


# On the topological quantum integrable systems



Yupeng Wang

Institute of Physics, CAS

Collaborators : J. Cao, W.L. Yang, K. Shi, S. Cui, X.  
Zhang,

Y.-Y. Li

**2017, Mar. 27-29/ Beijing**

# Outline



**I. Motivation**

**II. The inhomogeneous T-Q relation**

**III. The topological spin chain**

**IV. The XYZ model**

**V. Concluding remarks & perspective**

# I. Motivation

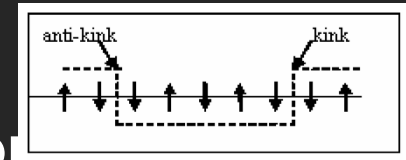
Why exactly solvable models?

Provide important benchmarks!

Hydrogen atom

2D Ising model → thermodynamic phase transition

1D Hubbard model → Mott insulator



Heisenberg chain

Spinon (fractional charge)

Recent applications : Cold atoms, Yang-Mills and AdS/CFT, Stochastic process, Topological states of matter, Quantum information

Topology becomes very important!

# I. Motivation

Study on topological quantum integrable models initiated from Baxter's work on the XYZ model

$$H = \frac{1}{2} \sum_{n=1}^N (J_x \sigma_n^x \sigma_{n+1}^x + J_y \sigma_n^y \sigma_{n+1}^y + J_z \sigma_n^z \sigma_{n+1}^z)$$

$$U^i = \sigma_1^i \sigma_2^i \cdots \sigma_N^i, \quad i = x, y, z.$$

$\mathbb{Z}_2$   
operators:

$$(U^i)^2 = \text{id}, \quad U^i U^j = (-1)^N U^j U^i, \quad \text{for } i \neq j$$

The odd  $N$  case kept unsolved stubbornly for 40 years!

# I. Motivation

## Two classes of integrable models

Ordinary with  $U(1)$



Periodic boundary  
Parallel boundary  
.....



Coordinate BA,  
Baxter's T-Q,  
Algebraic BA

Topologically nontrivial  
Without  $U(1)$  symmetry



XYZ spin chain (**odd N**)  
Anti-periodic boundary  
Non-diagonal boundary fields  
Cyclic representation.....



Off-diagonal Bethe Ansatz

# I. Motivation

## Baxter's T-Q relation

$$\mathbf{t}(u) = a(u) \frac{Q(u - \eta)}{Q(u)} + d(u) \frac{Q(u + \eta)}{Q(u)}$$

$$[\mathbf{t}(u), \mathbf{Q}(v)] = [\mathbf{Q}(u), \mathbf{Q}(v)] = 0$$

$$\mathbf{t}(u)|\Psi\rangle = \Lambda(u)|\Psi\rangle$$

$$\mathbf{Q}(u)|\Psi\rangle = Q(u)|\Psi\rangle$$

$$\Lambda(u) = a(u) \frac{Q(u - \eta)}{Q(u)} + d(u) \frac{Q(u + \eta)}{Q(u)}$$

$$Q(u) = \prod_{j=1}^M f(u - \lambda_j), \quad f(0) = 0$$

## Regularity

$$a(\lambda_j)Q(\lambda_j - \eta) + d(\lambda_j)Q(\lambda_j + \eta) = 0$$

# I. Motivation

$$t(u) = a(u) \frac{Q(u - \eta)}{Q(u)} + d(u) \frac{Q(u + \eta)}{Q(u)} + \frac{F(u)}{Q(u)}$$

**Nontrivial  
topology**

**Why polynomial Q? (1) Simple BAEs; (2) Easy thermodynamic limit (integrals).**

**However, for topologically nontrivial models, there is no polynomial Q-solution!  
It violates either asymptotic behavior or periodicity**

**Boundary becomes very important!  
Edge states in QH, TI etc.**

## II. The inhomogeneous T-Q relation

Absence of reference state !

Functional analysis!

The eigenvalue of the transfer matrix is a degree N polynomial!

$$\Lambda(u) = c \prod_{j=1}^N f(u - z_j)$$

N+1 equations or values at N+1 points  $\Lambda(\theta_j)$  determine it completely!



