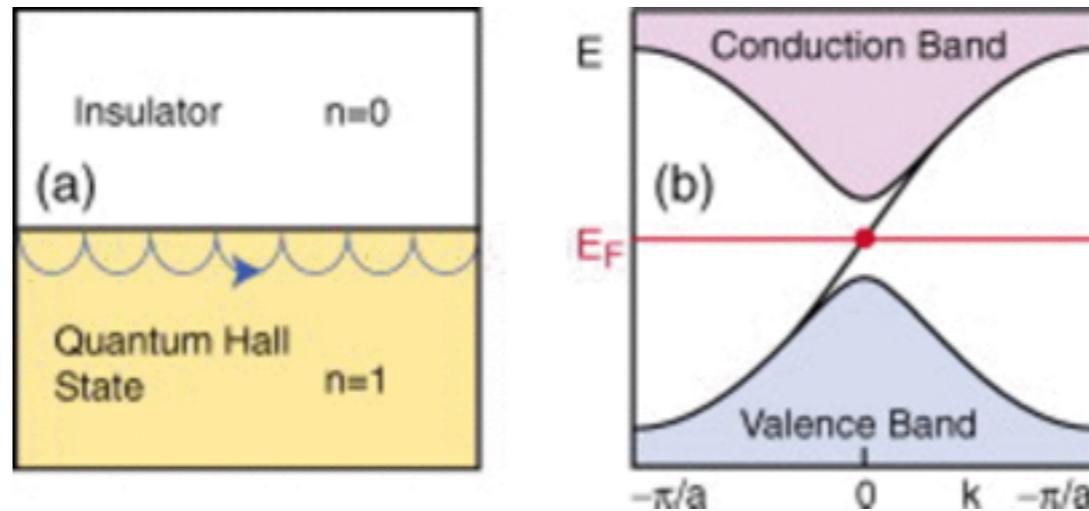
Spectrum of gapped Hamiltonian:



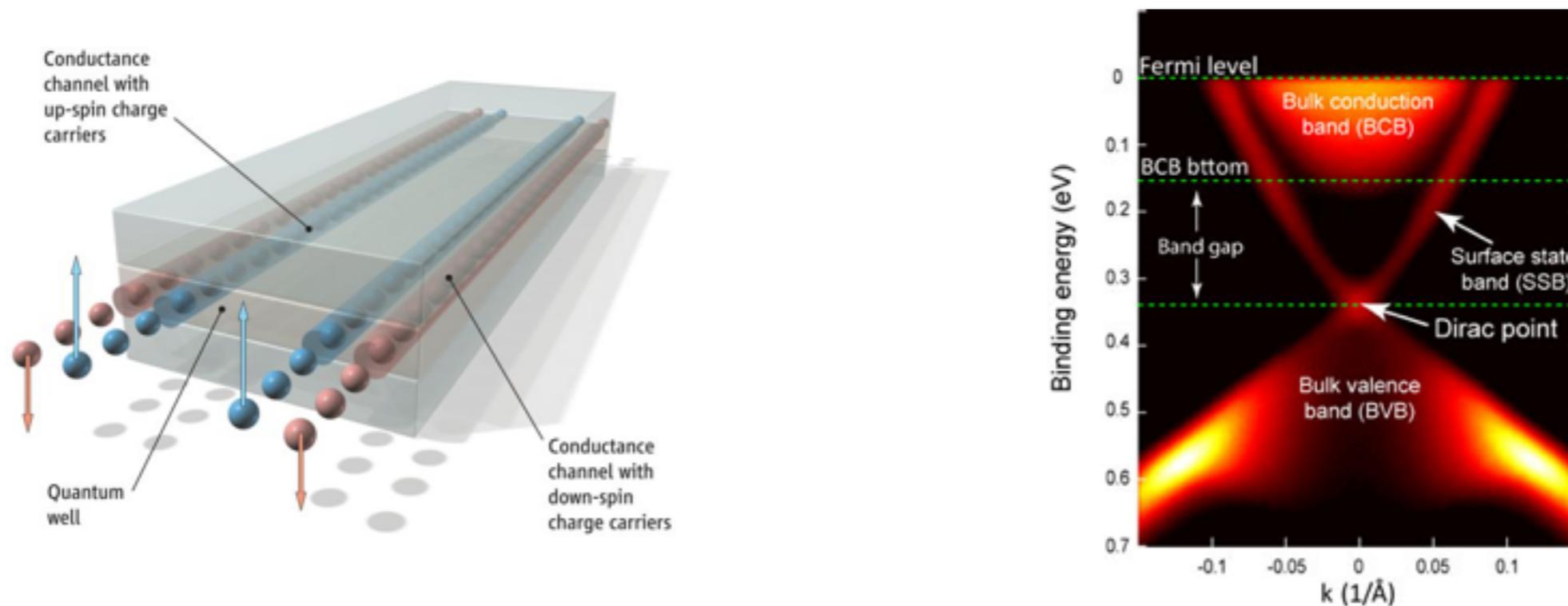
Topological phases

T=0 quantum phases: physics of the ground state

- quantum Hall effect (1980s):

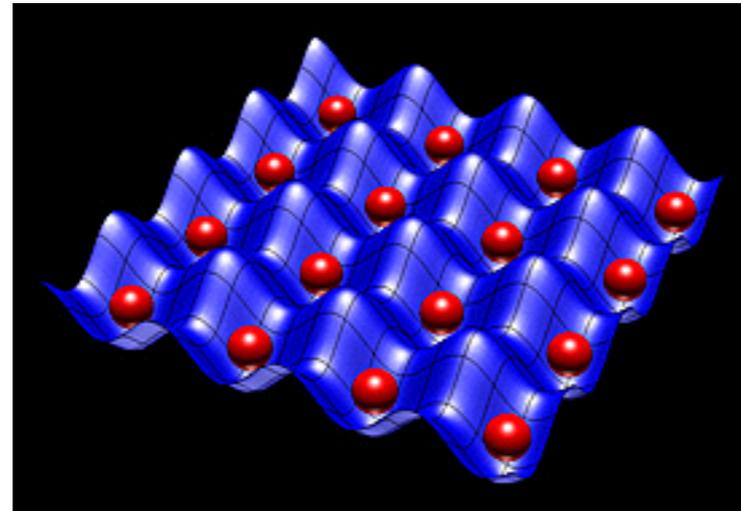


- quantum spin Hall effect, 3d topological insulator (2006-):



New designer quantum systems

Alkalai atoms in optical lattice:



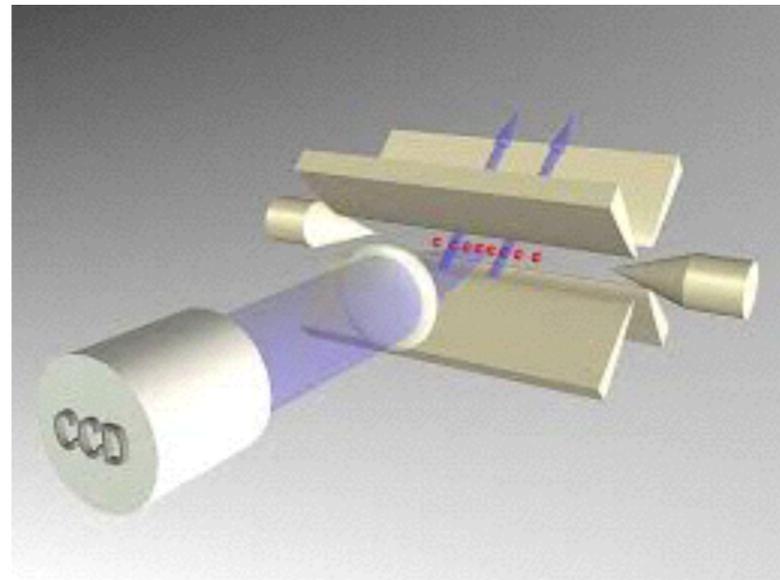
nist.gov



Mott insulator -
superfluid transition

Quantum anti-
ferromagnetism
(Greiner 2017)

