

Structure and Topology of Band Structures in the 1651 Magnetic Space Groups



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[Noninteracting]

Sci Adv (2016)

PRL (2016)

Nat Commun (2017)

(New) arXiv:1707.01903

[Interacting]

PNAS (2015)

arXiv:1703.06882

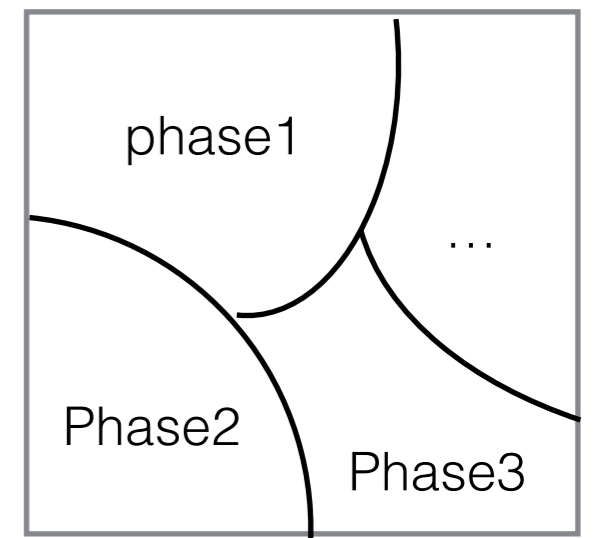
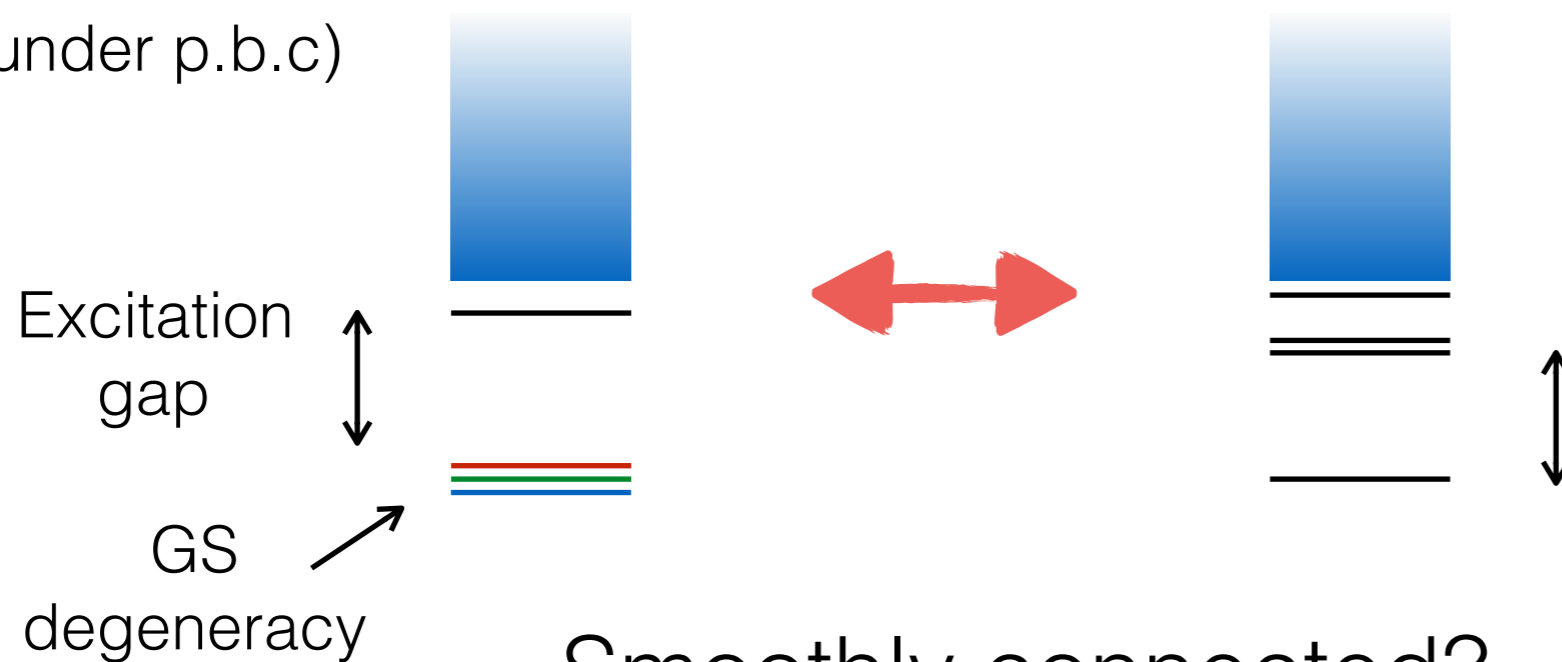
Introduction 1: Symmetry & Quantum Phases

Quantum Phases

distinguished by symmmetries

1. Symmetry breaking
2. Symmetry Protected Topological phases (SPTs)

Eigenvalues of H
(under p.b.c)

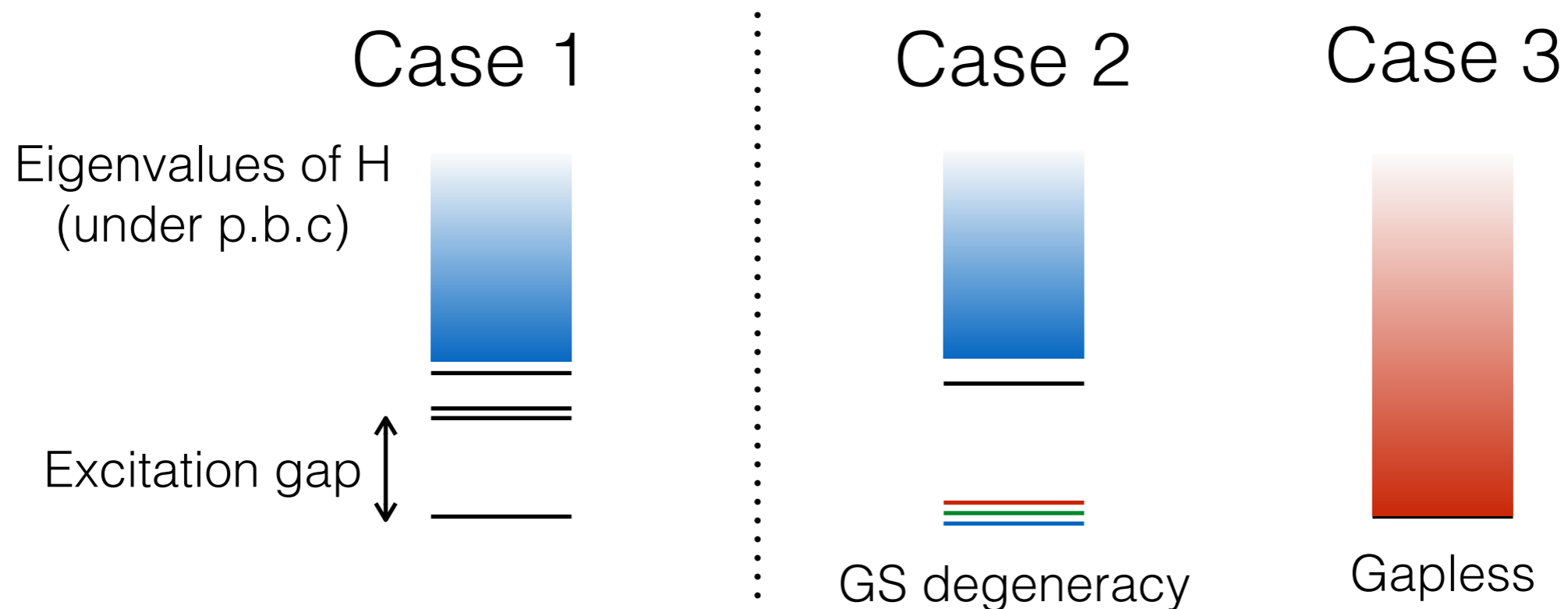


Smoothly connected?

