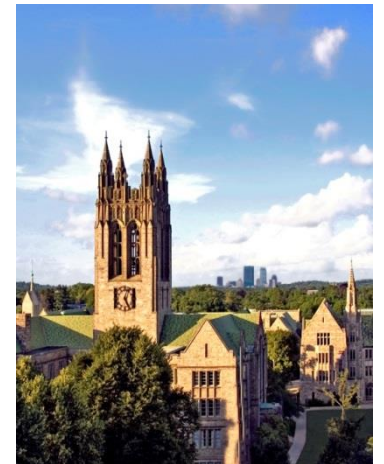


Symmetry enforced SPT phases

Ying Ran (Boston College)

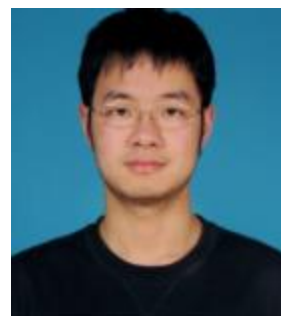


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Xu Yang



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- **Collaborators on an inspiring project (filling enforced quantum Hall):**

- Ohio State Univ.

Yuan-Ming Lu

- Univ of Tokyo

Masaki Oshikawa

References: arXiv:1611.07652, arXiv:1705.09298, arXiv:1705.05421

Two types of symmetric topological phases

SPT --- symmetry protected topological phases: (Pollmann, Berg, Turner, Oshikawa, Chen, Liu, Gu, Wen, Wang, Senthil, Lu, Vishwanath, Zaletel, Cheng...)

Example: Haldane spin chain, integer quantum Hall states, topological insulators...

Features:

- no topological order
- anomalous edge states protected by symmetry

SET --- symmetry enriched topological phases: (Wen, Essin, Hermele, Mesaros, YR, Barkeshli, Chen, Wang, Senthil, Lu, Vishwanath, Zaletel, Watanabe, Cheng, Bonderson....)

Example: toric code, gapped quantum spin liquids, fractional quantum Hall states...

Features:

- topological order (anyon excitations in 2d)
- symmetry can be fractionalized (e.g. $e/3$ quasiparticle in Laughlin's state).

Motivations

- Are there guiding principles to search for topological phases in strongly correlated quantum systems?

Motivations: usual translation symmetry

- Hastings-Oshikawa-Lieb-Schultz-Mattis theorems (HOLSM) put strong constraints on symmetric quantum ground states (liquid phases)

(1) At a fractional filling, translation symmetry forbids a gapped short-range entangled ground state.

Motivations: usual translation symmetry

- Hastings-Oshikawa-Lieb-Schultz-Mattis theorems (HOLSM) put strong constraints on symmetric quantum ground states (liquid phases)

(1) At a fractional filling, translation symmetry forbids a gapped short-range entangled ground state.

(2) With a nontrivial projective representation per unit cell, translation symmetry forbids a gapped short-range entangled ground state.

(Lieb, Schultz, Mattis, Oshikawa, Hastings, Watanabe, Po, Vishwanath, Zaletel...)

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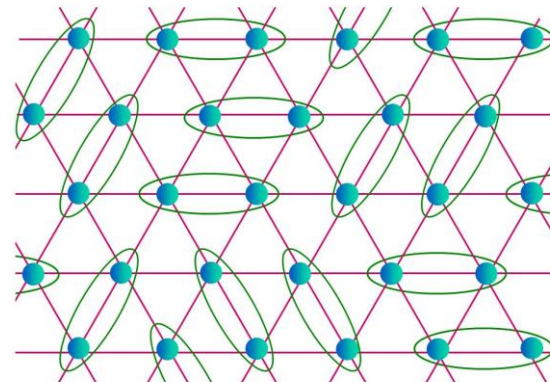
(Lieb, Schultz, Mattis, Oshikawa, Hastings, Watanabe, Po, Vishwanath, Zaletel...)

In these cases, a gapped liquid phase must be topologically ordered.

HOLSM have been served as guiding principles to search for realizations of topologically ordered phases. (e.g., quantum spin liquids...)

Spin liquid

$$= \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$



Motivations: Magnetic translation symmetry

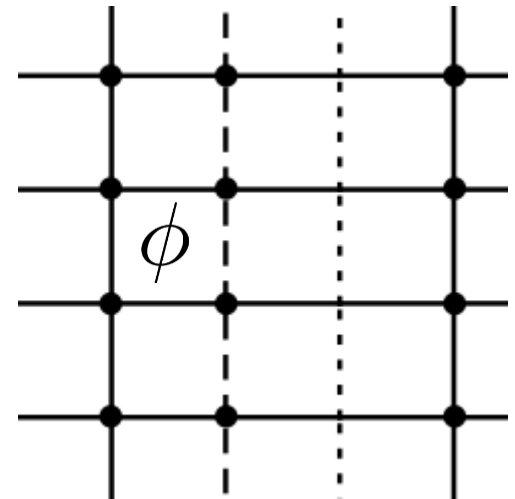
- What happens with **magnetic** translation symmetry?

e.g., Charge-conserving particles hopping on square lattice with flux per unit cell

$$T_x = e^{i\phi \sum_{\vec{r}} y n_{\vec{r}}} \cdot T_x^{orig.}, \quad T_y = T_y^{orig.}$$

$$T_x T_y T_x^{-1} T_y^{-1} = e^{i\phi \hat{N}}$$

$$\hat{N} = \sum_{\vec{r}} n_{\vec{r}} \quad \text{A global U(1) rotation}$$



$\phi = 2\pi/3$ Landau gauge

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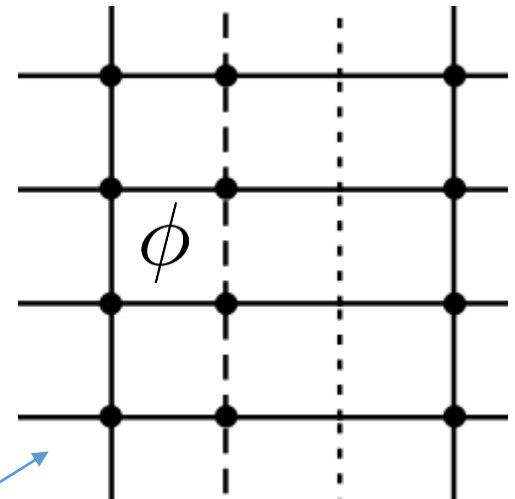
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Consider **free fermions with weak lattice potential**:

If $\nu = 1/3$ filling in the original unit cell, obviously one can have a gapped free fermion ground state:

an integer quantum Hall insulator from Landau level

Chern number = 1



$\phi = 2\pi/3$ Landau gauge

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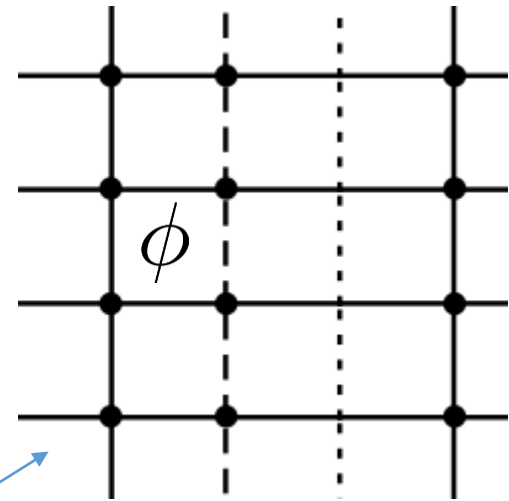
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In the presence of **arbitrary interaction**, one can show:

If $\nu = 1/3$ filling in the original unit cell,
then unique ground state respecting **magnetic translation** dictates: **Chern number = 1 mod 3**

(Lu, Ran, Oshikawa, to appear)



$\phi = 2\pi/3$ Landau gauge

Motivations: Magnetic translation symmetry

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e.g., Charge-conserving particles hopping on square lattice with flux per unit cell

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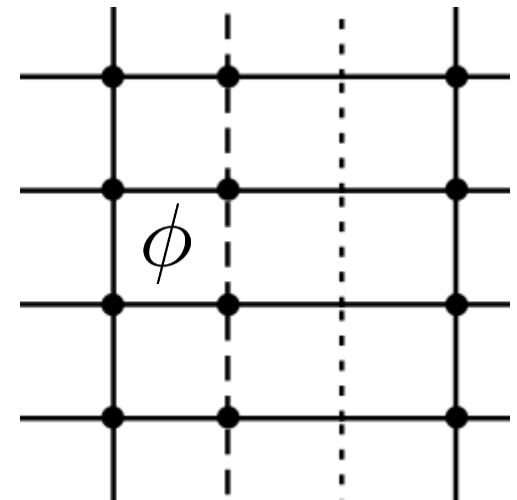
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$\phi = 2\pi/3$ Landau gauge

In this example, we learn that HOLSM + magnetic translation could lead to symmetry-enforced “integer” phases. Can one generalize this phenomena?

Wu, Ho and Lu, 2017 generalizes this for quantum spin Hall

The question

Consider 2+1D bosonic systems with an onsite symmetry group G , having one projective representation α of G per unit cell: $U_a U_b = \alpha(a, b) U_{ab}$

and respecting magnetic translation symmetry:

$$T_x T_y T_x^{-1} T_y^{-1} = g \quad g \in \text{Center of } G$$

T_x, T_y are usual translation operations together with certain site-dependent local unitaries.

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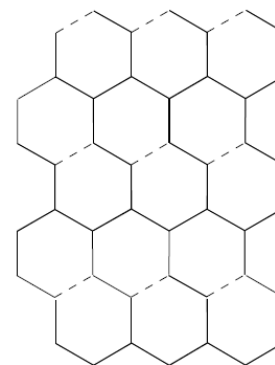
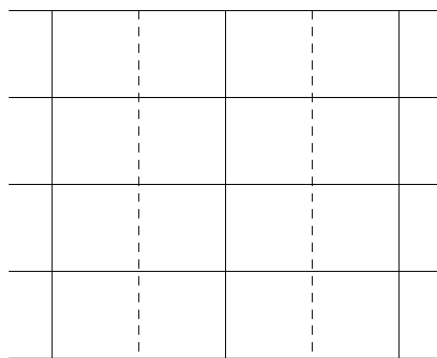
For example, fully frustrated Ising models on the square and honeycomb lattice satisfy this algebra with $g = \text{Ising}$:

$$H = - \sum_{\langle IJ \rangle} s_{IJ} \sigma_I^z \sigma_J^z$$

$$s_{IJ} = +1 \text{ on solid bond}$$

$$s_{IJ} = -1 \text{ on dashed bond}$$

$$\text{Ising symm.: } \prod_I \sigma_I^x$$



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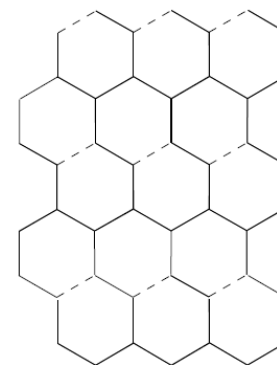
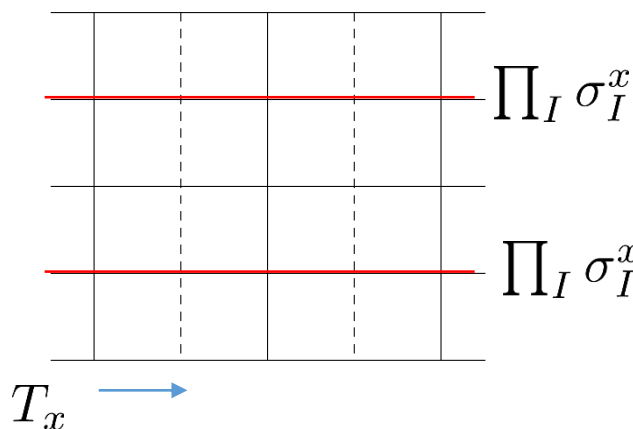
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We ask the following question:

Is a gapped SRE liquid (sym-SRE) phase possible? If the answer is yes, what kinds of sym-SRE phases are realizable?