Trapped ions:



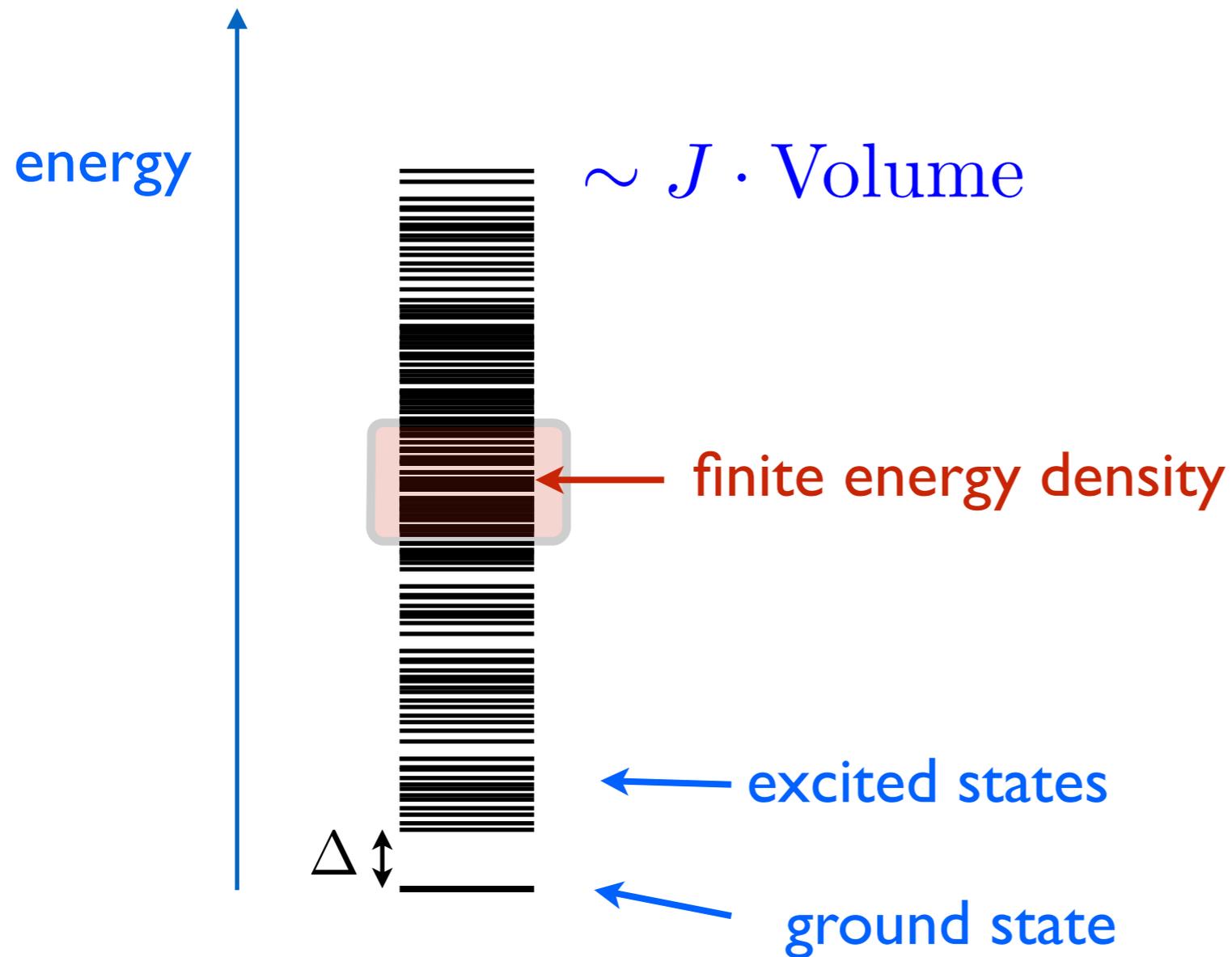
quantumoptics.at



effective Ising spin chain:



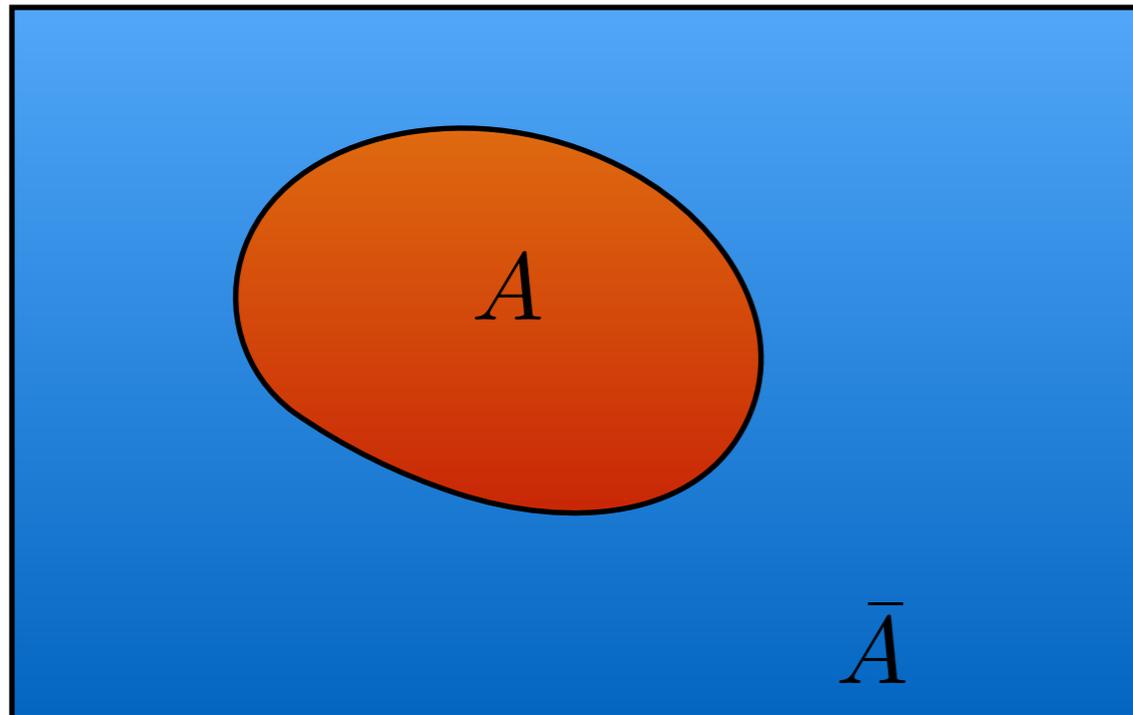
Can we observe topological properties at finite energy density?