# The operator product identities

Consider an R-matrix

Intrinsic properties:

Transfer matrix:

$$R_{0,j}(u) = u + \eta P_{0,j} = u + \frac{1}{2}\eta(1 + \sigma_j \cdot \sigma_0)$$

Initial condition :  $R_{1,2}(0) = P_{1,2},$

Unitary relation :  $R_{1,2}(u)R_{2,1}(-u) = -\varphi(u) \times \text{id},$   
 $\varphi(u) = u^2 - 1,$

Crossing relation :  $R_{1,2}(u) = -\sigma_1^y R_{1,2}^t(-u - 1)\sigma_1^y,$

$$T_0(u) = R_{0,N}(u - \theta_N) \cdots R_{0,1}(u - \theta_1),$$

$$t(u) = \text{tr}_0 T_0(u),$$

$$[t(u), t(v)] = 0$$

$$\begin{aligned} t(\theta_j) &= \text{tr}_0 \{ R_{0,N}(\theta_j - \theta_N) \cdots R_{0,j+1}(\theta_j - \theta_{j+1}) \\ &\quad \times P_{0,j} R_{0,j-1}(\theta_j - \theta_{j-1}) \cdots R_{0,1}(\theta_j - \theta_1) \} \\ &= R_{j,j-1}(\theta_j - \theta_{j-1}) \cdots R_{j,1}(\theta_j - \theta_1) \\ &\quad \times \text{tr}_0 \{ R_{0,N}(\theta_j - \theta_N) \cdots R_{0,j+1}(\theta_j - \theta_{j+1}) P_{0,j} \} \\ &= R_{j,j-1}(\theta_j - \theta_{j-1}) \cdots R_{j,1}(\theta_j - \theta_1) \\ &\quad \times R_{j,N}(\theta_j - \theta_N) \cdots R_{j,j+1}(\theta_j - \theta_{j+1}). \end{aligned}$$

$$\begin{aligned} t(\theta_j - 1) &= \text{tr}_0 \{ R_{0,N}(\theta_j - \theta_N - 1) \cdots R_{0,1}(\theta_j - \theta_1 - 1) \} \\ &= (-1)^N \text{tr}_0 \{ \sigma_0^y R_{0,N}^{t_0}(-\theta_j + \theta_N) \cdots R_{0,1}^{t_0}(-\theta_j + \theta_1) \sigma_0^y \} \\ &= (-1)^N \text{tr}_0 \{ R_{0,1}(-\theta_j + \theta_1) \cdots R_{0,N}(-\theta_j + \theta_N) \} \\ &= (-1)^N R_{j,j+1}(-\theta_j + \theta_{j+1}) \cdots R_{j,N}(-\theta_j + \theta_N) \\ &\quad \times R_{j,1}(-\theta_j + \theta_1) \cdots R_{j,j-1}(-\theta_j + \theta_{j-1}). \end{aligned}$$

$$t(\theta_j)t(\theta_j - 1) = a(\theta_j)d(\theta_j - 1), \quad j = 1, \dots, N,$$

$$a(u) = \prod_{j=1}^N (u - \theta_j + 1), \quad d(u) = \prod_{j=1}^N (u - \theta_j).$$

Homogeneous



$$\frac{\partial^l}{\partial u^l} \{ t(u)t(u-1) - a(u)d(u-1) \} \Big|_{u=0, \{\theta_j=0\}} = 0, \quad l = 0, \dots, N-1.$$

## II. The inhomogeneous T-Q relation

$$\mathbf{t}(\theta_j)\mathbf{t}(\theta_j - \eta) = a(\theta_j)d(\theta_j - \eta) \times id \sim \Delta_q(\theta_j), \quad j = 1, \dots, N$$

$$a(\theta_j - \eta) = d(\theta_j) = 0$$

$$\mathbf{t}(u)|\Psi\rangle = \Lambda(u)|\Psi\rangle$$



$$\Lambda(\theta_j)\Lambda(\theta_j - \eta) = a(\theta_j)d(\theta_j - \eta)$$

$$\Lambda(u) = a(u)\frac{Q(u-\eta)}{Q(u)} + d(u)\frac{Q(u+\eta)}{Q(u)} + c(u)\frac{a(u)d(u)}{Q(u)}$$

$$Q(u) = \prod_{j=1}^M f(u - \lambda_j)$$

**C(u) is nonsingular  
and matches  
asymptotic behavior  
or periodicity**

**Why?**

$$\Lambda(\theta_j) = a(\theta_j)\frac{Q(\theta_j - \eta)}{Q(\theta_j)}$$

**×**

$$\Lambda(\theta_j - \eta) = d(\theta_j - \eta)\frac{Q(\theta_j)}{Q(\theta_j - \eta)}$$

