



UCDAVIS



Machine Learning of Magnetic Phase Transitions

1. Introduction
2. Classical Models of Magnetism
3. Quantum Models of Itinerant Magnetism
4. Conclusions



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1. Introduction

Powerful array of existing tools to quantify phase transitions in Monte Carlo:

- Identification of appropriate order parameters.
- Identification of appropriate response functions.
- Finite size scaling.
- Dynamics.

Can require a degree of creativity (even for known order parameter):

- Binder ratio $\langle M^4 \rangle / \langle M^2 \rangle^2$
- Pairing Vertex, $\Gamma = P^{-1} - \bar{P}^{-1}$

Forefront of condensed matter physics today

- Competing types of order
Cuprates: superconductivity, antiferromagnetism, stripes, nematic, ···
- More subtle (eg topological) phases.

Develop methods which are useful if the order parameter is not known.

- Recognize novel phases hidden in vast dance of degrees of freedom simulated.

Principal Component Analysis

Basic technical data analysis method of all results presented here.

- P simulations at different parameter values (T, U, ρ).
- L configurations (collection of N degrees of freedom S_j) from each simulation.
- Arrange configurations S_j as row j of a matrix X .
- X is rectangular: PL rows and N columns.
- Construct $\mathcal{M} = X^T X$ (square, dimension N).
- Diagonalize \mathcal{M} . Eigenvalues λ_i , “relative variance” $\tilde{\lambda}_i = \lambda_i / \sum_i \lambda_i$
- Inner product of eigenvectors v_i with configurations: $p_{ij} = v_i \cdot S_j$
“principal components”
- Topology of $\{(p_{1j}, p_{2j})\}$ through transition (v_1, v_2 : two largest λ_1, λ_2).
- Quantified principal components: $\mathcal{P}_i = \langle |p_i| \rangle = \sum_j |p_{ij}|$

Remainder of this talk: Results

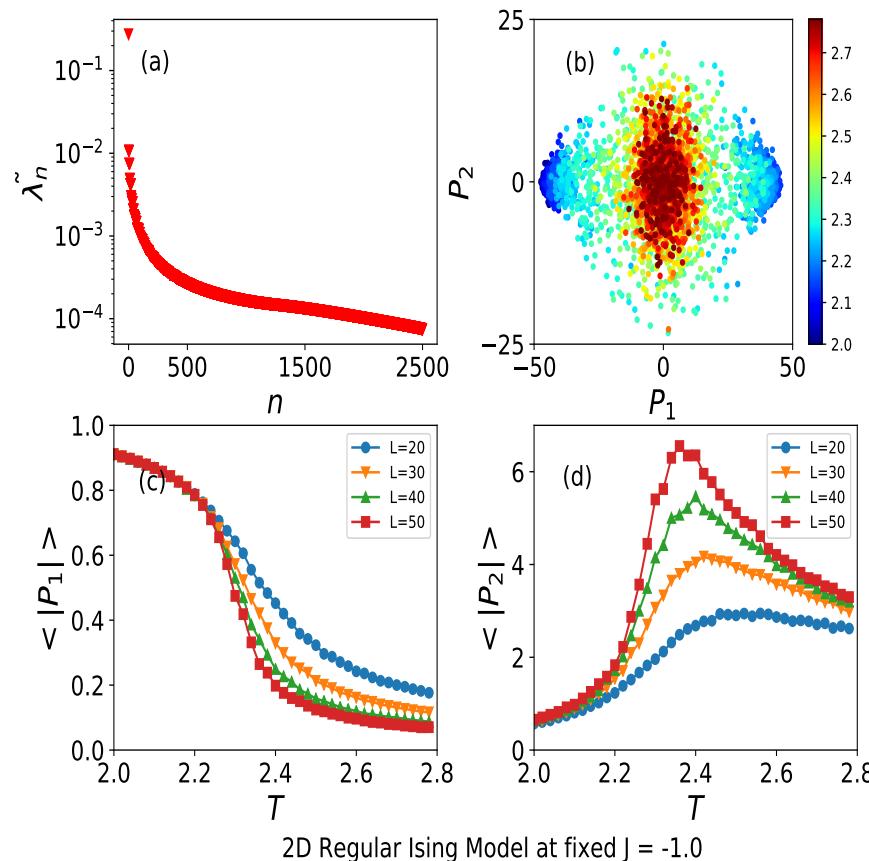
Phase transitions of classical spin models.