- Respects **symmetries**
- Keeps the **excitation gap**

Constraints on possible / allowed phases: Lieb-Schultz-Mattis theorem


- Generalization of “Haldane conjecture”
- Constraints based on “Symmetries” + “filling”



- Band insulator
- Haldane phase

- Band (semi)metal
- Kagome spin liquid

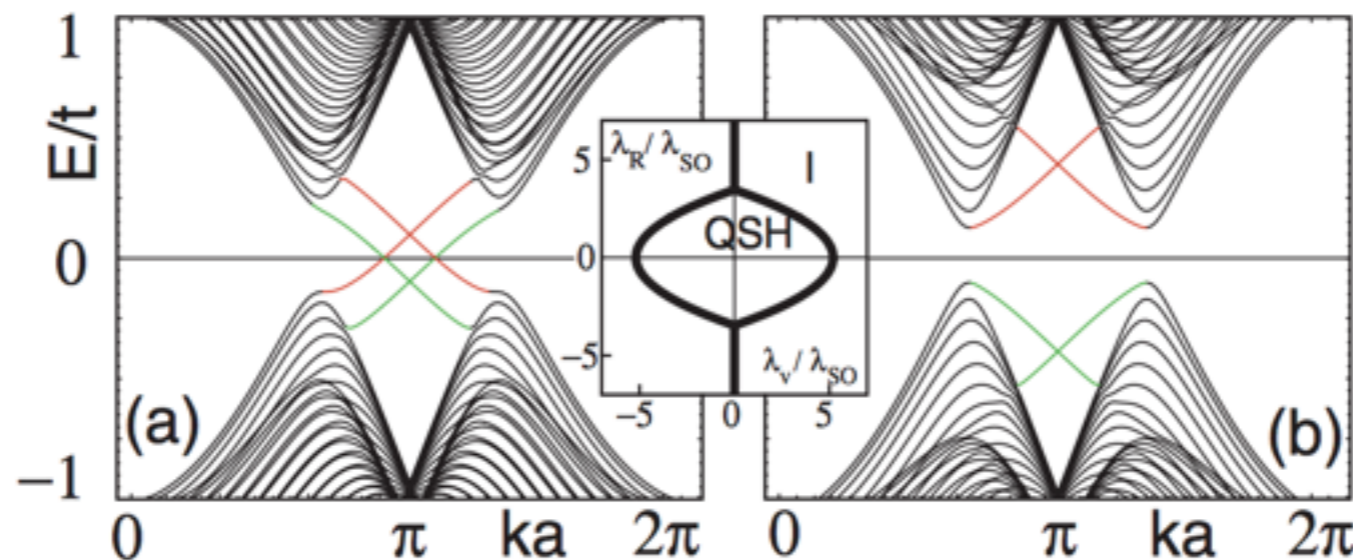
Recent refinement of Lieb-Schultz-Mattis theorem

- Original LSM: **Lattice translation** + **U(1) symmetry**
LSM (1961), Affleck-Lieb (1986), Oshikawa (2000), ...
- Stronger constraints nonsymmorphic space groups
Parameswaran et al (2013)
- Extended to all 230 SPACE GROUPS  1,651 Magnetic space groups?
HW, H. C. Po, A. Vishwanath & M.P. Zaletel, PNAS (2015)
- Stronger constraints in spin models
H. C. Po, HW, C.-M, Jian & M. P. Zaletel, arXiv: 1703.06882

Introduction 2: Symmetries and Band Structure

Topological (crystalline) insulator

- Presence of surface / edge state
- Not smoothly connected to atomic limit



PRL 95, 146802 (2005)

PHYSICAL REVIEW LETTERS

week ending
30 SEPTEMBER 2005

Z_2 Topological Order and the Quantum Spin Hall Effect

C. L. Kane and E. J. Mele

Department of Physics and Astronomy, University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA
(Received 22 June 2005; published 28 September 2005)

The quantum spin Hall (QSH) phase is a time reversal invariant electronic state with a bulk electronic band gap that supports the transport of charge and spin in gapless edge states. We show that this phase is associated with a novel Z_2 topological invariant, which distinguishes it from an ordinary insulator. The Z_2 classification, which is defined for time reversal invariant Hamiltonians, is analogous to the Chern number classification of the quantum Hall effect. We establish the Z_2 order of the QSH phase in the two band model of graphene and propose a generalization of the formalism applicable to multiband and interacting systems.

DOI: 10.1103/PhysRevLett.95.146802

PACS numbers: 73.43.-f, 72.25.Hg, 73.60.Wp, 85.75.-d

Computing Z_2 index?

The special subspaces can be identified by considering the matrix of overlaps, $\langle u_i(\mathbf{k}) | \Theta | u_j(\mathbf{k}) \rangle$. From the properties of Θ it is clear that this matrix is antisymmetric, and may be expressed in terms of a single complex number as $\epsilon_{ij} P(\mathbf{k})$. $P(\mathbf{k})$ is in fact equal to the *Pfaffian*

$$P(\mathbf{k}) = \text{Pf} [\langle u_i(\mathbf{k}) | \Theta | u_j(\mathbf{k}) \rangle], \quad (4)$$

The Z_2 index can thus be determined by counting the number of pairs of complex zeros of P . This can be accomplished by evaluating the winding of the phase of $P(\mathbf{k})$ around a loop enclosing *half* the Brillouin zone (defined so that \mathbf{k} and $-\mathbf{k}$ are never both included).

$$I = \frac{1}{2\pi i} \oint_C d\mathbf{k} \cdot \nabla_{\mathbf{k}} \log[P(\mathbf{k}) + i\delta], \quad (5)$$

... difficult

Fu-Kane formula for Inversion-symmetric TI

- Strong index

Easy & Helpful for material search!

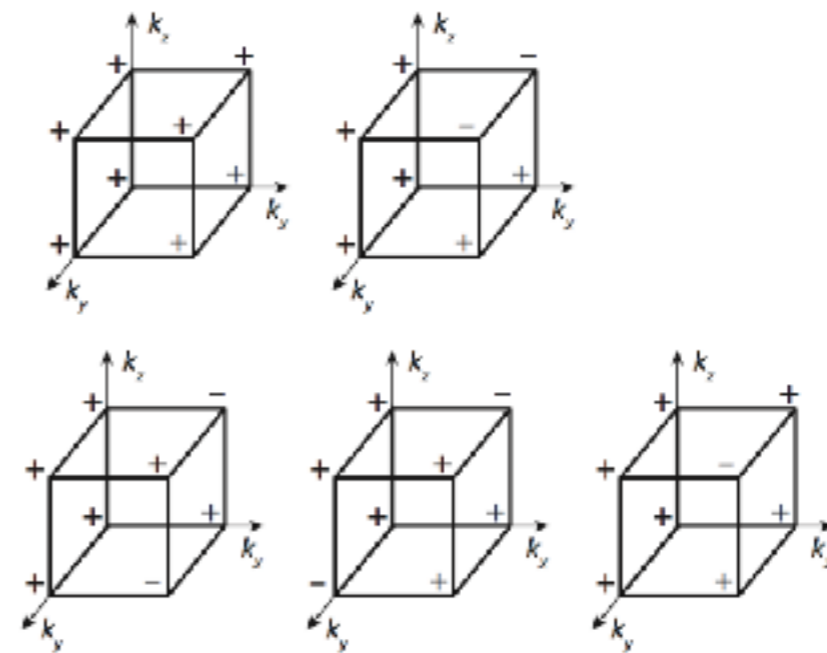
$$v_0 = \prod_{i, j, k = 0, \pi} \xi(i, j, k)$$