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e.g.: $G = \text{SO}(3) \times \text{Ising}$: spin-1/2 per unit cell, with Ising magnetic translation.

Based on rather complete understanding of bosonic sym-SRE phases, hopefully one can obtain systematic results.

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1. Main results: a theorem
2. A corollary: new HOLSM-type constraint
3. Sketch of the proof
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Theorem:

This system could have a sym-SRE phase **if and only if** there is a 3-cocycle

$\omega_0(a, b, c) \in H^3(G, U(1))$ such that the slant product:

$$\delta_g^{\omega_0}(a, b) \equiv \frac{\omega(g, a, b)\omega(a, b, g)}{\omega(a, g, b)} \text{ satisfies } \delta_g^{\omega_0} \simeq \alpha^{-1} \in H^2(G, U(1))$$

When this condition is satisfied, the 3-cocycles of realizable sym-SRE phases form a coset: $\omega_0 \cdot \mathcal{A}_g$, where \mathcal{A}_g is the kernel of the slant product. And all such realizable sym-SRE phases are nontrivial SPTs.

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Physical meaning: a sym-SRE phase is realizable if and only if **its g-symmetry-defect carries the projective representation α** .

Mathematically the slant product δ_g is computing the projective representation carried by the g-defect. (discussed by Zaletel 2013 without proof, we provide a proof based on symmetric tensor-network formulation)

A Corollary

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Corollary: Assuming $G = G_1 \times Z_N$, where $Z_N = \{\mathbf{I}, g, g^2 \dots g^{N-1}\}$,

A sym-SRE phase is realizable if and only if the following two conditions are both satisfied, and the realizable sym-SRE phase must be an SPT.

(1) $\alpha^N \simeq \mathbf{1} \in H^2(G, U(1))$

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The first condition is well anticipated: in the enlarged unit cell one needs to have regular representation, otherwise HOLSM forbids sym-SRE phases.

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The second condition is not obvious from physical point of view.

e.g.: $N=2$ and $G_1 = \tilde{Z}_2$, α is the projective rep. of $G = \tilde{Z}_2 \times Z_2$, like a spin-1/2.

Then (1) is satisfied but (2) is violated. sym-SRE phase is impossible.

New HOLSM-type constraint

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even when the HOLSM is silent, there is a new constraint forbidding sym-SRE

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Applications: $N=2$ and $G_1 = Z_2^T$: time-reversal

(a) One Kramer doublet per unit cell \rightarrow SPT in which Ising defect is Kramer

(b) One non-Kramer doublet per unit cell \rightarrow Levin-Gu Z_2 SPT.

We also provide exactly solvable decorated quantum dimer models realizing these symmetry-enforced SPTs.

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(similar to arguments by Lu/Ran/Oshikawa and Wu/Ho/Lu 2017.)
- “if” part is more challenging: we need to provide generic constructions of these symmetry-enforced SPTs. We achieve this using a recently developed symmetric tensor-network formulation. (Jiang and Ran, 2017)

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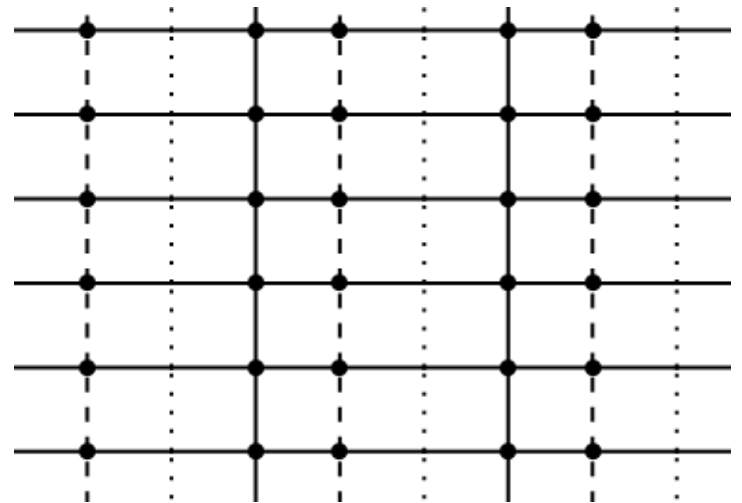
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Long cylinder sample with $L_y = k \cdot N + 1$.



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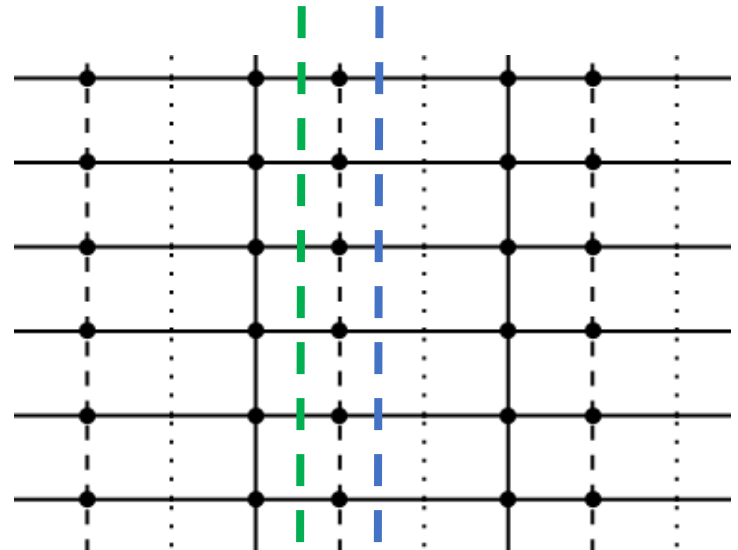
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- In a sym-SRE state, the proj. rep. of entanglement eigenstates at a given cut is fixed.