Phase transitions of quantum Hamiltonians (itinerant electrons).

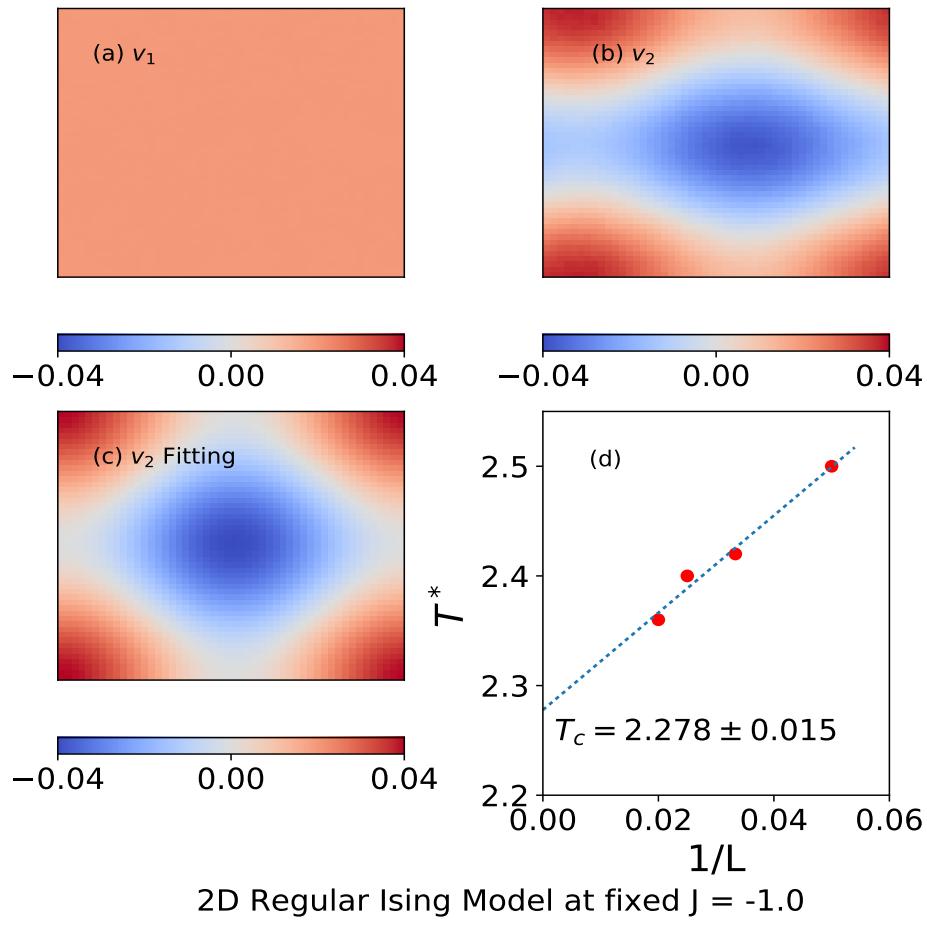
2. Classical Models of Magnetism

• (i) Ising Model $E = -J \sum_{\langle ij \rangle} S_i S_j$ $S_i = \pm 1$

[See also L. Wang, PRB94, 195105 (2016);
 J. Liu, Y. Qi, Z.Y. Meng and L. Fu, PRB95, 041101 (2017)]



- (a) Relative variances $\tilde{\lambda}_i$ drop rapidly with i
- (b) $\{ (p_{1j}, p_{2j}) \}$ changes topology at $T_c \sim 2.269$. bifurcates $\rightarrow 2$ clusters.
- (c) \mathcal{P}_1 mimics $\langle |M| \rangle$.
- (d) \mathcal{P}_2 mimics χ .