**Regularity**

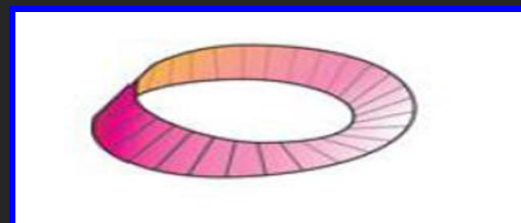


$$a(\lambda_j)Q(\lambda_j - \eta) + d(\lambda_j)Q(\lambda_j + \eta) + c(\lambda_j)a(\lambda_j)d(\lambda_j) = 0$$

### III. The topological spin chain

Cao et. al, PRL 111, 137201(2013)

$$H = - \sum_{j=1}^N \left[ \sigma_j^x \sigma_{j+1}^x + \sigma_j^y \sigma_{j+1}^y + \cosh \eta \sigma_j^z \sigma_{j+1}^z \right]$$



Monodromy matrix

$$T_0(u) = \sigma_0^x R_{0,N}(u - \theta_N) \cdots R_{0,1}(u - \theta_1) = \begin{pmatrix} C(u) & D(u) \\ A(u) & B(u) \end{pmatrix}$$

$$\sigma_{N+1}^\alpha = \sigma_1^x \sigma_1^\alpha \sigma_1^x$$

Transfer matrix

$$t(u) = \text{tr}_0 T_0(u) = B(u) + C(u)$$

$$t(\theta_j) t(\theta_j - \eta) = -a(\theta_j) d(\theta_j - \eta), \quad j = 1, \dots, N,$$

$$d(u) = a(u - \eta) = \prod_{j=1}^N \frac{\sinh(u - \theta_j)}{\sinh \eta}$$

# III. The topological spin chain

## Functional relation

$$\Lambda(\theta_j)\Lambda(\theta_j - \eta) = -a(\theta_j)d(\theta_j - \eta), \quad j = 1, \dots, N.$$



$$\Lambda(u) = a(u)e^u \frac{Q(u - \eta)}{Q(u)} - e^{-u - \eta} d(u) \frac{Q(u + \eta)}{Q(u)} - c(u) \frac{a(u)d(u)}{Q(u)}$$

## Periodicity

$$\Lambda(u + i\pi) = (-1)^{N-1} \Lambda(u)$$

**Degree N-1  
trigonometric  
polynomial**



$$Q(u) = \prod_{j=1}^N \sinh(u - \lambda_j)$$

$$c(u) = \sinh^N \eta \left[ e^{u - N\eta + \sum_{j=1}^N (\theta_j - \lambda_j)} - e^{-u - \eta - \sum_{j=1}^N (\theta_j - \lambda_j)} \right]$$

**BAE**

$$e^{\lambda_j} a(\lambda_j) Q(\lambda_j - \eta) - e^{-\lambda_j - \eta} d(\lambda_j) Q(\lambda_j + \eta) - c(\lambda_j) a(\lambda_j) d(\lambda_j) = 0$$