Generic expectation: subsystems are thermalized

$$\langle \Psi(0) | H | \Psi(0) \rangle = E \quad E > E_{g.s.}$$

$$\Rightarrow \langle \Psi(t) | H | \Psi(t) \rangle = E$$



$$\rho_A(t) = \text{Tr}_{\bar{A}} |\Psi(t)\rangle \langle \Psi(t)|$$

- becomes thermal:

$$\rho_A(t) \rightarrow \frac{1}{Z} \text{Tr}_{\bar{A}} e^{-H/(k_B T)}$$

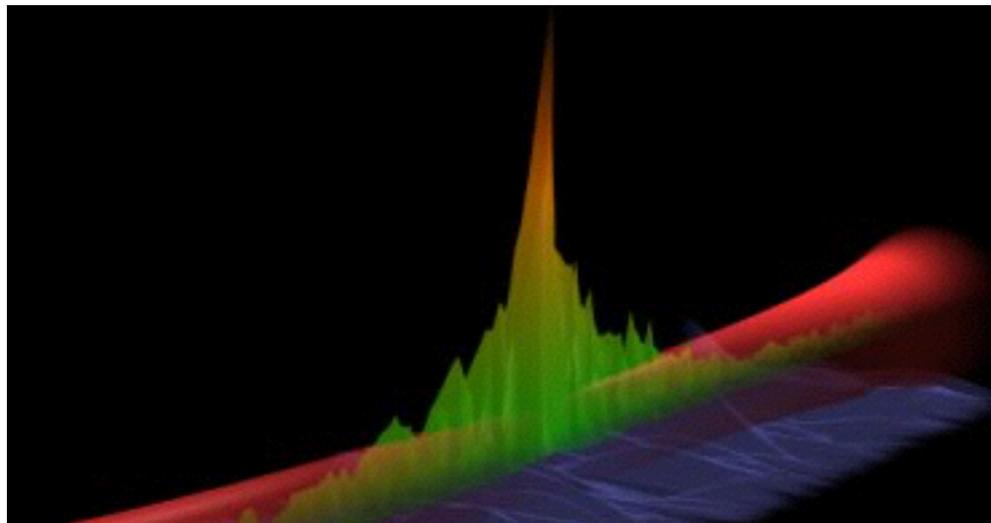
- finite energy density eigenstates have volume law entanglement entropy (Eigenstate Thermalization Hypothesis)

Avoiding thermalization: Many-body localization (MBL)

- with strong disorder, can fail to achieve thermal equilibrium, even with interactions

Basko, Aleiner, Altshuler; Pal, Huse, Gopalakrishnan, Nandkishore, Oganesyan, ...
experiments by Bloch group (cold atoms) and Monroe group (trapped ions)

- recall non-interacting Anderson insulator: all single-particle eigenstates localized



physicsworld.com

$$n_j = a_j^\dagger a_j$$

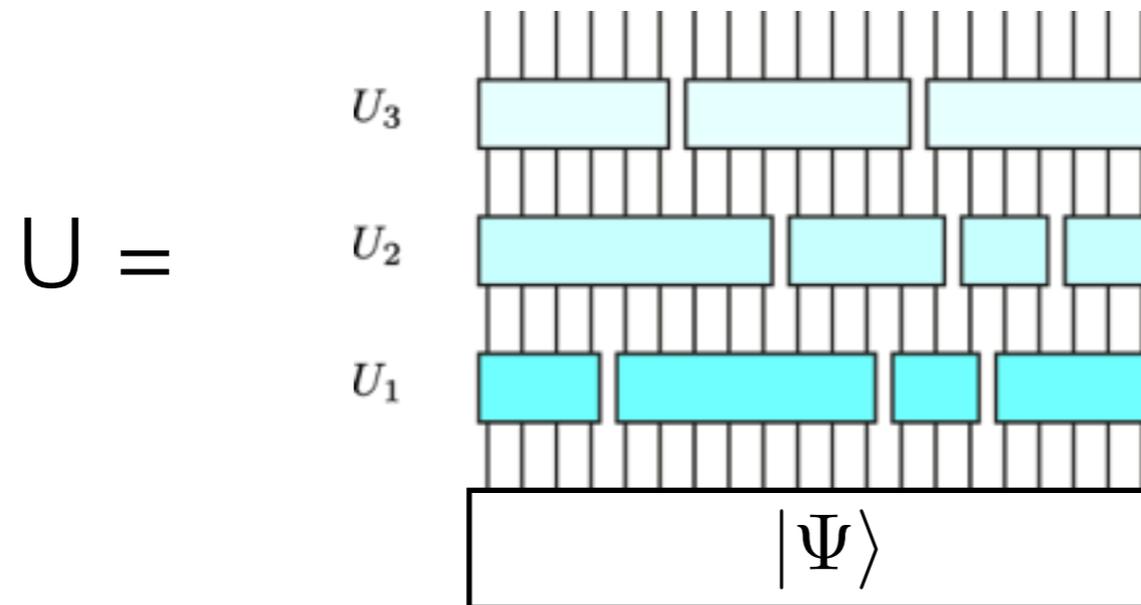


complete set of quasi-local conserved quantities

- existence of complete set of quasi-local conserved quantities remains true with weak interactions

Finite depth quantum circuit of local unitaries:

- unitary operator on a many body Hilbert space built out of local gates:



- preserves notion of locality: if X is a local operator, then $U^\dagger X U$ is a 'nearby' or 'dressed' quasi-local operator.
- Floquet unitaries are approximate quantum circuits

$$H_0 = \sum_i h_i \sigma_i^z + \sum_{i,j} J_{i,j} \sigma_i^z \sigma_j^z + \dots$$

disordered couplings 

perturb with $H_1 = \sum_i c_i \sigma_i^x$: $H = H_0 + H_1$

then there exists a finite depth circuit of unitaries U that approximately diagonalizes H in the z basis: (Imbrie)

$$U^\dagger H U = \sum_i h'_i \sigma_i^z + \sum_{i,j} J'_{i,j} \sigma_i^z \sigma_j^z + \dots$$

$\{U \sigma_i^z U^\dagger\}$ then forms a complete set of quasi-local commuting conserved quantities