- Weak indices

$$v_1 = \prod_{j, k = 0, \pi} \xi(\pi, j, k)$$

$$v_2 = \prod_{i, k = 0, \pi} \xi(i, \pi, k)$$

$$v_3 = \prod_{i, j = 0, \pi} \xi(i, j, \pi)$$



irreps at high-sym momenta

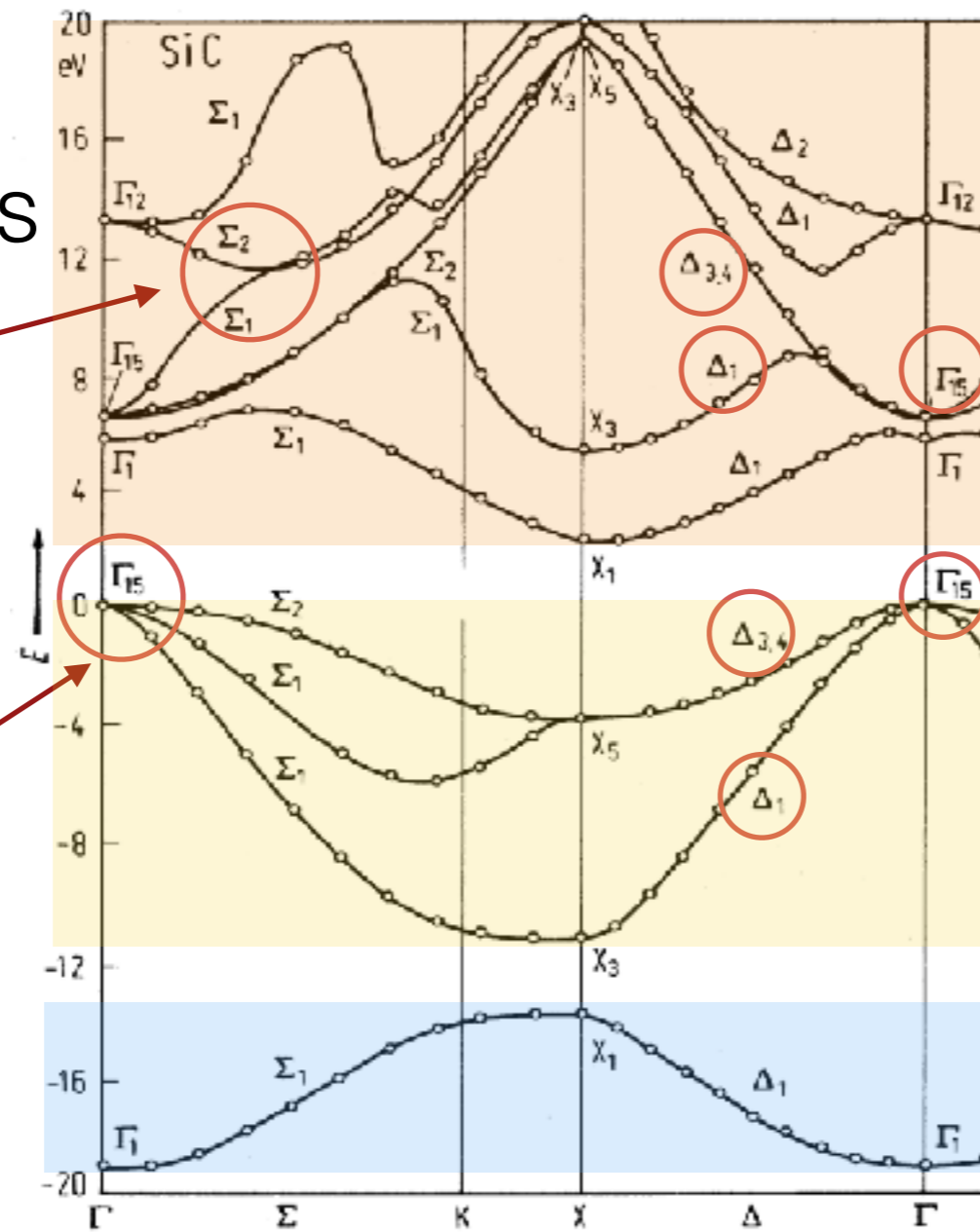
Combination of ~~inversion eigenvalues~~ *indicates*

band insulator is ~~TI protected by TR.~~

nontrivial (not adiabatically connected to the atomic limit)

Band structures in momentum space

Typical band structure...



[Hemstreet & Fong (1974)]

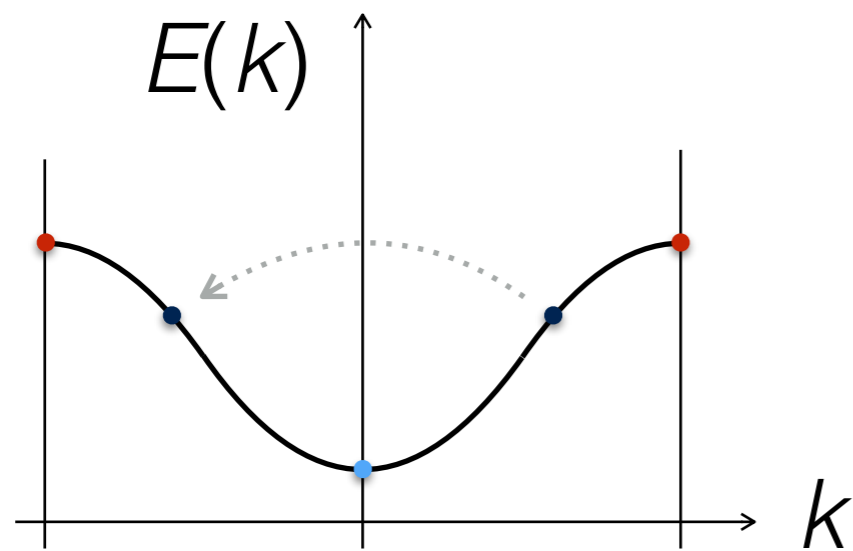
Bands can cross only when different irreps

Relation among irreps upon symmetry lowering

Dimensions of the irreps
→ degeneracy

Topological properties
(i) Assume band gap at high sym momenta
(ii) Forget energetics within a set of bands

Example: Inversion-symmetric 1D chain



$$I: k \rightarrow -k$$

- Two invariant momenta
 $k = 0, \pi$
→ *High-symmetry momenta*
Little group of k , G_k
- Inversion eigenvalues
 $I = \pm 1$
→ *Irreducible representation of G_k*

Example: Inversion-symmetric 1D chain

$n_{\mathbf{k}^a}$: the number of times an irrep $u_{\mathbf{k}^a}$ appears in BS

$$\mathbf{b} = (n_{0^+}, n_{0^-}, n_{\pi^+}, n_{\pi^-})$$

	$k = 0$	$k = \pi$
/	+1	+1
	-1	-1
	+1	-1
	-1	+1



$$\mathbf{b}_1 = (1, 0, 1, 0)$$

$$\mathbf{b}_2 = (0, 1, 0, 1)$$

$$\mathbf{b}_3 = (1, 0, 0, 1)$$

$$\mathbf{b}_4 = (0, 1, 1, 0)$$

$$\mathbf{b}_1 + \mathbf{b}_2 = \mathbf{b}_3 + \mathbf{b}_4$$

Four possible combinations

Only three are independent

Example: Inversion-symmetric 1D chain

$$\mathbf{b} = (n_0^+, n_0^-, n_\pi^+, n_\pi^-)$$

Relation among $n_{\mathbf{k}}^{\alpha}$'s

$$n_0^+ + n_0^- = n_\pi^+ + n_\pi^- = v \text{ (= \# of bands)}$$

$$\mathbf{b} = n_0^+ (1, -1, 0, 0) + n_\pi^+ (0, 0, 1, -1) + v (0, 1, 0, 1)$$

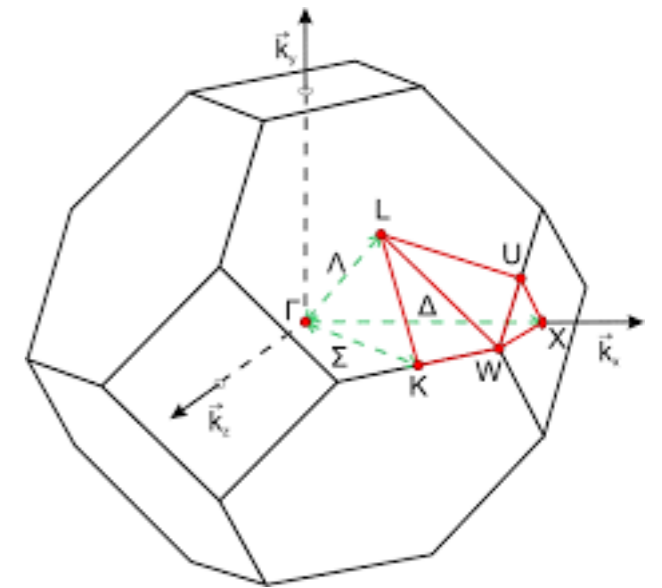
$$\rightarrow \{\text{BS}\} \equiv \text{set of valid } \mathbf{b}'\text{s} = \mathbb{Z}^3$$

*Note: we extended possible values of n to all integers
(Classification stable against subtracting bands)*

Group structure of Band structure

- \mathbf{k} : a high-sym momentum.