Green cut and blue cut differ by a projective rep. α



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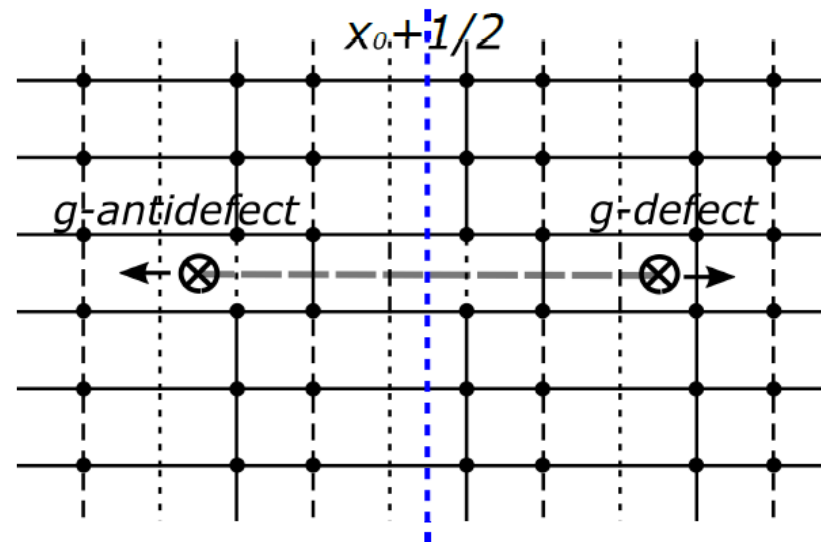
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Long cylinder sample with $L_y = k \cdot N + 1$.

- In a sym-SRE state, the proj. rep. of entanglement eigenstates at a given cut is fixed.
- After separating one pair of defects per row, the net effect is $T_x^{orig.}$.
 → The g -defect must carry α .



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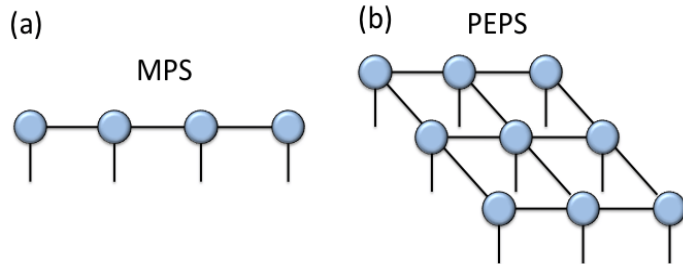
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“if” part: symmetric tensor-network construction

1D-MPS, 2D-PEPS, and 3D generalizations

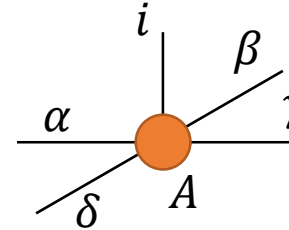


figures from R. Orus,
Annals Phys. (2014)

Cirac, Verstraete, Vidal, Gu, Levin, Wen,
White, Xiang....

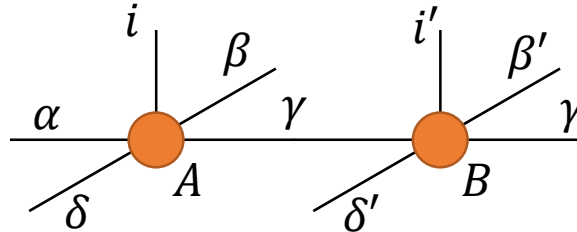
A brief introduction to tensor-networks

tensor

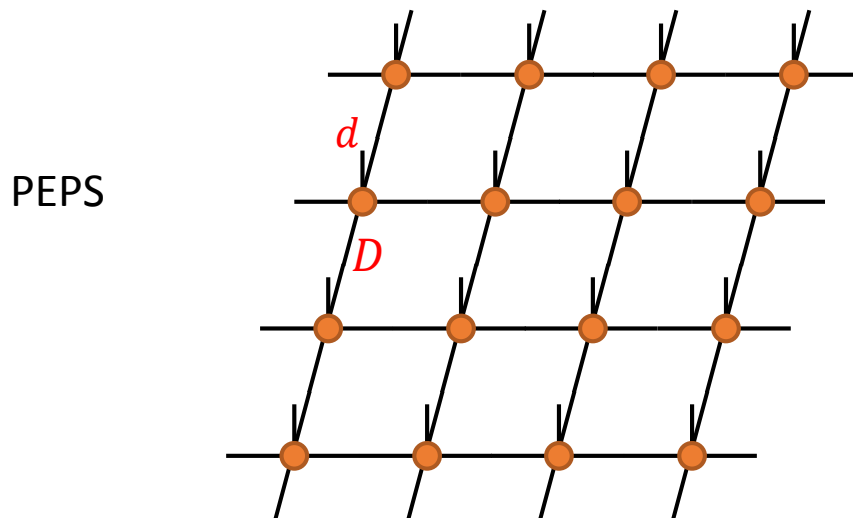


$$A = A_{\alpha\beta\gamma\delta}^i \sim \sum A_{\alpha\beta\gamma\delta}^i |i\rangle \otimes |\alpha\beta\gamma\delta\rangle$$

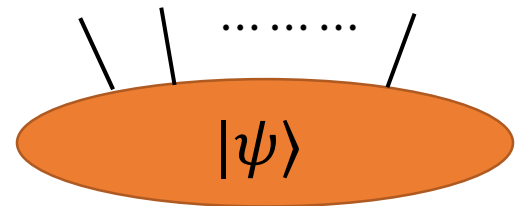
tensor contraction



$$= \sum_{\gamma} A_{\alpha\beta\gamma\delta}^i \cdot B_{\gamma\beta'\gamma'\delta'}^{i'}$$



$$|\psi\rangle = \sum_{\{i\}} c_{i_1 i_2 \dots i_n} |i_1, i_2, \dots, i_n\rangle$$