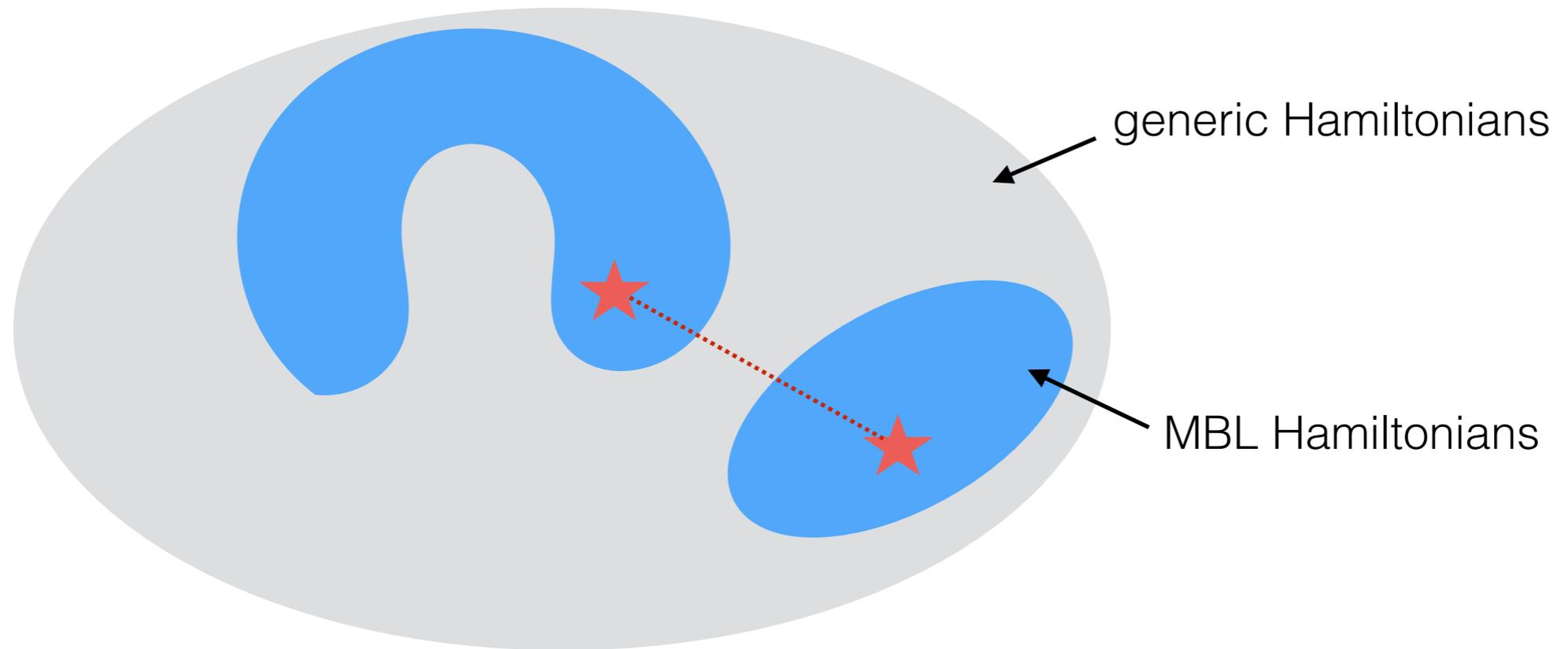
\uparrow
'l-bits'

(Huse, Nandkishore, Oganesyan)

=> area law entanglement entropy in all eigenstates

Many-body localization and topological order

- replace 'gapped' with 'many-body localized' (MBL)



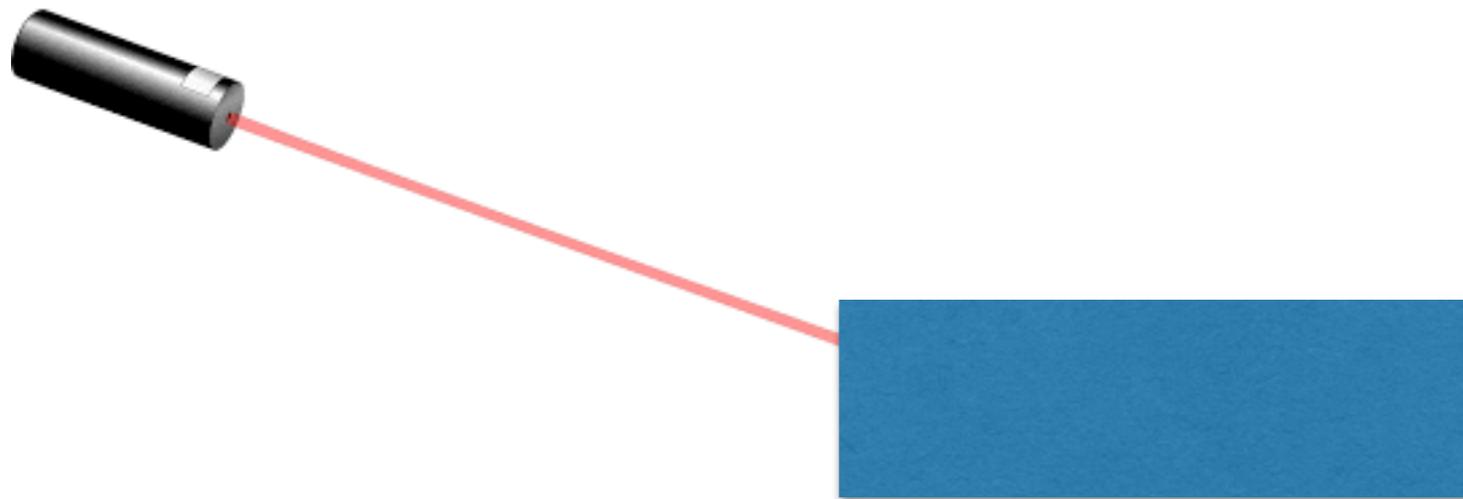
- topological order at finite energy density

(Bahri, Vosk, Altman, Vishwanath; Nandishore, Huse)

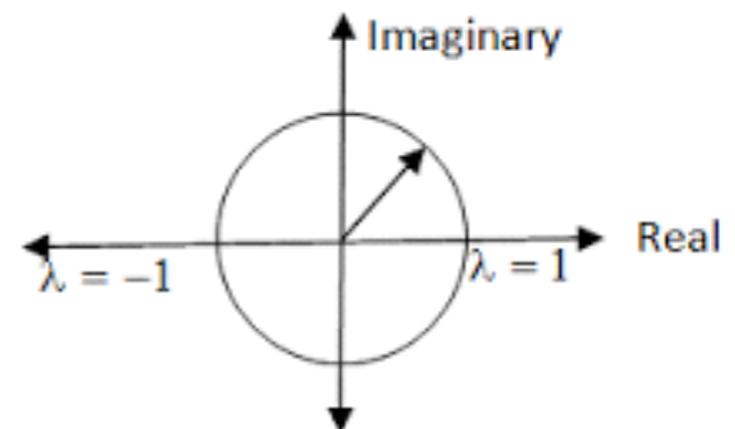
Floquet driving

- periodic time dependent Hamiltonian:

$$H(t + T) = H(t) \quad U_F = T \exp \left(i \int_0^T H(t) dt \right)$$

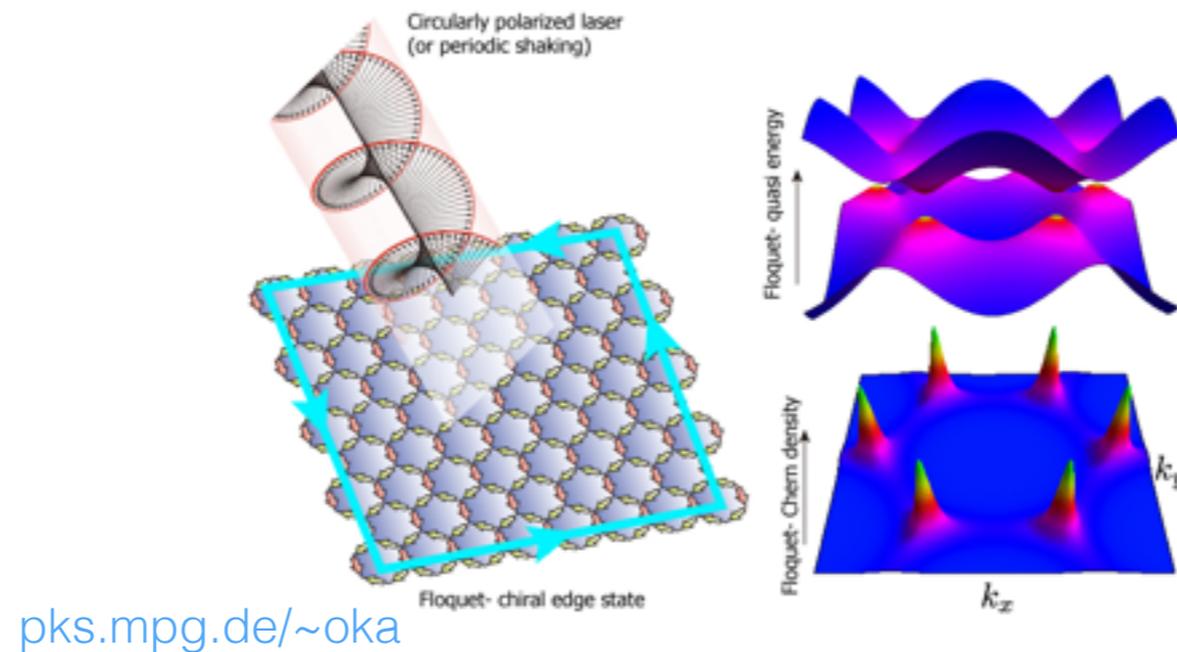


- diagonalize the 'Floquet unitary' U_F



Floquet band structure engineering

- free fermions: trivial \rightarrow topological:



- proposal for Floquet Engineering 2d TI from trivial band structure (Lindner, Refael, Galitski, Nat. Phys. '11)

- photo-induced quantum Hall state on TI surface (Gedik et al, Science 2013)

Floquet band structure engineering

- *Intrinsically* Floquet band structures

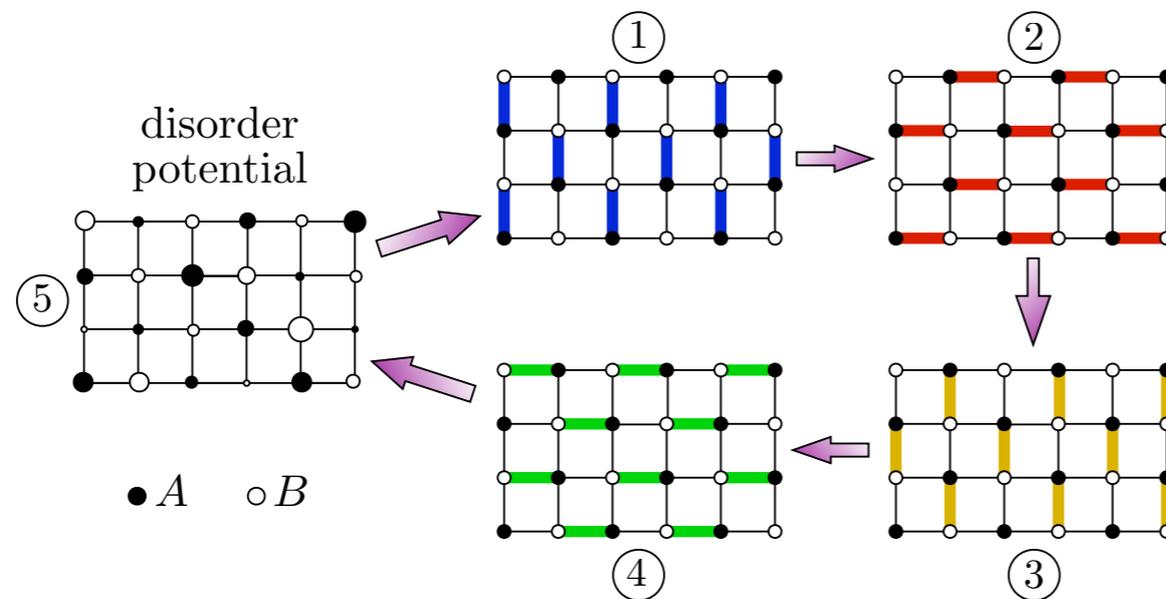


figure from Titum, Berg, Rudner, Refael, Lindner,
Phys. Rev. X 6, 021013 (2016)

Rudner, Lindner,
Berg, Levin '12;
Titum, Berg, Rudner,
Refael, Lindner '16;

...

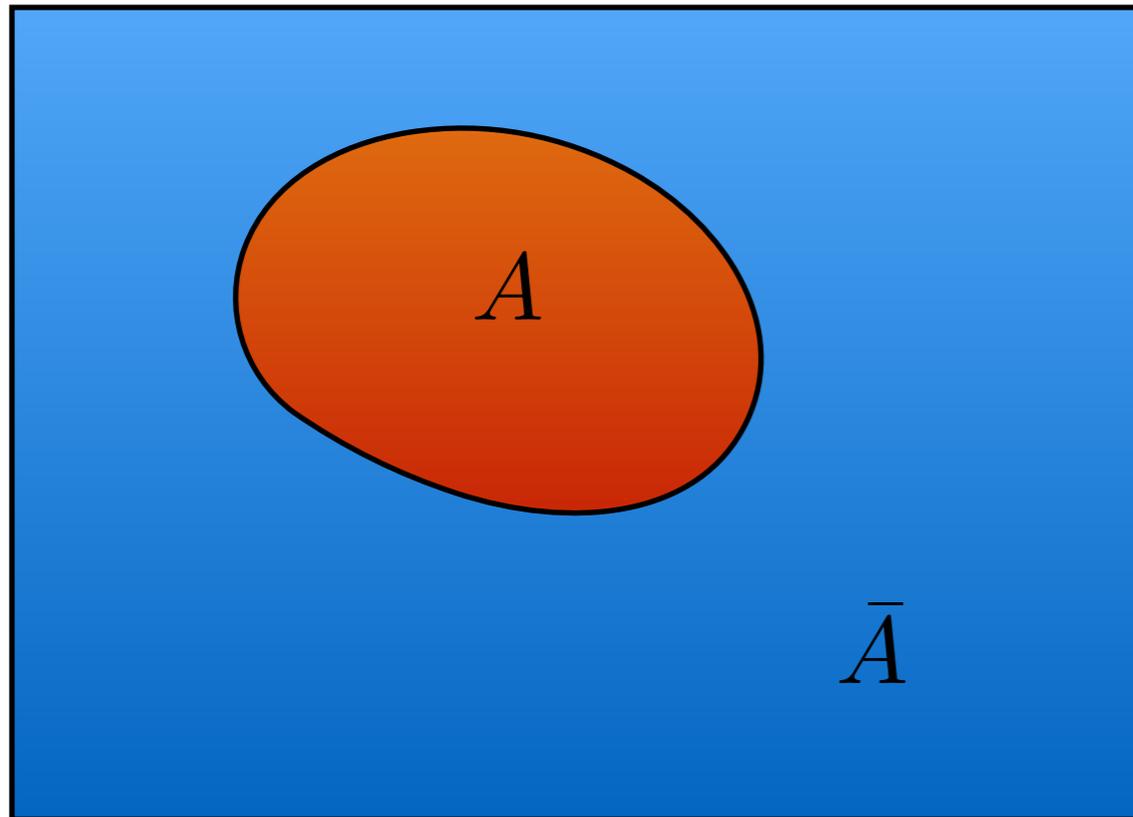
- interactions?