Collect all different *types* of \mathbf{k}



- $G_{\mathbf{k}}$: the little group of \mathbf{k} . i.e., $\{ g \text{ in } G \mid g\mathbf{k} = \mathbf{k} + \mathbf{G} \}$

- $U_{\mathbf{k}}^a$ ($a = 1, 2, \dots$): irreducible representation of $G_{\mathbf{k}}$

single rep for spinless electrons

double reps for spinful electrons

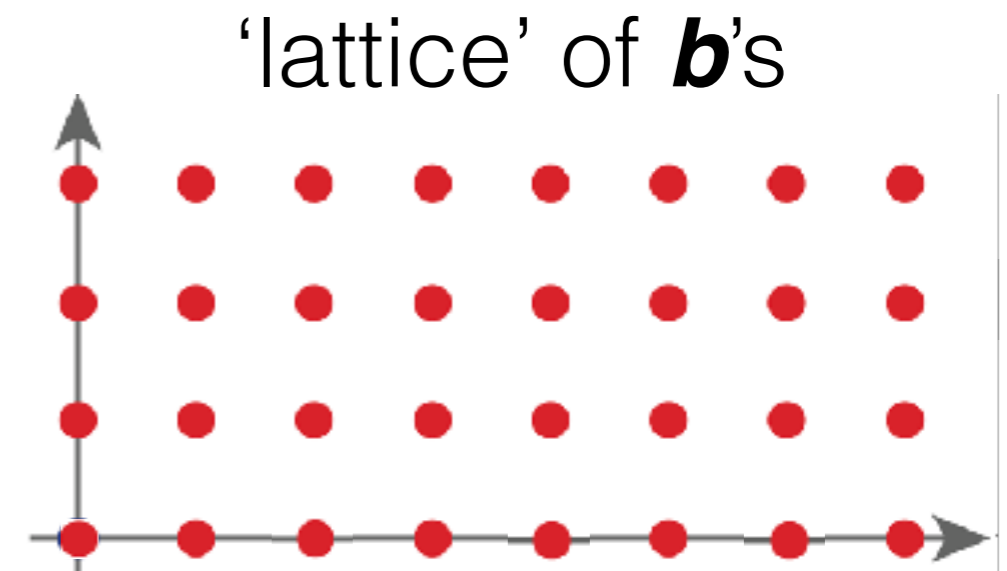
Group structure of Band structure

- $n_{\mathbf{k}}^a$: the number of times $u_{\mathbf{k}}^a$ appears in band structure
- $\mathbf{b} = (n_{\mathbf{k}_1^1}, n_{\mathbf{k}_1^2}, \dots, n_{\mathbf{k}_2^1}, n_{\mathbf{k}_2^2}, \dots)$
- Compatibility relations (+ TR sym) among $\{n_{\mathbf{k}}^a\}$
- The set of valid \mathbf{b} 's : $\{\text{BS}\} = \mathbb{Z}^{d_{\text{BS}}}$

$$\sum_i m_i \mathbf{b}_i \in \{\text{BS}\}$$

Kruthoff *et al.* arXiv:1703.09706

- spinless electrons in 2D
- K-theory calculation



Band structures in REAL space

Bloch vs Wannier

H. C. Po, HW, M.P. Zaletel & A. Vishwanath,
Science Adv. 2(4), e1501782 (2016)

- Momentum space picture

Representations of $G_{\mathbf{k}}$

$$\mathbf{b} = (n_{\mathbf{k}_1^1}, n_{\mathbf{k}_1^2}, \dots, n_{\mathbf{k}_2^1}, n_{\mathbf{k}_2^2}, \dots)$$

- Real space picture

Atomic Insulators

(hopping $\rightarrow 0$ limit.

Product state)

=

Wannier orbitals

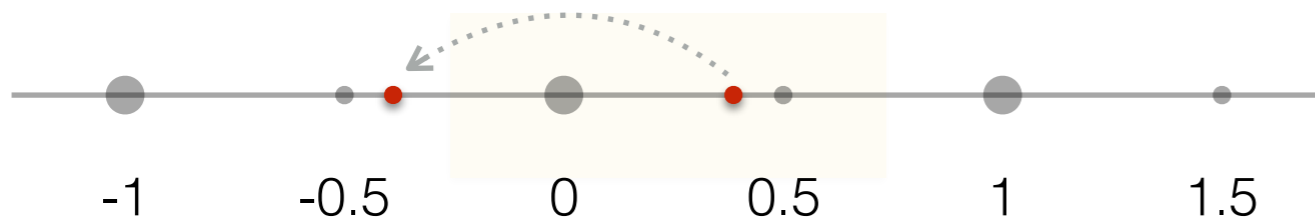
(symmetric,

exponentially-localized)