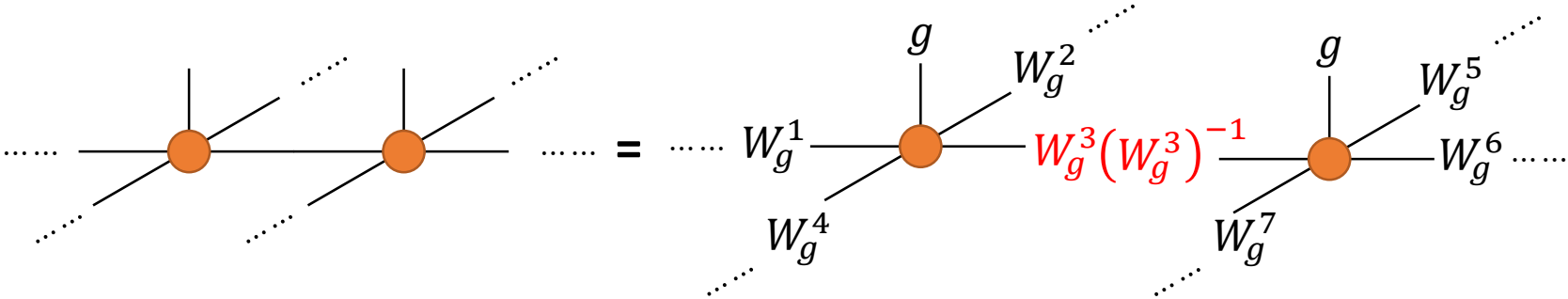
Symmetric tensor-networks

$$|\psi\rangle = g|\psi\rangle$$

global symmetries on
physical wavefunctions

\sim

gauge transformation on
internal legs



$$TN = W_g g \circ TN$$

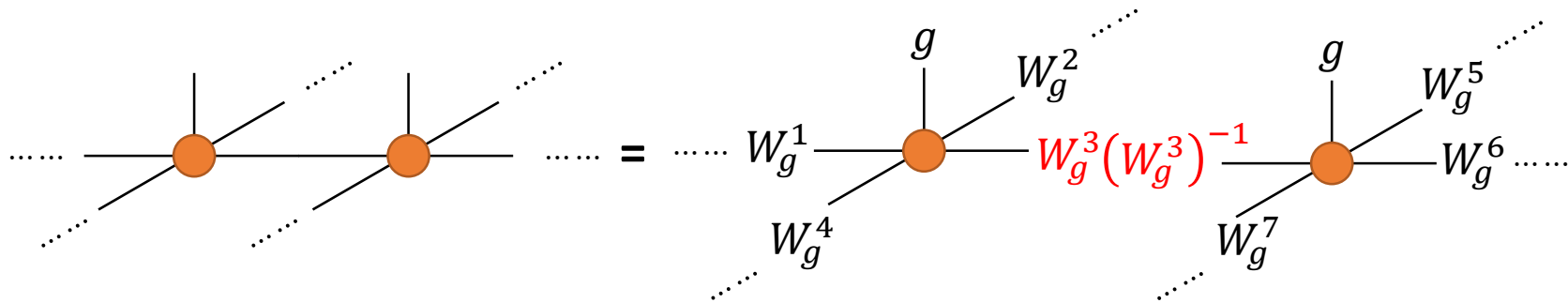
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$$TN = W_g g \circ TN$$

Jiang&YR, 2015, 2016

The consistency algebraic conditions for W_g can characterize SPT phases.

(mathematically: crossed-module extension)

Main advantage: onsite symmetry and spatial symmetry are treated on same footing.

Sketch of the proof

Consider 2+1D bosonic systems with an onsite symmetry group G , having one projective representation α of G per unit cell: $U_a^a U_b = \alpha(a, b) U_{ab}$

and respecting magnetic translation symmetry:

$$T_x T_y T_x^{-1} T_y^{-1} = g \quad g \in \text{Center of } G$$

Theorem in physics language: a sym-SRE phase is realizable **if and only if** its g-symmetry-defect carries the projective representation α .

“if” part: symmetric tensor-network construction

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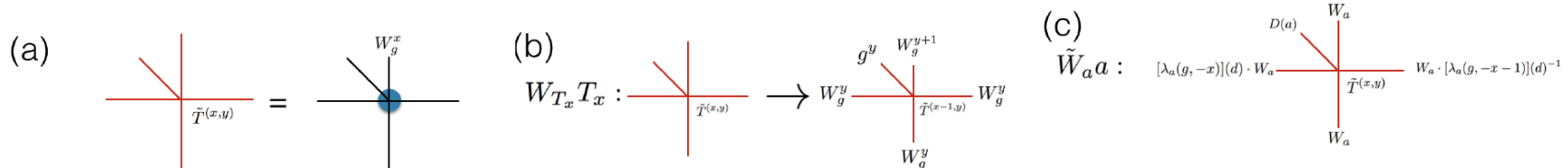
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“if” part: symmetric tensor-network construction

Given a symmetric tensor-network SPT state with a regular representation per unit cell and Respecting the usual translation symmetry, and its g -defect carries projective representation α ,

there is a prescription to modify it into the tensor-network SPT state with a projective representation α per unit cell and respecting the magnetic translation symmetry.



The question

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We ask the following question:

Is a gapped SRE liquid (sym-SRE) phase possible? If the answer is yes, what kinds of sym-SRE phases are realizable?