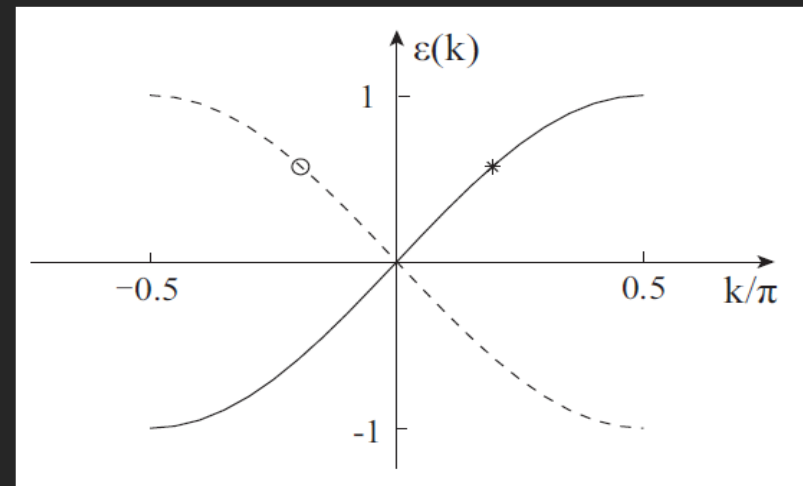
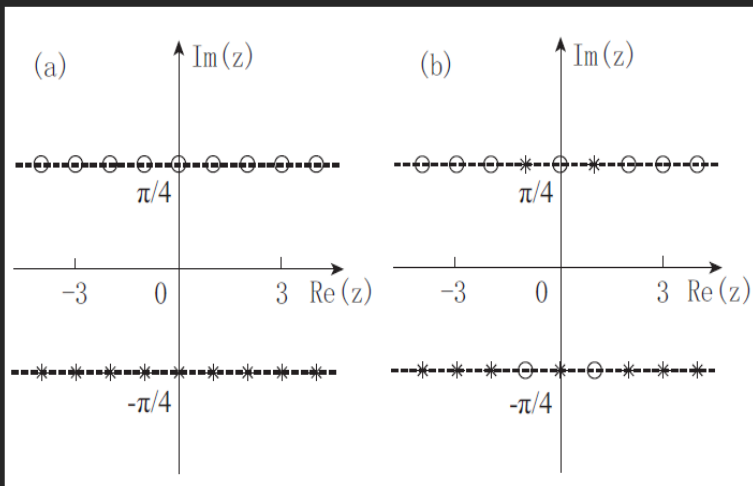
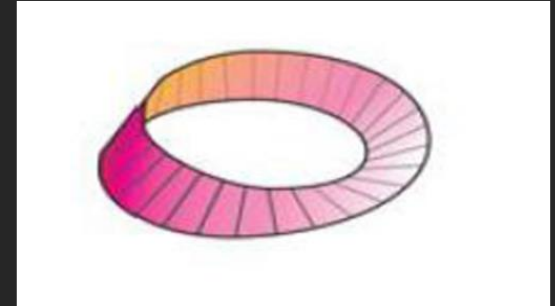
$$j = 1, \dots, N.$$

### III. The topological fermion chain

PRL 111,137201(2013)

$$H = -2 \sum_{j=1}^{N-1} \left\{ a_j^\dagger a_{j+1} + a_{j+1}^\dagger a_j \right\} - 2U^z \left[ a_1^\dagger a_N^\dagger + a_N a_1 \right]$$

Superconducting quantum dot  
embedded in a metallic ring



Gapless : topological particle-hole excitation  
Gapped: bulk zero mode

# III. The topological spin chain: Bethe states

## A convenient basis

$$\langle \theta_{p_1}, \dots, \theta_{p_n} | = \langle 0 | \prod_{j=1}^n C(\theta_{p_j}),$$

$$| \theta_{q_1}, \dots, \theta_{q_n} \rangle = \prod_{j=1}^n B(\theta_{q_j}) | 0 \rangle,$$

$$D(u) | \theta_{p_1}, \dots, \theta_{p_n} \rangle = d(u) \prod_{j=1}^n \frac{\sinh(u - \theta_{p_j} + \eta)}{\sinh(u - \theta_{p_j})} | \theta_{p_1}, \dots, \theta_{p_n} \rangle,$$

$$\langle \theta_{p_1}, \dots, \theta_{p_n} | D(u) = d(u) \prod_{j=1}^n \frac{\sinh(u - \theta_{p_j} + \eta)}{\sinh(u - \theta_{p_j})} \langle \theta_{p_1}, \dots, \theta_{p_n} |.$$

$$q_j, p_j \in (1, \dots, N), p_1 < p_2 < \dots < p_n \text{ and } q_1 < q_2 < \dots < q_n.$$

$$\langle \theta_{p_1}, \dots, \theta_{p_n} | \theta_{q_1}, \dots, \theta_{q_m} \rangle = f_n(\theta_{p_1}, \dots, \theta_{p_n}) \delta_{m,n} \prod_{j=1}^n \delta_{p_j, q_j},$$