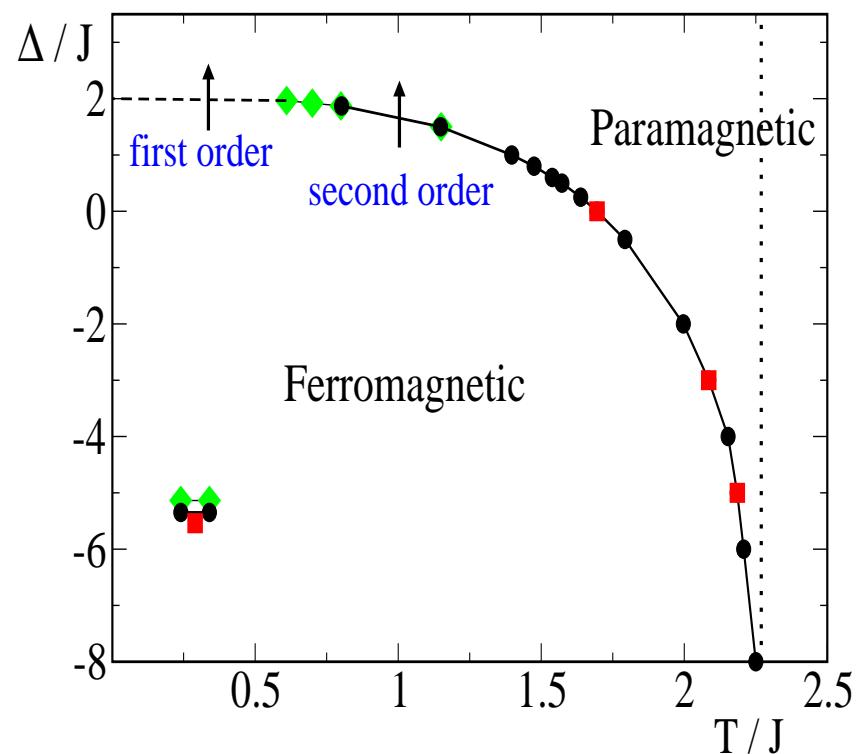


- (a) Leading eigenvector v_1 uniform (ferromagnetic).
- (b) Subleading eigenvector v_2 domain walls.
- (c) Compare to $v'_2 = (\cos(r_1 k_1), \cos(r_2 k_1), \dots) + (\cos(r_1 k_2), \cos(r_2 k_2), \dots)$
 $k_1 = (2\pi/L, 0)$, $k_1 = (0, 2\pi/L)$
- (d) Extrapolate peaks T^* of $\mathcal{P}_2(T)$ with $1/L$.

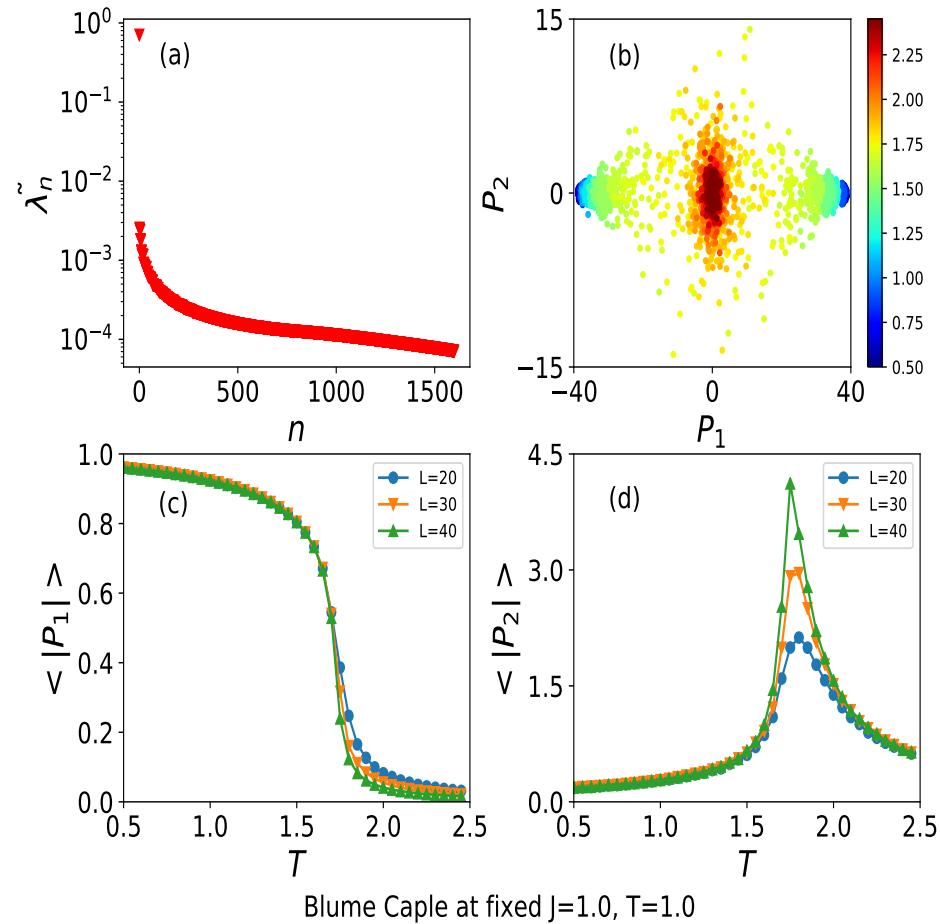
(ii) Blume-Capel Model $E = -J \sum_{\langle ij \rangle} S_i S_j + \Delta \sum_i S_i^2$ $S_i = 0, \pm 1$