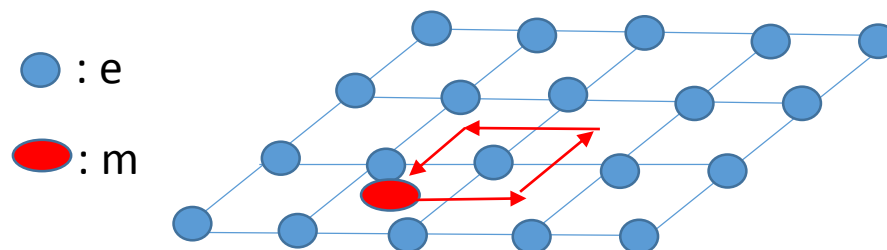
PLAN:

1. Main results: a theorem
2. A corollary: new HOLSM-type constraint
3. Sketch of the proof
4. **Model realizations (A simple model realizing SPT phase)**

Another picture of symmetry-enforced SPT

Let us consider a familiar situation: $G=SO(3)$

A Z_2 (toric-code topological order) spin liquid with a spin-1/2 per unit cell respecting **regular translation** symm.



Quite generically: (Cheng, Zaletel, Barkeshli, Vishwanath, Bonderson, 2015)

the spinon **e carries the spin-1/2**,

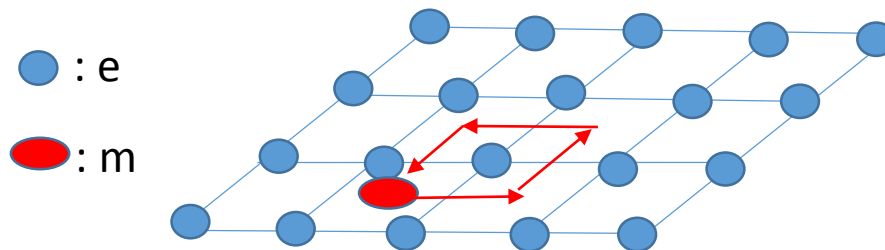
the vison m has **nontrivial translation symmetry fractionalization**:

$$T_x T_y T_x^{-1} T_y^{-1} [m] = -1$$

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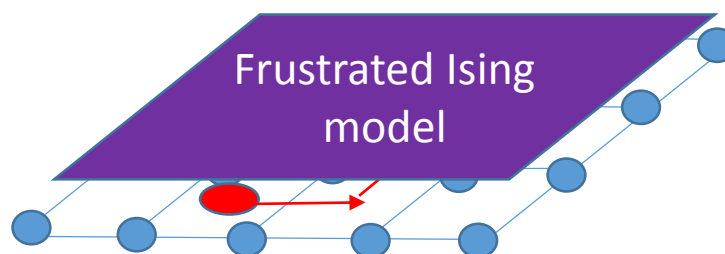
**Consistent with HOLSM, in order to kill the topological order,
there is no way to condense either e or m without breaking symmetry**

Another picture of symmetry-enforced SPT

What if $G=SO(3)\times\text{Ising}$?

A Z_2 (toric-code topological order) spin liquid with a spin-1/2 per unit cell respecting **Ising magnetic translation** symm:

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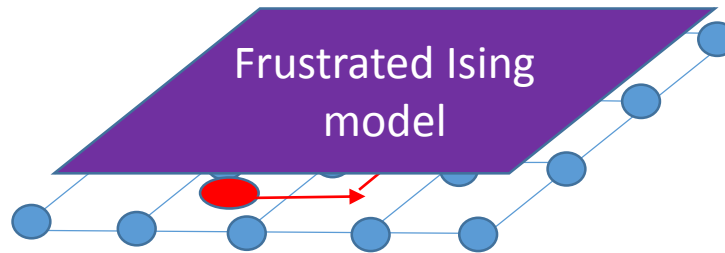
e.g., the previous Z_2 spin liquid stacked with a layer of frustrated Ising model

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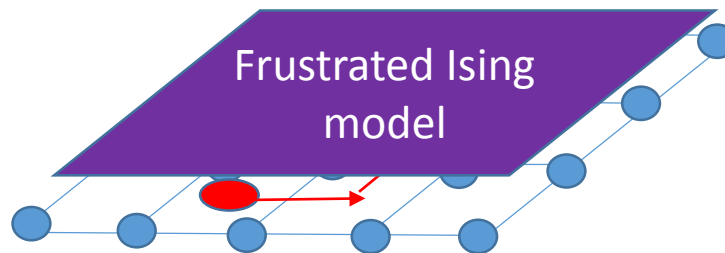
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Condensing such Ising-odd vison does not break physical symmetry and kills topological order
The resulting sym-SRE phase MUST be an SPT. (anyon-condensation mechanism, Jiang&YR 2016)

The anyon condensation mechanism to obtain SPT

an SET phase $\xrightarrow{\text{Condense certain fluxes}}$ an SPT phase

- Gauge group: $Z_{N_1} \times Z_{N_2} \times \dots$ & symmetry group: SG
- e-particles feature nontrivial symmetry fractionalization
- m-particles have trivial fractionalization, but can carry usual quantum numbers

Jiang&YR, 2016

$$\Omega_{g_1} \cdot \Omega_{g_2} = \lambda(\mathbf{g}_1, \mathbf{g}_2) \cdot \Omega_{g_1 g_2} \quad \Omega_g \sim \text{symmetry defect}, \quad \lambda \sim \text{certain } m \text{ particle}$$

- Condensing m-particles without breaking symmetry, which requires:

1. Condensed m 's carry 1D symmetry irrep: $\chi_m(\mathbf{g})$
2. $\chi_m(\mathbf{g}) \cdot \chi_{m'}(\mathbf{g}) = \chi_{mm'}(\mathbf{g})$

These results are also obtained by symmetric tensor-network formulation

- After condensing those m 's, we get an sym-SRE phase with

$$\omega(\mathbf{g}_1, \mathbf{g}_2, \mathbf{g}_3) \equiv \chi_{\lambda(\mathbf{g}_2, \mathbf{g}_3)}(\mathbf{g}_1), \quad [\omega] \in H^3(SG, U(1))$$

A somewhat simple model realizing symm-enforced SPT

- Following the anyon-condensation mechanism, we can design somewhat simple models realizing bosonic SPT phases. (need 3-spin interactions)
- The model looks like this:

Global symmetry: $U(1) \times \text{Ising}$

$$H = H_{U(1)} + H_{\text{Ising}} + \lambda \cdot W$$

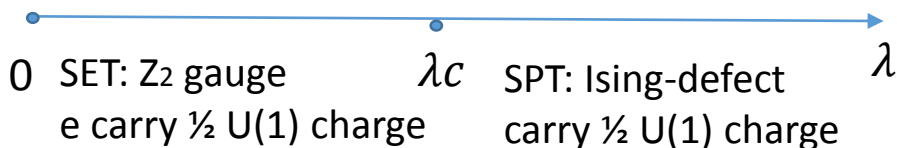
W

U(1)-Layer

Ising-Layer

$$H_{\text{Ising}} = h \cdot \sum \sigma^x$$

Condensing
Ising-odd m-particle



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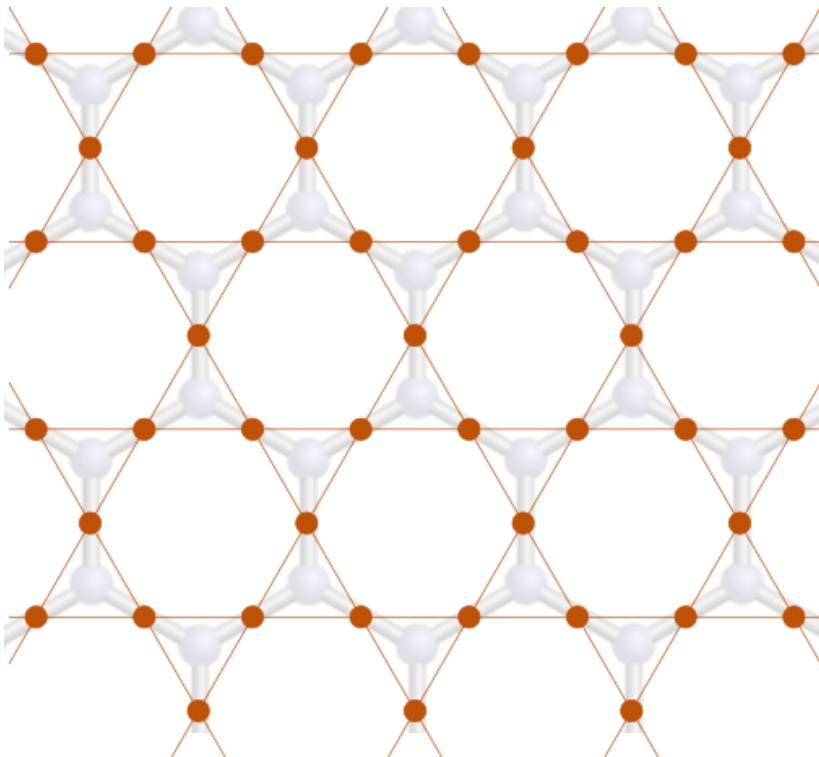
U(1)-layer: Half-filled hard-core bosons on the **kagome lattice**

$$H_{U(1)} = -t \sum b_i^\dagger b_j + V_1 \sum n_i n_j + V_2 \sum n_i n_j + V_3 \sum n_i n_j$$

$$t \ll V_1 = V_2 = V_3 = V$$

In this regime, $H_{U(1)}$ is in a deconfined \mathbb{Z}_2 spin liquid phase:
e-particle carries $\frac{1}{2}$ $U(1)$ -charge.
(Balents, Fisher, Girvin 2001)

0 **SET: \mathbb{Z}_2 gauge** λc λ
e carry $\frac{1}{2}$ $U(1)$ charge



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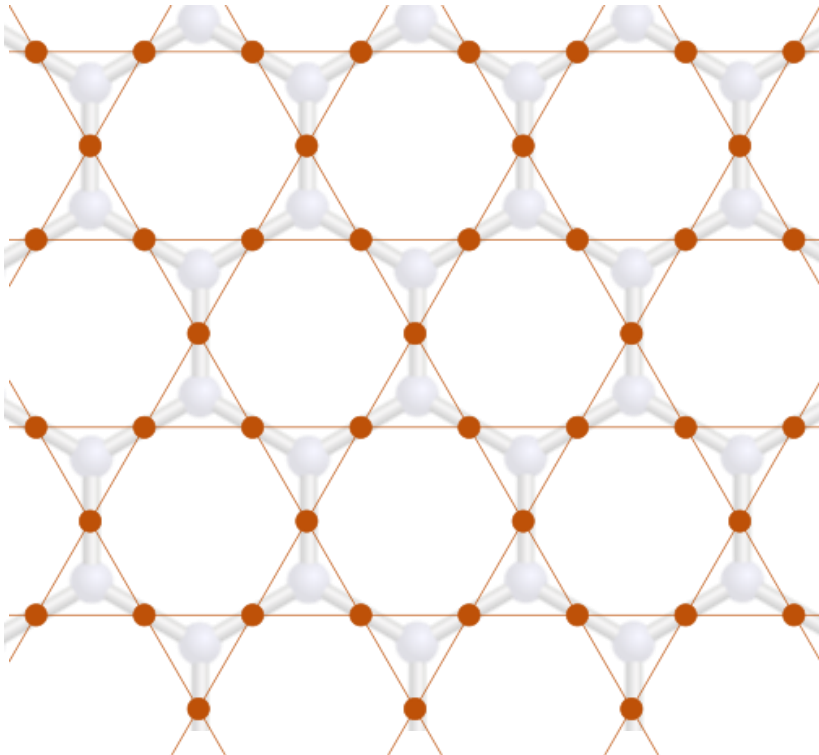
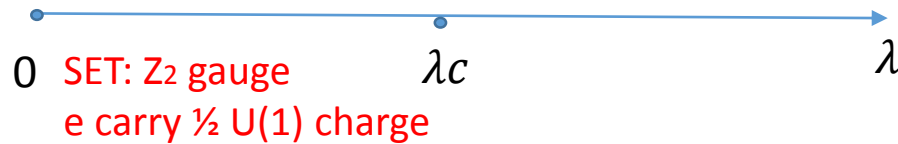
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Ising-layer: transverse field Ising spins on the **honeycomb lattice**

$$H_{\text{Ising}} = h \cdot \sum \sigma^x$$

$$h \ll V$$



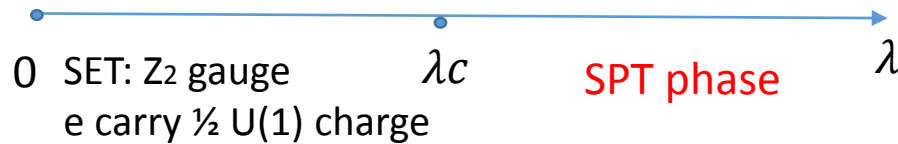
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Global symmetry: U(1) x Ising