Dehgani, Oka, Mitra PRB '14, PRB '15

Torres, Perez-Piskunow, Balseiro, Usaj, PRL '14

Floquet systems: heating problem without MBL

- generically, system will absorb energy until it is at infinite temperature:



$$\rho_A(t) = \text{Tr}_{\bar{A}} |\Psi(t)\rangle\langle\Psi(t)|$$

$$\rho_A(t) \rightarrow \mathbf{1} \quad \left(= \frac{1}{Z} e^{-\beta H} \right)$$

as $\beta \rightarrow 0$

- entropy has been maximized, system 'blows up'

- MBL in Floquet systems:

- MBL can be stable upon turning on a time dependent periodic perturbation:

Ponte, Papić, Huveneers, Abanin;
Lazarides, Das, Moessner

$$H(t) = H_0 + H_1(t)$$

$$(H_1(t + T) = H_1(t))$$

$$U_F = T \exp \left(i \int_0^T H(t) dt \right)$$



$$U_F = e^{-iT H_{\text{eff}}}, \text{ with}$$

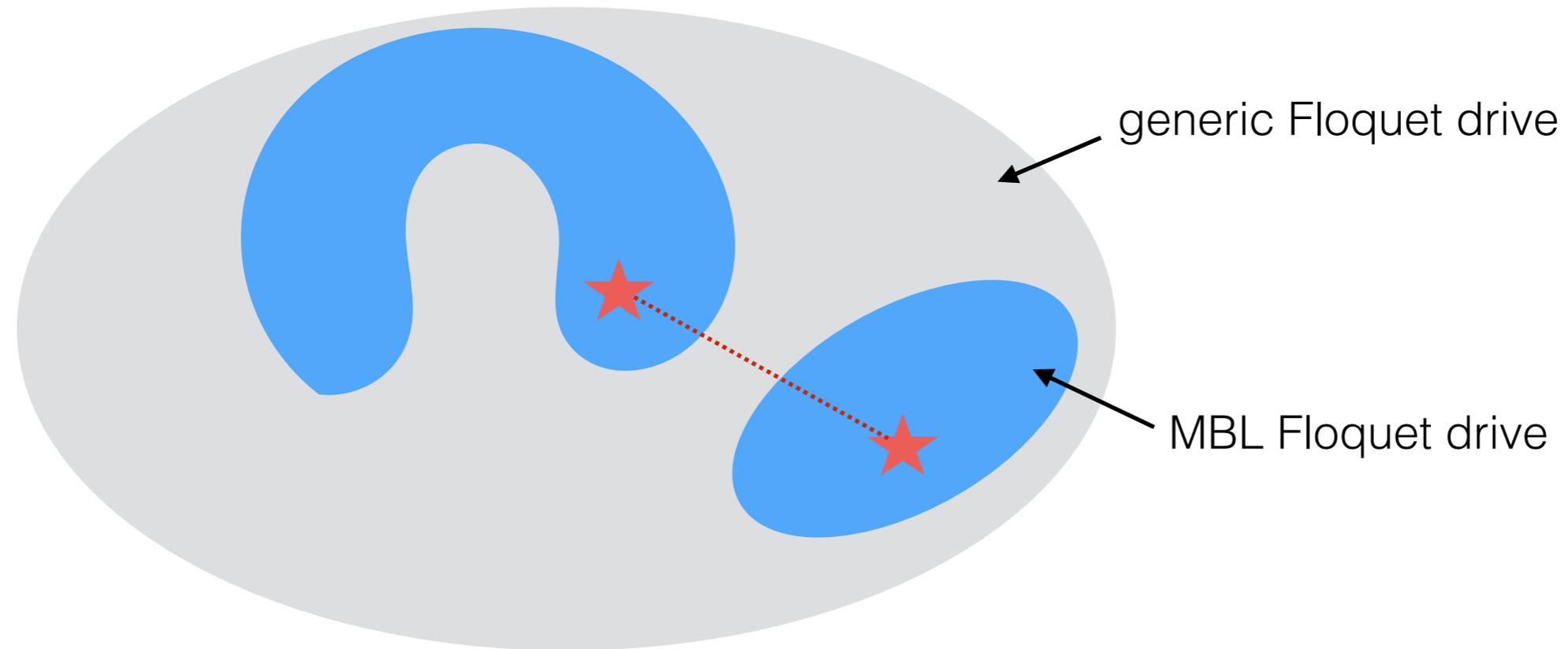
$$U^\dagger H_{\text{eff}} U = \sum_i h'_i \sigma_i^z + \sum_{i,j} J'_{i,j} \sigma_i^z \sigma_j^z$$

- schematically,

$$U_F = \prod_{\alpha} U_{\alpha}$$

quasi-local commuting unitaries

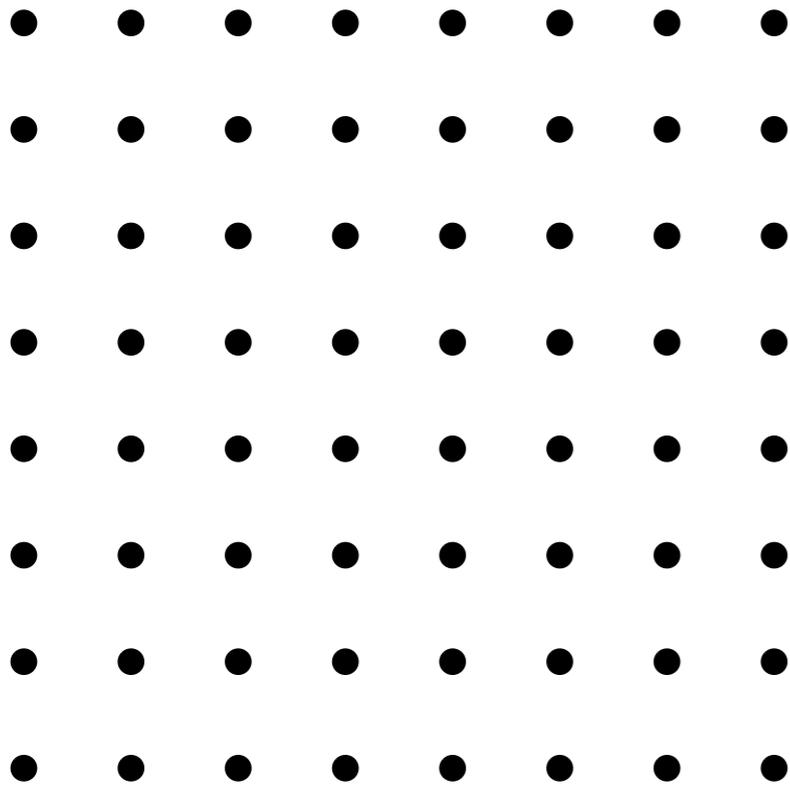
Floquet MBL phases



- many body interacting invariants for Floquet MBL phases?