Defines the trivial class of {BS}

Example: Inversion-symmetric 1D chain

- Two symmetric positions (in UC)
 $x = 0, 1/2$

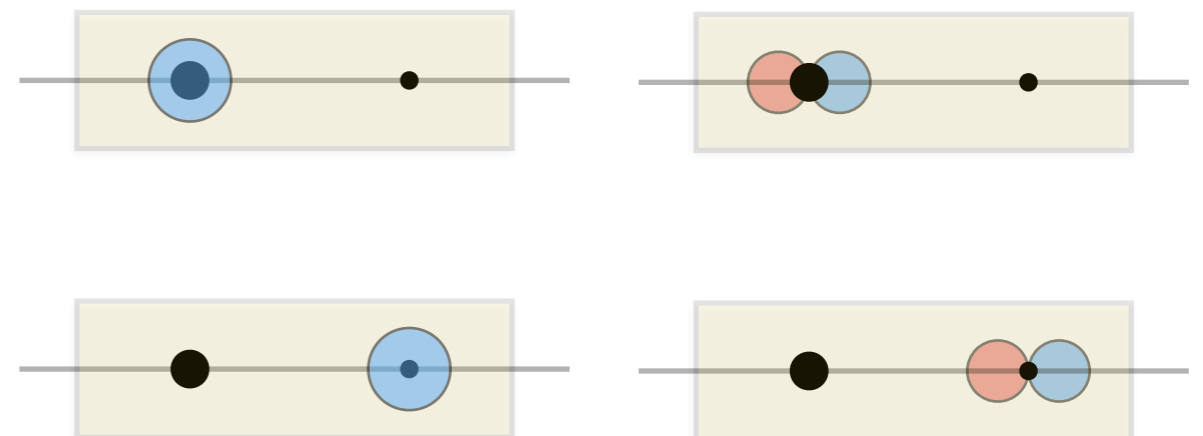


→ *Special position
(Wyckoff position)
Little group of x , G_x*

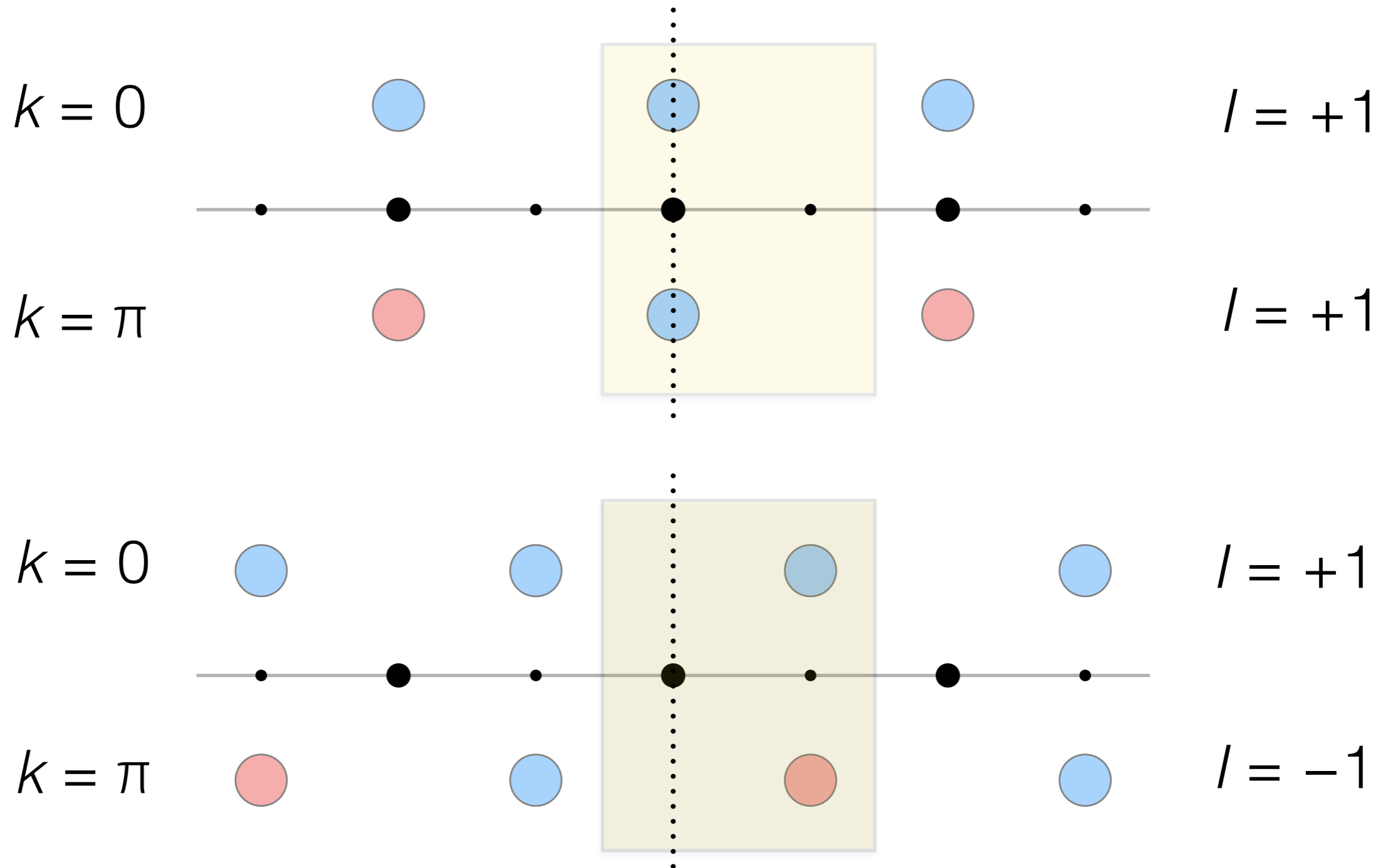
- Parity even/odd orbital



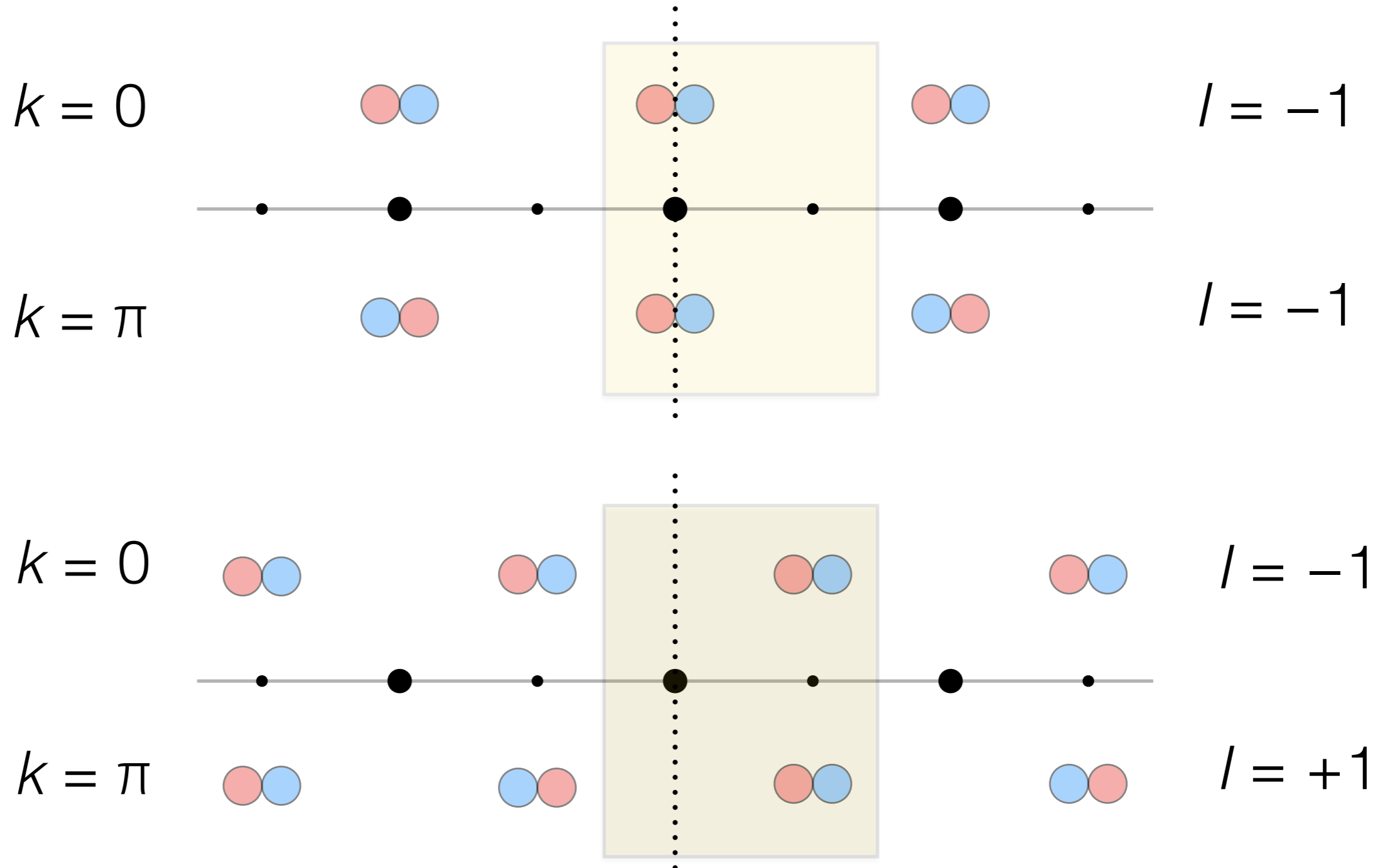
→ *Irreducible
representation of G_x*



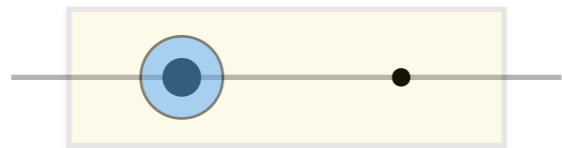
Example: Inversion-symmetric 1D chain



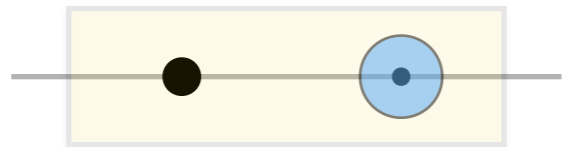
Example: Inversion-symmetric 1D chain



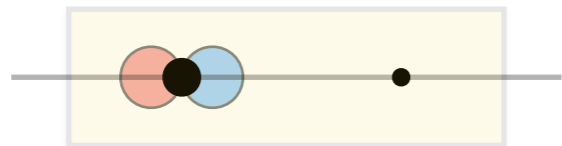
Example: Inversion-symmetric 1D chain



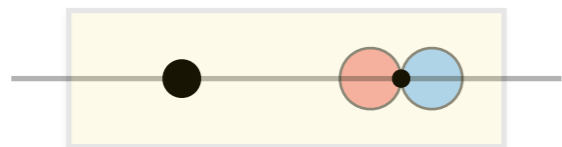
$$\mathbf{a}_1 = (1, 0, 1, 0)$$



$$\mathbf{a}_3 = (1, 0, 0, 1)$$



$$\mathbf{a}_2 = (0, 1, 0, 1)$$



$$\mathbf{a}_4 = (0, 1, 1, 0)$$

Set of all \mathbf{b} corresponding to AI: $\{\text{AI}\} = \mathbb{Z}^3$ $\mathbf{a}_1 + \mathbf{a}_2$
 $= \mathbf{a}_3 + \mathbf{a}_4$

In this example, $\{\text{BS}\} = \{\text{AI}\}$ (but not necessarily in general)

Listing up all atomic insulators

- \mathbf{x} : chosen from a special Wyckoff position.
- $G_{\mathbf{x}}$: the little group of \mathbf{x} . i.e., $\{ g \text{ in } G \mid g\mathbf{x} = \mathbf{x} \}$
- $u_{\mathbf{x}}^a$ ($a = 1, 2, \dots$): irreducible representation of $G_{\mathbf{x}}$
- The combination $(\mathbf{x}, u_{\mathbf{x}}^a)$ determines AI and its \mathbf{b}
- Set of all \mathbf{a} 's corresponding to AI: $\{\text{AI}\} = Z^{d_{\text{AI}}}$



CONTINUED

No. 199

12₁3

$$\sum_i m_i \mathbf{a}_i \in \{\text{AI}\}$$

Generators selected (1) $r(1,0,0)$; $r(0,1,0)$; $r(0,0,1)$; $r(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$; (2); (3); (5)

Positions

Multiplicity:
Wyckoff letter,
Site symmetry

Coordinates
(0,0,0)+ (1,1,1)+

Reflection conditions

k, l, i cyclically permutable
General:

24	c	1	(1) x, y, z	(2) $\bar{x} + \frac{1}{2}, \bar{y}, z + \frac{1}{2}$	(3) $\bar{x}, y + \frac{1}{2}, z + \frac{1}{2}$	(4) $x + \frac{1}{2}, \bar{y} + \frac{1}{2}, z$
			(5) \bar{x}, x, y	(6) $z + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{y}$	(7) $\bar{x} + \frac{1}{2}, \bar{x}, y + \frac{1}{2}$	(8) $\bar{x}, x + \frac{1}{2}, \bar{y} + \frac{1}{2}$
			(9) y, z, x	(10) $\bar{y}, z + \frac{1}{2}, \bar{x} + \frac{1}{2}$	(11) $y + \frac{1}{2}, \bar{z} + \frac{1}{2}, \bar{x}$	(12) $\bar{y} + \frac{1}{2}, \bar{z}, x + \frac{1}{2}$