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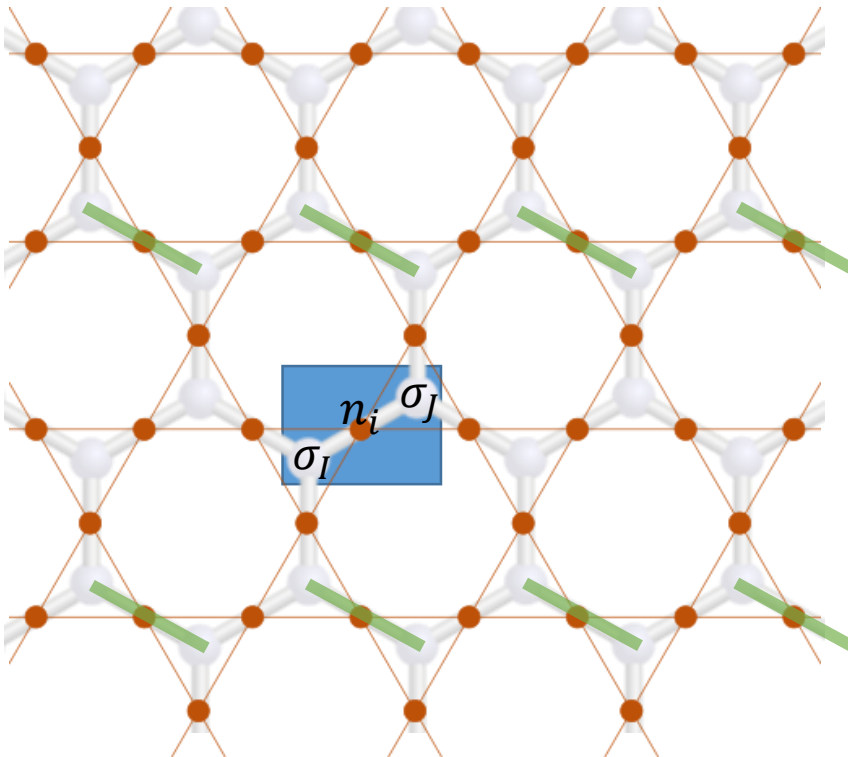
$$h \ll V$$

$\lambda \cdot W$: 3-spin interaction coupling two layers

$$\lambda \cdot W = \lambda \cdot \sum (n_i - 1/2) \cdot (s_{IJ} \sigma_I^z \sigma_J^z)$$

$s_{IJ} = -1$ on green bonds, $s_{IJ} = +1$ otherwise

Ising magnetic translation symmetric



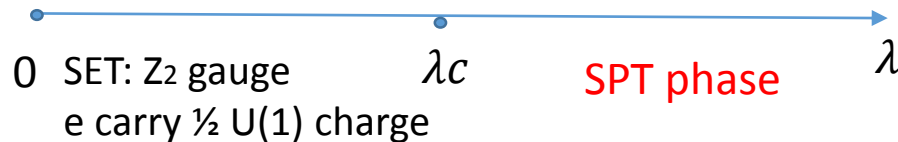
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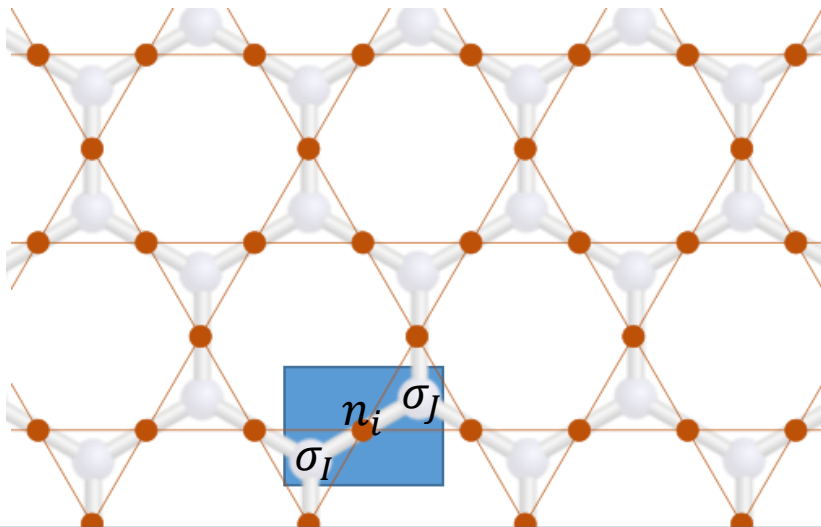
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One can analytically show:
SPT phase is realized when
 $t, h \ll \lambda \ll V$ and $\frac{t}{V} \ll \frac{h^2}{\lambda^2}$

Summary

- For 2+1D bosonic systems with proj. rep. per unit cell respecting magnetic translation symmetry, we give sufficient and necessary condition for a sym-SRE phase to exist.
- If such sym-SRE phase exist, it must be SPT (symmetry-enforced SPT). All realizable SPT phases form a coset structure.
- Sometimes such sym-SRE does not exist due to nonobvious reason:
new HOLSM-type constraint
- Simple Model realizations of SPT (via anyon condensation mechanism)

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Thank you!