**Orthogonal  
and complete  
basis**

$$f_n(\theta_{p_1}, \dots, \theta_{p_n}) = \prod_{j=1}^n a(\theta_{p_j}) d_{p_j}(\theta_{p_j}) \prod_{k \neq l}^n \frac{\sinh(\theta_{p_k} - \theta_{p_l} + \eta)}{\sinh(\theta_{p_k} - \theta_{p_l})}$$

### III. The topological spin chain: Bethe states

Scalar product

$$F_n(\theta_1, \dots, \theta_n) = \langle \theta_1, \dots, \theta_n | \Psi \rangle$$

$$\Lambda(\theta_{n+1}) F_n(\theta_1, \dots, \theta_n) = \langle \theta_1, \dots, \theta_n | t(\theta_{n+1}) | \Psi \rangle = F_{n+1}(\theta_1, \dots, \theta_{n+1})$$



$$F_n(\theta_1, \dots, \theta_n) = \prod_{j=1}^n \Lambda(\theta_j), \quad F_0 = 1.$$

# III. The antiperiodic XXZ model: Bethe states

## The Bethe state

$$|\lambda_1, \dots, \lambda_N\rangle = \prod_{j=1}^N D(\lambda_j) |\Omega; \{\theta_j\}\rangle$$

$$\langle \theta_{p_1}, \dots, \theta_{p_n} | \lambda_1, \dots, \lambda_N \rangle = \left\{ \prod_{j=1}^N d(\lambda_j) \right\} F_n(\theta_{p_1}, \dots, \theta_{p_n})$$

$$[l]_q = \frac{1 - q^{2l}}{1 - q^2}, \quad [0]_q = 1,$$

$$[l]_{q!} = [l]_q [l-1]_q \cdots [1]_q, \quad q = e^\eta,$$

$$\tilde{B}^- = \lim_{u \rightarrow +\infty} \left\{ (2 \sinh \eta e^{-u})^{N-1} e^{\sum_{l=1}^N \theta_l} B(u) \right\}$$

**Requirement !**

$$\langle \theta_{q_1}, \dots, \theta_{q_n} | \Omega; \{\theta_j\} \rangle = \prod_{l=1}^n a(\theta_{p_l}) e^{\theta_{p_l}}, \quad n = 0, \dots, N.$$

$$|\Omega; \{\theta_j\}\rangle = \sum_{l=0}^{\infty} \frac{(\tilde{B}^-)^l}{[l]_{q!}} |0\rangle = \sum_{l=0}^N \frac{(\tilde{B}^-)^l}{[l]_{q!}} |0\rangle,$$

**q-spin coherent  
state**

**From known eigenvalue and creation operator  
to retrieve initial state!**



## IV. The XYZ model

$$H = \frac{1}{2} \sum_{n=1}^N (J_x \sigma_n^x \sigma_{n+1}^x + J_y \sigma_n^y \sigma_{n+1}^y + J_z \sigma_n^z \sigma_{n+1}^z)$$

Baxter 72  
Faddeev &  
Takhtajan 79

$$J_x = e^{i\pi\eta} \frac{\sigma(\eta + \frac{\tau}{2})}{\sigma(\frac{\tau}{2})}, \quad J_y = e^{i\pi\eta} \frac{\sigma(\eta + \frac{1+\tau}{2})}{\sigma(\frac{1+\tau}{2})}, \quad J_z = \frac{\sigma(\eta + \frac{1}{2})}{\sigma(\frac{1}{2})},$$

$$\theta \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} (u, \tau) = \sum_{m=-\infty}^{\infty} \exp \{ i\pi [(m + a_1)^2 \tau + 2(m + a_1)(u + a_2)] \}$$

$$\sigma(u) = \theta \begin{bmatrix} \frac{1}{2} \\ \frac{1}{2} \end{bmatrix} (u, \tau), \quad \zeta(u) = \frac{\partial}{\partial u} \{ \ln \sigma(u) \}.$$