$hkl : h + k - l = 2n$

$0kl : k + l = 2n$

$hhl : l = 2n$

$h00 : h = 2n$

Special: no extra conditions

12	b	2..	$x, 0, \frac{1}{2}$	$\bar{x} + \frac{1}{2}, 0, \frac{1}{2}$	$\frac{1}{2}, z, 0$	$\frac{1}{2}, \bar{x} + \frac{1}{2}, 0$	$0, \frac{1}{2}, x$	$0, \frac{1}{2}, \bar{x} + \frac{1}{2}$
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8	a	.3.	x, x, x	$\bar{x} + \frac{1}{2}, \bar{x}, x + \frac{1}{2}$	$\bar{x}, x + \frac{1}{2}, \bar{x} + \frac{1}{2}$	$x + \frac{1}{2}, \bar{x} + \frac{1}{2}, \bar{x}$
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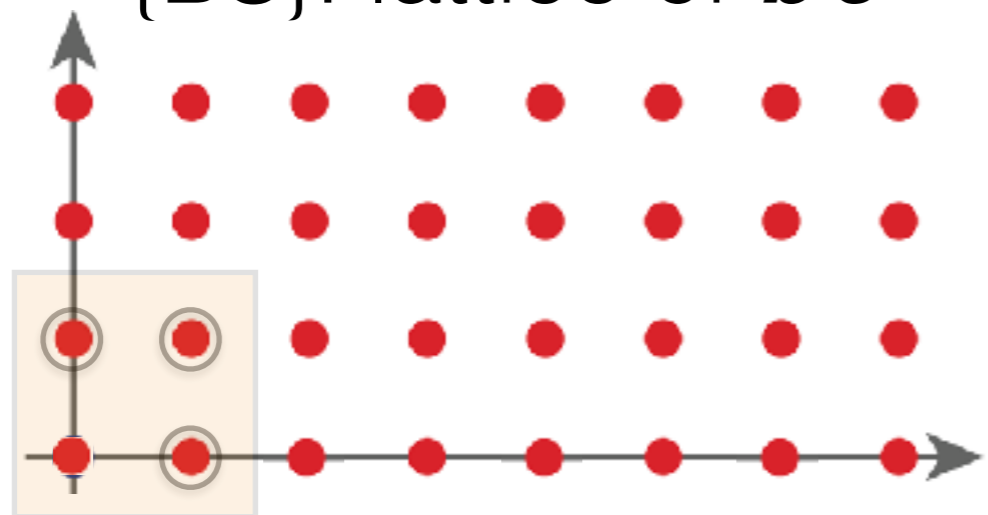
Indicator of Band Topology

Indicator of nontrivial band topology

- Set of valid \mathbf{b} 's : $\{\text{BS}\} = \mathbb{Z}^{d_{\text{BS}}}$
- Set of all \mathbf{a} 's (\mathbf{b} 's corresponding to AI): $\{\text{AI}\} = \mathbb{Z}^{d_{\text{AI}}}$

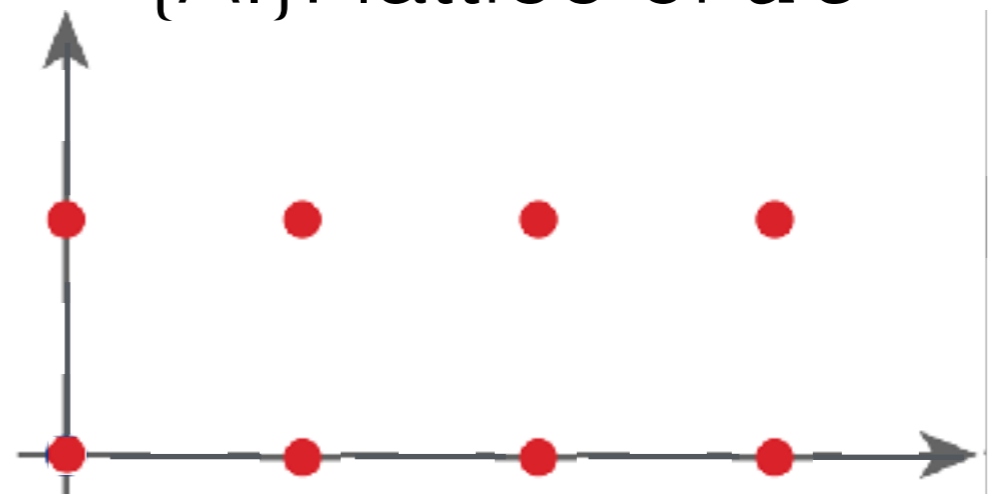
Quotient space: $X = \{\text{BS}\}/\{\text{AI}\} \quad \{\text{BS}\} > \{\text{AI}\}$

$\{\text{BS}\}$: lattice of \mathbf{b} 's



$$X = \mathbb{Z}_2 \times \mathbb{Z}_2$$

$\{\text{AI}\}$: lattice of \mathbf{a} 's



Indicator of nontrivial band topology

$$X = \{BS\}/\{AI\} = \cancel{Z^{d_{BS}-d_{AI}}} \times Z_{n_1} \times Z_{n_2} \times \dots \times Z_{n_N}$$

We found $d_{BS} = d_{AI}$ holds for all SGs

→ We can, in fact, compute $\{BS\}$ from $\{AI\}$ (easy to get)
i.e., no need to list up / solve all compatibility relations (tough)

$$\mathbf{b} = \sum_i q_i \mathbf{a}_i \in \{BS\}$$

basis vectors of $\{AI\}$

Must be integer valued

Can be fractional

If necessary, one can impose the “nonnegative” constraint at the end.

230 SGs x TRS with SOC

d	SGs
1	1, 3, 4, 5, 6, 7, 8, 9, 16, 17, 18, 19, 20 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46 76, 77, 78, 80, 91, 92, 93, 94, 95, 96, 98, 101, 102 105, 106, 109, 110, 144, 145, 151, 152, 153, 154 169, 170, 171, 172, 178, 179, 180, 181
2	79, 90, 97, 100, 104, 107, 108, 146, 155, 160, 161 195, 196, 197, 198, 199, 208, 210, 212, 213, 214
3	48, 50, 52, 54, 56, 57, 59, 60, 61, 62, 68, 70, 73 75, 89, 99, 103, 112, 113, 114, 116, 117, 118, 120 122, 133, 142, 150, 157, 159, 173, 182, 185 186, 209, 211
4	63, 64, 72, 121, 126, 130, 135, 137, 138, 143, 149 156, 158, 168, 177, 183, 184, 207, 218, 219, 220
5	11, 13, 14, 15, 49, 51, 53, 55, 58, 66, 67, 74, 81 82, 86, 88, 111, 115, 119, 134, 136, 141, 167 217, 228, 230
6	69, 71, 85, 125, 129, 132, 163, 165, 190, 201 203, 205, 206, 215, 216, 222
7	12, 65, 84, 128, 131, 140, 188, 189, 202, 204, 223
8	124, 127, 148, 166, 193, 200, 224, 226, 227
9	2, 10, 47, 87, 139, 147, 162, 164, 176, 192, 194
10	174, 187
11	225, 229
13	83, 123
14	175, 191, 221

X_{BS}	SGs
\mathbb{Z}_2	81, 82, 111, 112, 113, 114, 115, 116, 117 118, 119, 120, 121, 122, 215, 216, 217 218, 219, 220
\mathbb{Z}_3	188, 190
\mathbb{Z}_4	52, 56, 58, 60, 61, 62, 70, 88, 126 130, 133, 135, 136, 137, 138, 141, 142 163, 165, 167, 202, 203, 205, 222, 223 227, 228, 230
\mathbb{Z}_8	128, 225, 226
\mathbb{Z}_{12}	176, 192, 193, 194
$\mathbb{Z}_2 \times \mathbb{Z}_4$	14, 15, 48, 50, 53, 54, 55, 57, 59 63, 64, 66, 68, 71, 72, 73, 74, 84, 85 86, 125, 129, 131, 132, 134, 147, 148 162, 164, 166, 200, 201, 204, 206, 224
$\mathbb{Z}_2 \times \mathbb{Z}_8$	87, 124, 139, 140, 229
$\mathbb{Z}_3 \times \mathbb{Z}_3$	174, 187, 189
$\mathbb{Z}_4 \times \mathbb{Z}_8$	127, 221
$\mathbb{Z}_6 \times \mathbb{Z}_{12}$	175, 191
$(\mathbb{Z}_2)^2 \times \mathbb{Z}_4$	11, 12, 13, 49, 51, 65, 67, 69
$\mathbb{Z}_2 \times \mathbb{Z}_4 \times \mathbb{Z}_8$	83, 123
$(\mathbb{Z}_2)^3 \times \mathbb{Z}_4$	2, 10, 47