Chiral Floquet MBL phases

Po, LF, Potter, Vishwanath, '16



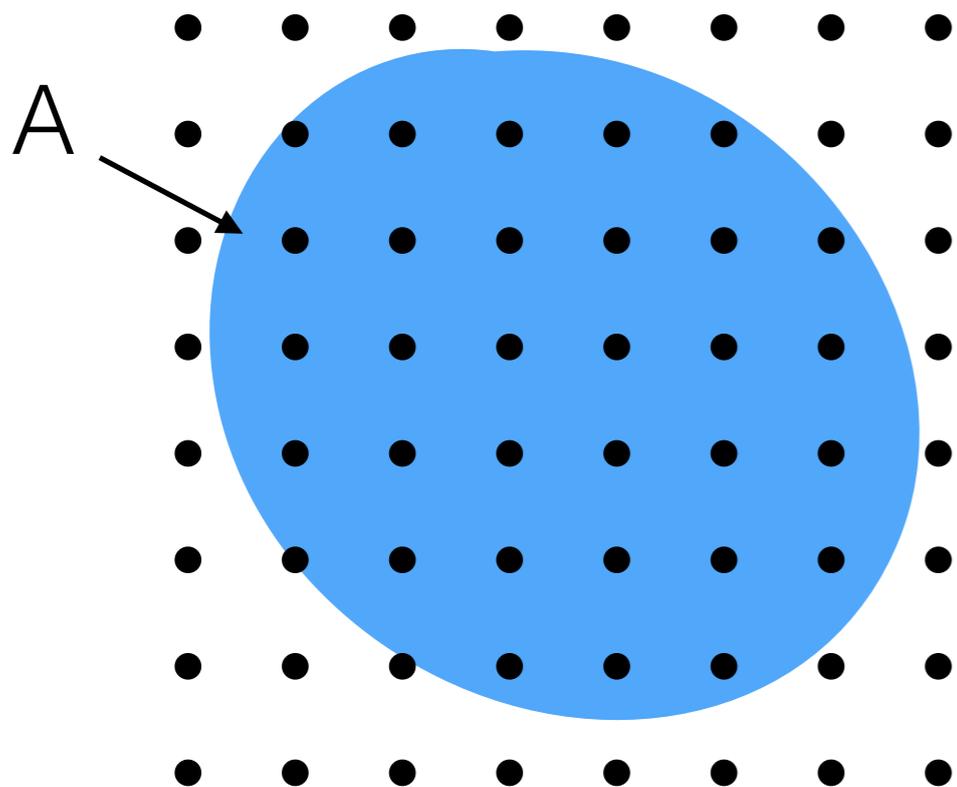
$$H(t) = \sum_j H_j(t) \quad \leftarrow \text{local terms}$$

$$U_F = T \exp\left(i \int_0^T H(t) dt\right)$$

$$V^\dagger U_F V = e^{-iT H_{MBL}} \quad \leftarrow \text{true in bulk of system}$$

$$H_{MBL} = \sum_i h_i \sigma_i^z + \sum_{i,j} J_{i,j} \sigma_i^z \sigma_j^z + \dots$$

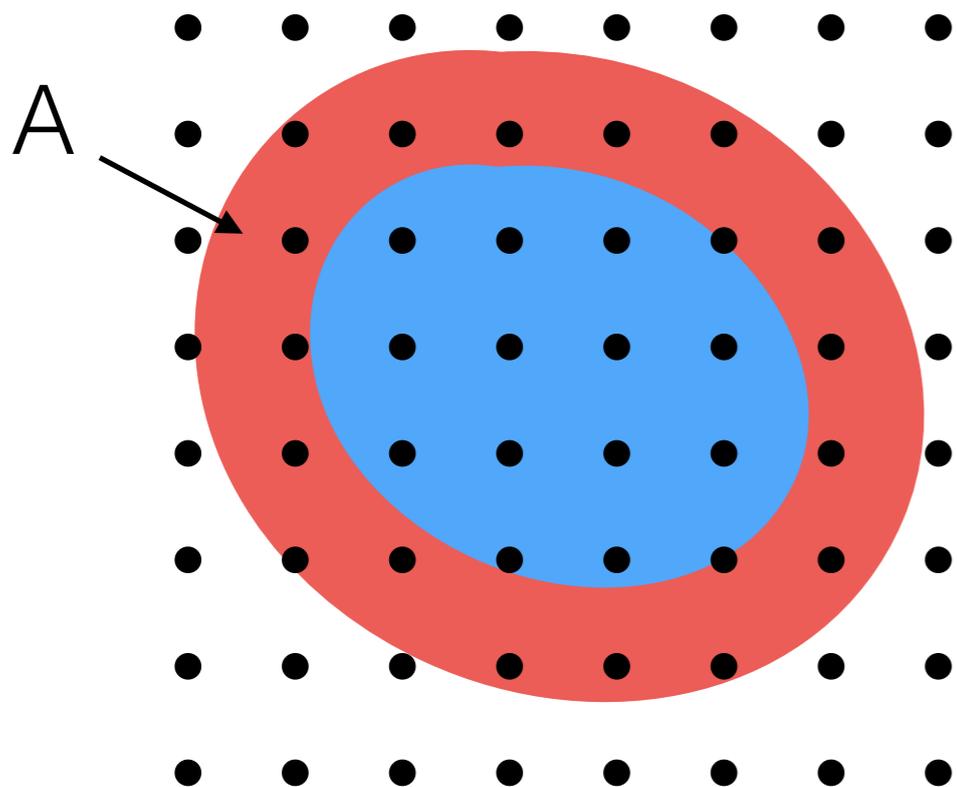
- Expose an edge:



$$H_A = \sum_{j \in A} H_j(t)$$

$$U_F^A = T \exp\left(i \int_0^T H_A(t) dt\right)$$

- Expose an edge:



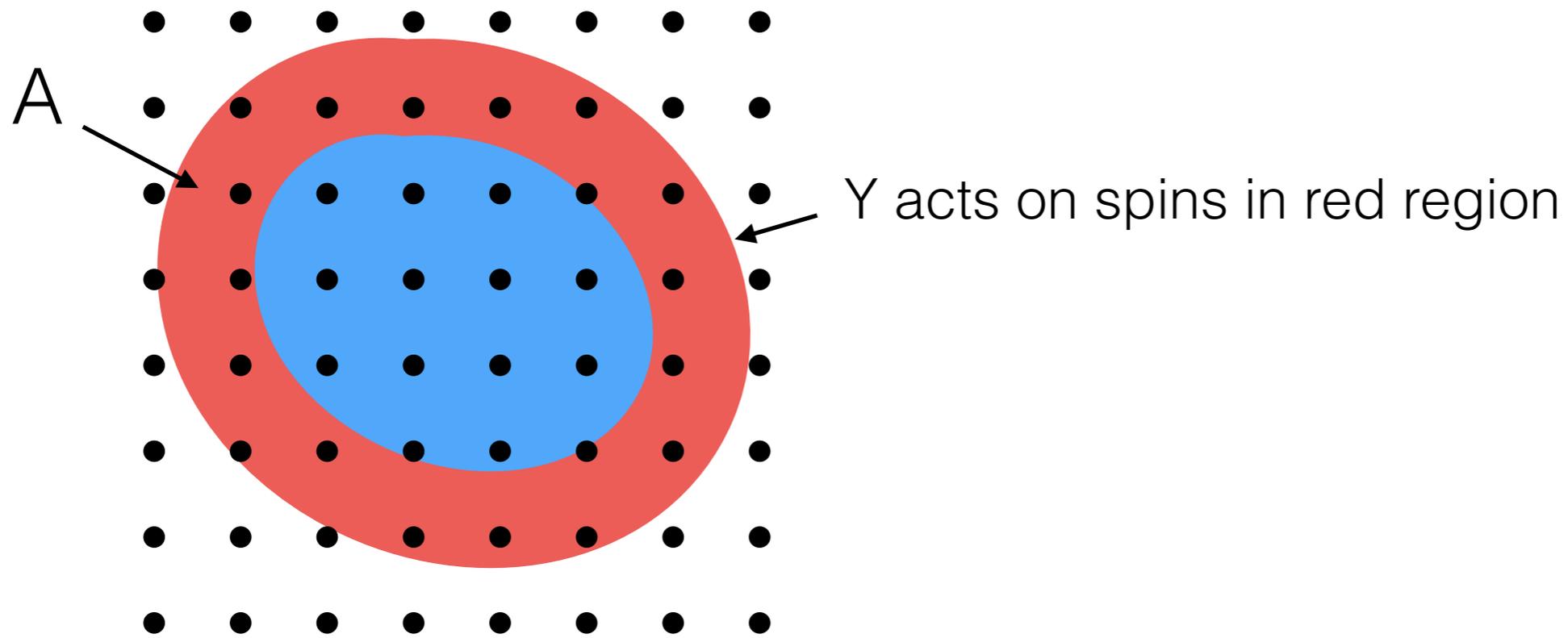
$$H_A = \sum_{j \in A} H_j(t)$$

$$U_F^A = T \exp\left(i \int_0^T H_A(t) dt\right)$$

$$V_A^\dagger U_F^A V_A = e^{-i T H'_{MBL}}$$

← MBL in bulk of system, but not at edge.

- freeze bulk conserved quantities, get effective edge evolution Y acting on red spins: quasi 1d system.



- furthermore, Y is locality preserving: for any local operator \mathcal{O} , $Y^\dagger \mathcal{O} Y$ is a (quasi)-local operator supported nearby.
- is Y the Floquet operator of some (quasi)-local 1d Hamiltonian?

Analogy

zero temperature 2d
topological phase

MBL Floquet system

Bulk gap



Bulk MBL

Low energy field
theory for the 1d edge



Locality preserving unitary Y on the 1d edge

lack of 1d UV
completion for low
energy edge theory
(e.g. chiral anomaly)



Impossibility of writing Y as the Floquet
evolution of a 1d driving Hamiltonian

E.g. uniform translation:



-there exists a rational quantized 'GNVW' index associated to Y

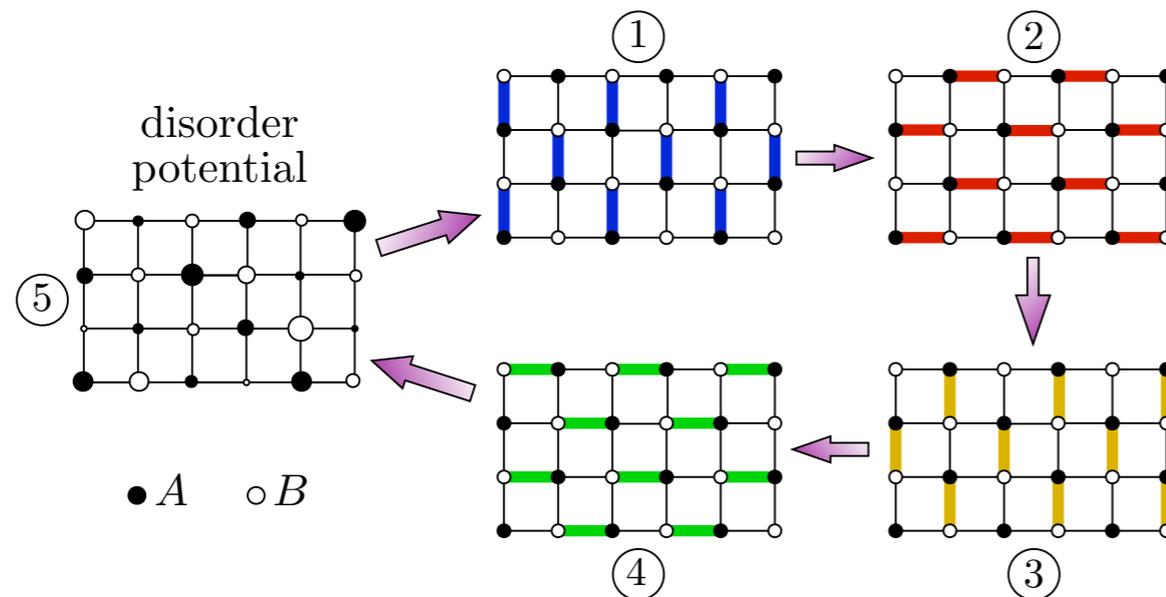
$$\text{ind}(Y) = \frac{p}{q} \quad \text{Gross, Nesme, Vogts, Werner '09}$$

- Y is the Floquet operator of a 1d system if and only if $\text{ind}(Y)=1$.

- $\nu(Y) = \log(\text{ind}(Y))$ characterizes the chiral flow of quantum information along the edge, and is a quantized invariant distinguishing different Floquet-MBL phases

Example of Chiral Floquet model:

- free fermion 'anomalous Floquet-Anderson insulator'



Rudner, Lindner, Berg, Levin '12;
Titum, Berg, Rudner, Refael, Lindner '16

figure from Titum, Berg, Rudner, Refael, Lindner,
Phys. Rev. X 6, 021013 (2016)

- after one time step nothing happens in the bulk, but a translation occurs on the edge

- replace fermion sites by bosonic spins (of arbitrary Hilbert space dimension p) and hopping by swap gates \Rightarrow get $\text{ind}(Y)=p$

- **stable to interactions and all symmetry breaking in Floquet-MBL setting**

Derivation of index

- coarse graining allows one to assume that Y is one-site local

$$Y^\dagger (\mathcal{O}_x \otimes \mathcal{O}_{x+1}) Y \subset (\mathcal{O}_{x-1} \otimes \mathcal{O}_x) \otimes (\mathcal{O}_{x+1} \otimes \mathcal{O}_{x+2})$$

- in fact, the algebra $Y^\dagger (\mathcal{O}_x \otimes \mathcal{O}_{x+1}) Y$ must be of the form $\mathcal{R}_L \otimes \mathcal{R}_R$

$$\mathcal{R}_L \subset \mathcal{O}_{x-1} \otimes \mathcal{O}_x$$

$$\mathcal{R}_R \subset \mathcal{O}_{x+1} \otimes \mathcal{O}_{x+2}$$

with simple bosonic 'support algebras' $\mathcal{R}_L, \mathcal{R}_R$

- $\mathcal{O}_x = \mathcal{M}_p(\mathbb{C})$, $\mathcal{R}_L = \mathcal{M}_q(\mathbb{C})$, index = p/q

- **fermionic generalization:** \mathbb{Z}_2 graded simple algebras \Rightarrow $\sqrt{2}$ index, corresponding to Majorana translation

Future directions

- fractional models

Po, LF, Vishwanath, Potter, arXiv 1701.01440

- incorporate fermions and symmetries (e.g. $e \leftrightarrow m$ symmetry in toric code)

LF, Po, Potter, Vishwanath, arXiv 1703.07360

- higher dimensions? connections to quantum cellular automata

- experimental realizations? c.f. discrete Floquet time crystals

von Keyserlingk, Khemani, Sondhi; Else, Nayak; Yao, Potter, Potirniche, Vishwanath
experiment: Monroe group (trapped ions), Lukin group (NV centers)