### III. The XYZ model: Inhomogeneous T-Q

$$\Lambda(u) = e^{2i\pi l_1 u + i\phi} a(u) \frac{Q_1(u - \eta)}{Q_2(u)} + e^{-2i\pi l_1(u + \eta) - i\phi} d(u) \frac{Q_2(u + \eta)}{Q_1(u)} + c \frac{\sigma^m(u + \frac{\eta}{2}) a(u) d(u)}{\sigma^m(\eta) Q_1(u) Q_2(u)}, \quad (3)$$

$$Q_1(u) = \prod_{j=1}^M \frac{\sigma(u - \mu_j)}{\sigma(\eta)}, \quad Q_2(u) = \prod_{j=1}^M \frac{\sigma(u - \nu_j)}{\sigma(\eta)}.$$

$$N + m = 2M.$$

The minimal T-Q  
 $m=1$  for odd  $N$   
 $m=2$  for even  $N$

# V. Concluding Remarks & Perspective

Intrinsic properties of R matrix



Operator product identities

$$t(\theta_j)t(\theta_j - \eta) = a(\theta_j)d(\theta_j)$$

+

Asymptotic behavior of the polynomial



Inhomogeneous T-Q

$$\Lambda(u) = a(u)\frac{Q_1(u-\eta)}{Q_2(u)} + d(u)\frac{Q_2(u+\eta)}{Q_1(u)} + c(u)\frac{a(u)d(u)}{Q_1(u)Q_2(u)}$$

Regularity



Bethe Ansatz equations

# V. Concluding Remarks & Perspective

- Spin torus: [Phys. Rev. Lett. 111, 137201 (2013)]
- Open XXX: [Nucl. Phys. B 875, 152 (2013)]
- Open XXZ & XYZ: [Nucl. Phys. B 877, 152 (2013)]
- Periodic XYZ: [Nucl. Phys. B 886, 185 (2014)]
- Hubbard: [Nucl. Phys. B 879, 98 (2014)]
- t-J: [JSTAT P04031, (2014)]
- SU(n) & nested ODBA [JHEP 04, 143 (2014)]
- Thermodynamics: [Nucl. Phys. B 884, 17 (2014)]
- Izergin-Korepin: [JHEP 06, 128 (2014)]
- Spin-s Heisenberg: [JHEP 02, 036 (2015)]
- Retrieve the eigenstate [Nuclear Physics B 893 , 70 (2015);  
JSTAT P05014, (2015) ]

# V. Concluding Remarks & Perspective

High rank systems: An, B



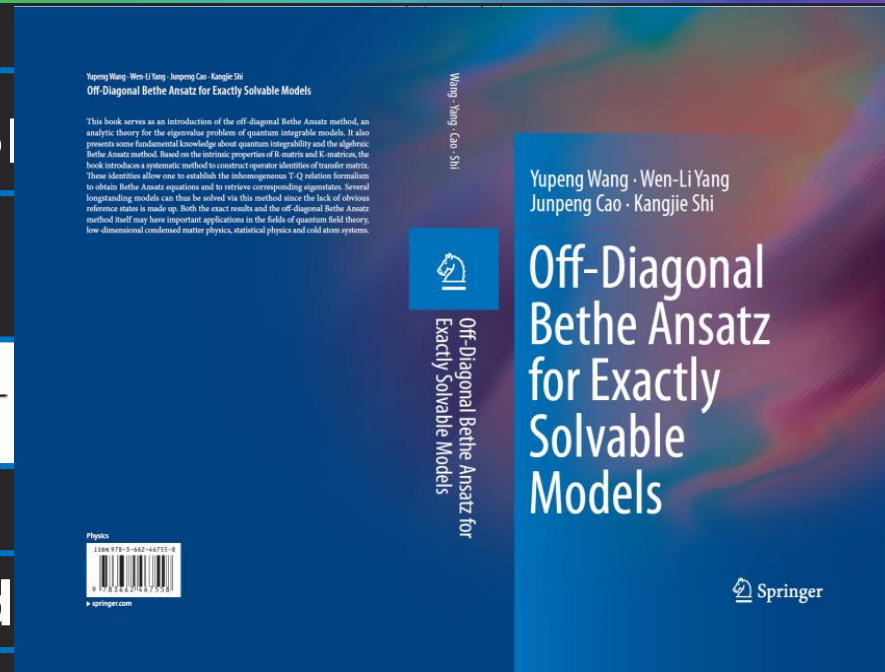
Fusion

$$t^{(1)}(\theta_j)t^{(r)}(\theta_j - \eta) \sim t^{(r+1)}(\theta_j), \quad r = 1, \dots, N -$$

The method works for all bound

ODBA in principle provides a unified method to solve the quantum integrable models

The irreducible inhomogeneous T-Q must imply non-trivial topological nature of the system! Mathematics?





**Thanks!**