230 SGs x TRS without SOC

d	SGs
1	1, 4, 7, 9, 19, 29, 33, 76, 78, 144, 145, 169, 170
2	8, 31, 36, 41, 43, 80, 92, 96, 110, 146, 161, 198
3	5, 6, 18, 20, 26, 30, 32, 34, 40, 45, 46, 61, 106 109, 151, 152, 153, 154, 159, 160, 171, 172, 173, 178, 179, 199, 212, 213
4	24, 28, 37, 39, 60, 62, 77, 79, 91, 95, 102, 104 143, 155, 157, 158, 185, 186, 196, 197, 210
5	3, 14, 17, 27, 42, 44, 52, 56, 57, 94, 98, 100, 101 108, 114, 122, 150, 156, 182, 214, 220
6	11, 15, 35, 38, 54, 70, 73, 75, 88, 90, 103, 105, 107 113, 142, 149, 167, 168, 184, 195, 205, 219
7	13, 22, 23, 59, 64, 68, 82, 86, 117, 118, 120, 130, 163 165, 180, 181, 203, 206, 208, 209, 211, 218, 228, 230
8	21, 58, 63, 81, 85, 97, 116, 133, 135, 137, 148, 183, 190, 201, 217
9	2, 25, 48, 50, 53, 55, 72, 99, 121, 126, 138, 141 147, 188, 207, 216, 222
10	12, 74, 93, 112, 119, 176, 177, 202, 204, 215
11	66, 84, 128, 136, 166, 227
12	51, 87, 89, 115, 129, 134, 162, 164, 174, 189, 193, 223, 226
13	16, 67, 111, 125, 194, 224
14	49, 140, 192, 200
15	10, 69, 71, 124, 127, 132, 187
17	225, 229
18	65, 83, 131, 139, 175
22	221
24	191
27	47, 123

X_{BS}	SGs
\mathbb{Z}_2	3, 11, 14, 27, 37, 48, 49, 50, 52, 53, 54, 56 58, 60, 66, 68, 70, 75, 77, 82, 85, 86, 88 103, 124, 128, 130, 162, 163, 164, 165, 166 167, 168, 171, 172, 176, 184, 192, 201, 203
$(\mathbb{Z}_2)^2$	12, 13, 15, 81, 84, 87
$\mathbb{Z}_2 \times \mathbb{Z}_4$	147, 148
$(\mathbb{Z}_2)^3$	10, 83, 175
$(\mathbb{Z}_2)^3 \times \mathbb{Z}_4$	2

Example 1: *Representation-enforced* Quantum Band Insulator

Inversion & TR symmetric 3D system (SG2 & TRS)

$$X = \underbrace{Z_2}_{\text{weak TI}} \times \underbrace{Z_2}_{\text{weak TI}} \times \underbrace{Z_2}_{\text{strong TI} + \alpha} \times \underbrace{Z_4}_{\text{strong TI} + \alpha}$$

Two copies of TI

No surface Dirac / no magnetoelectric response.

Still topologically nontrivial (residual entanglement)

The experimental signatures are future work.

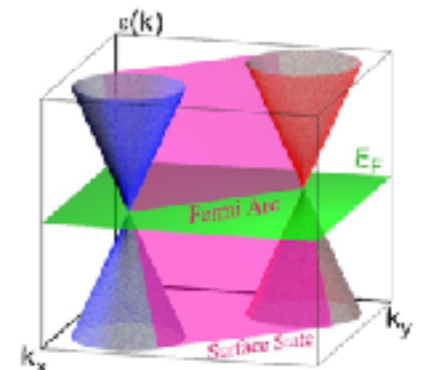
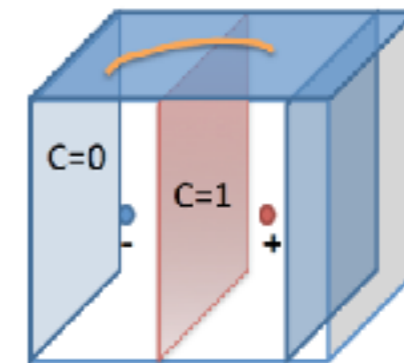
Example 2:

Representation-enforced Semimetal

Inversion symmetric but TR broken 3D system (SG2)

$$X = Z_2 \times Z_2 \times Z_2 \times \textcircled{Z_4}$$

A. Turner, ..., A. Vishwanath (2010) Weyl SM



{BS}: “band structure” can be *band insulator*
or *semimetal (band touching at generic points in BZ)*

(We demanded band gap only at high-symmetric momenta)

Magnetic space groups

Magnetic space group

- In addition to an ordinary SG, we have additional anti-unitary operation $T' = TR * g$
- ex1: $g = \text{identity} \rightarrow M = \text{SG} \times \{1, \text{TRS}\}$.
- ex2: $g = \text{half translation} \rightarrow \text{AFM order}$
- There are 1651 MSGs in 3D / 528 MLGs in 2D

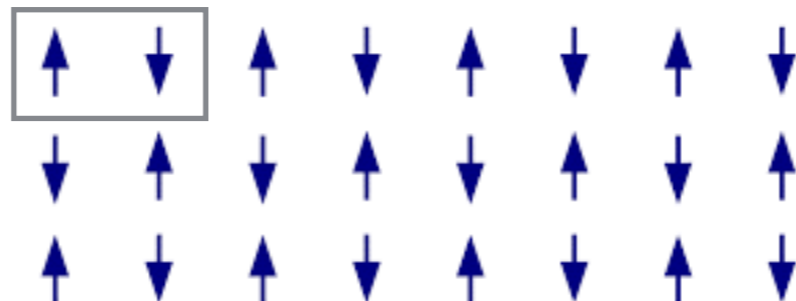


TABLE I. Characterization of band structures (BSs) in a magnetic space group (MSG); excerpt from Tables III–VIII.

MSG ^a		d^b	X_{BS}^c	ν_{BS}^d
2.5	II	9	(2, 2, 2, 4)	2
209.51	IV	3	(1)	2*

$$X = \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_4$$

$$X = \text{trivial}$$

^a MSG number in the Belov-Neronova-Smirnova notation, followed by a Roman numeral I, . . . , IV indicating its type.

^b Number of linearly independent BSs.

^c Symmetry-based indicator of band topology, which takes the form $\prod_i \mathbb{Z}_{n_i}$; denoted by the collection of positive integers (n_1, n_2, \dots) .

^d For most of the MSGs, the set of physical BS fillings $\{\nu\}_{\text{BS}}$ and the set of AI fillings $\{\nu\}_{\text{AI}}$ agree with each other, and they take the form $\{\nu\}_{\text{BS}} = \{\nu\}_{\text{AI}} = \nu_{\text{BS}} \mathbb{N}$. The asterisk indicates violation to this rule, detailed in Table X.

Example 3:

Representation-enforced Semimetal

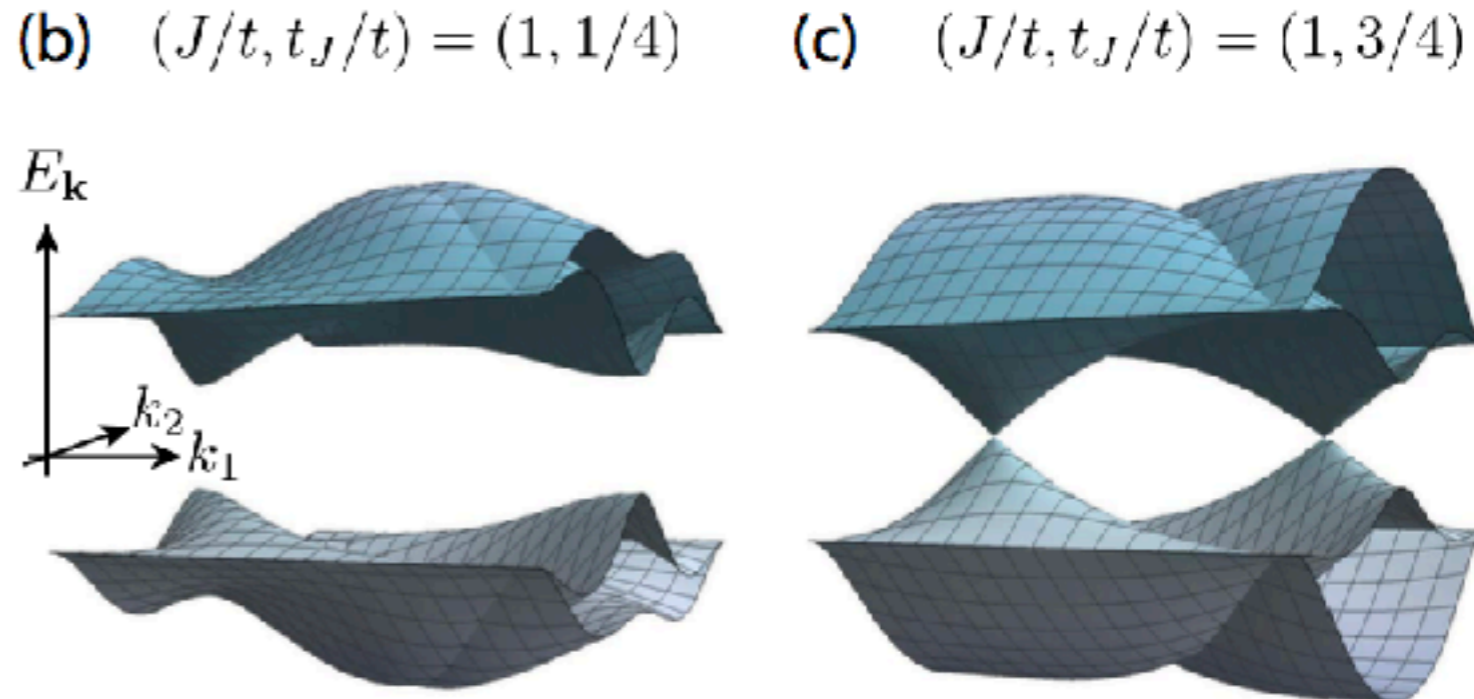
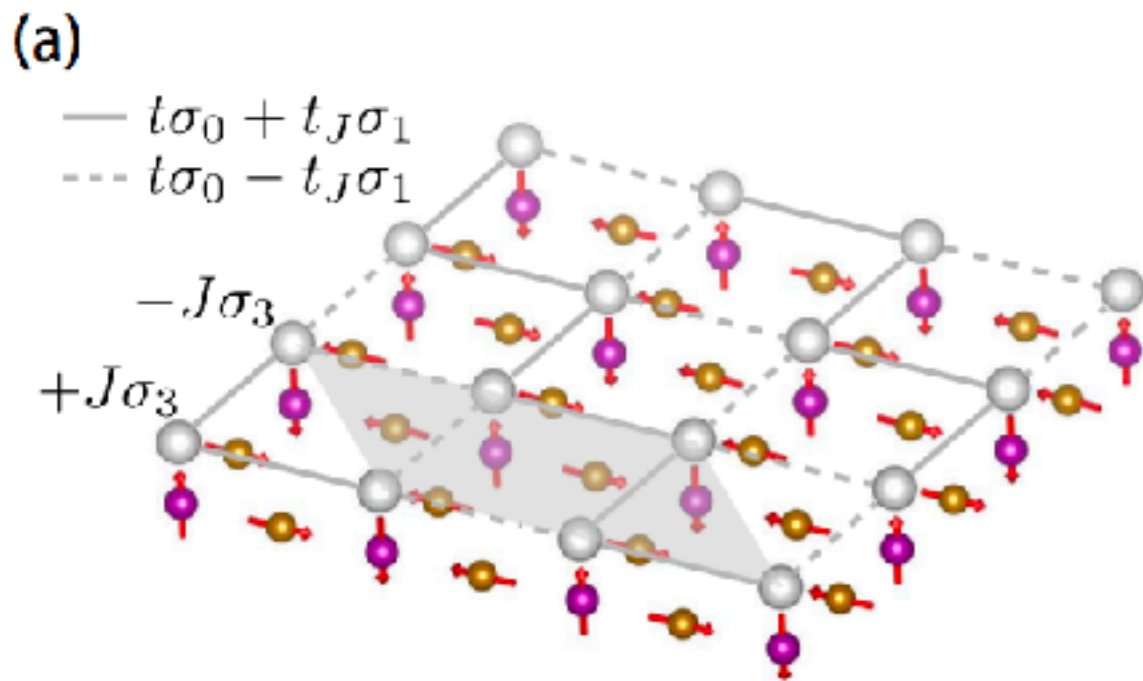
Magnetic Layer Group (2D)

MSG 3.4 (P_a112)

π rotation + TR * half translation.

$$X = Z_2$$

$$\eta = \prod_{n:\text{occupied}} \prod_{\mathbf{k}=(\pi,0),(\pi,\pi)} \eta_{n,\mathbf{k}},$$



$$h(\mathbf{k}) = \sum_{i=0}^2 g_i(\mathbf{k})\sigma_i,$$

Example 4: *Filling-enforced Semimetal*

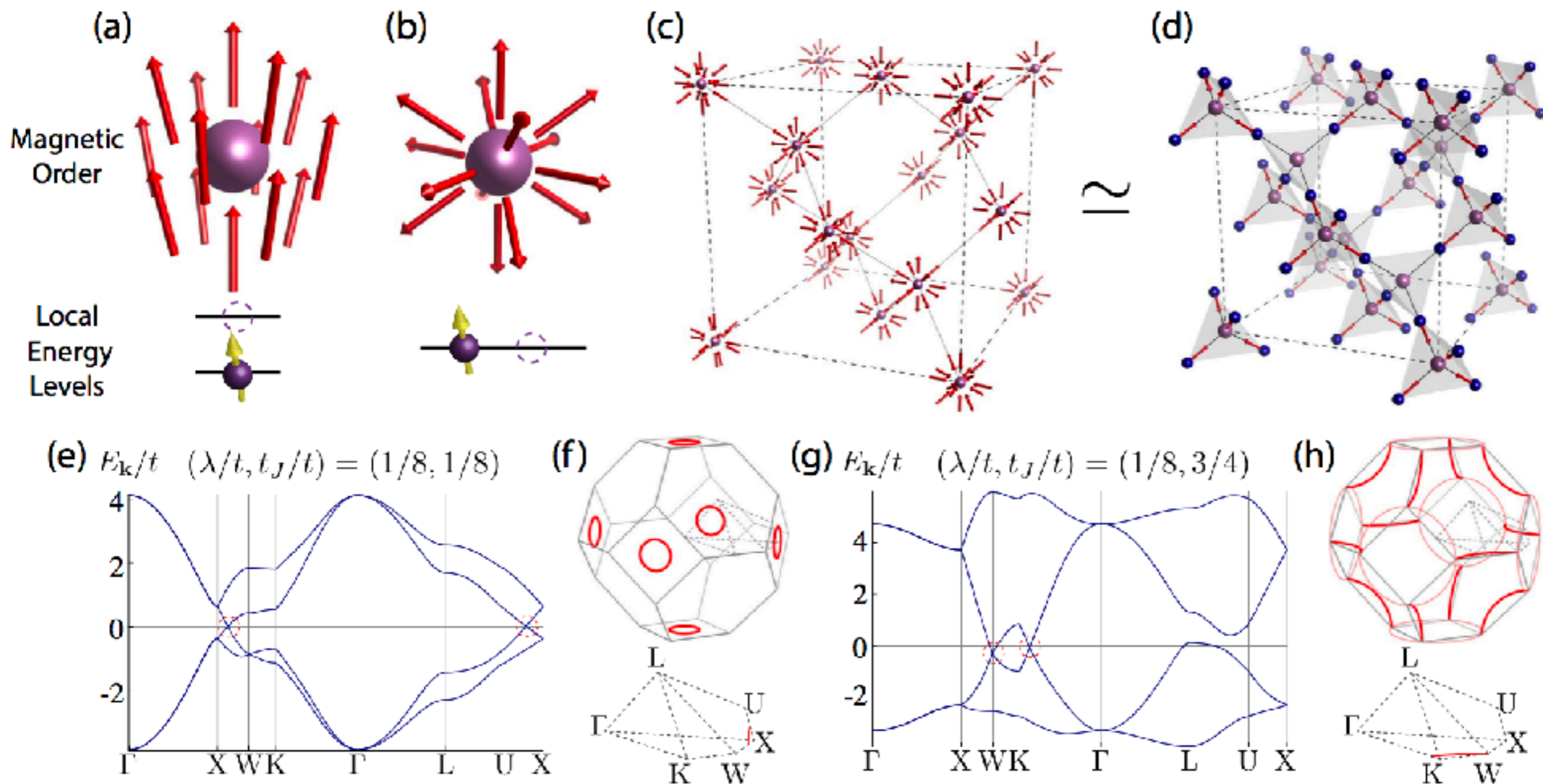


FIG. 2. **Magnetic filling-enforced semimetals.** (a,b) Symmetries of a magnetic order can prohibit atomic insulators (AIs) at odd site fillings. (c) The magnetic point group symmetry of a ferromagnetic arrangement is compatible with nondegenerate local energy levels. (d) These

Summary

- Higher symmetry → Richer phases & stronger constraints
- Our band topology indicator might accelerate new material search / screening process