Ising Model in limit $\Delta \rightarrow -\infty$

Tricritical point at $(T/J, \Delta/J) \sim (0.61, 1.97)$



(ii) Blume-Capel Model in second order regime, $T = 1.0$.



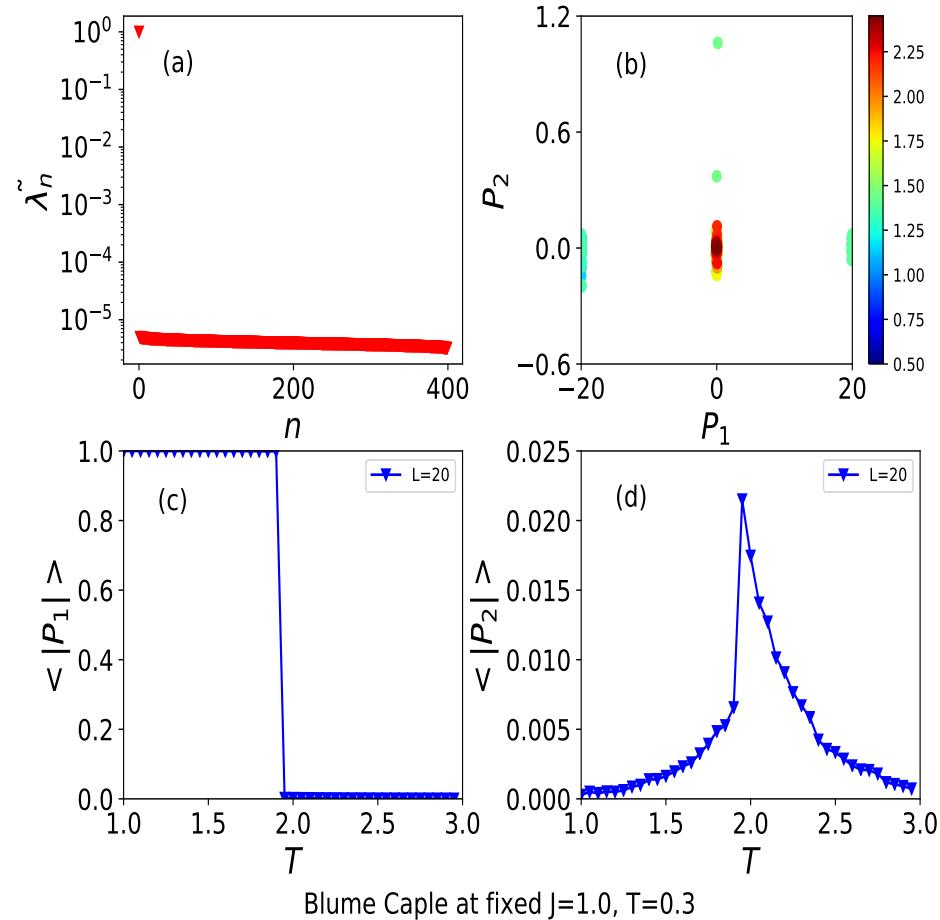
(a) Relative variances $\tilde{\lambda}_i$ drop rapidly with i

(b) $\{ (p_{1j}, p_{2j}) \}$ changes topology at $\Delta_c \sim 1.7$.
2 cluster bifurcation.

(c) \mathcal{P}_1 mimics $\langle |M| \rangle$.

(d) \mathcal{P}_2 mimics χ .

(ii) Blume-Capel Model in first order regime, $T = 0.3$.



(a) Relative variances $\tilde{\lambda}_i$ drop rapidly with i

(b) $\{ (p_{1j}, p_{2j}) \}$ changes topology at $\Delta_c \sim 2.0$.

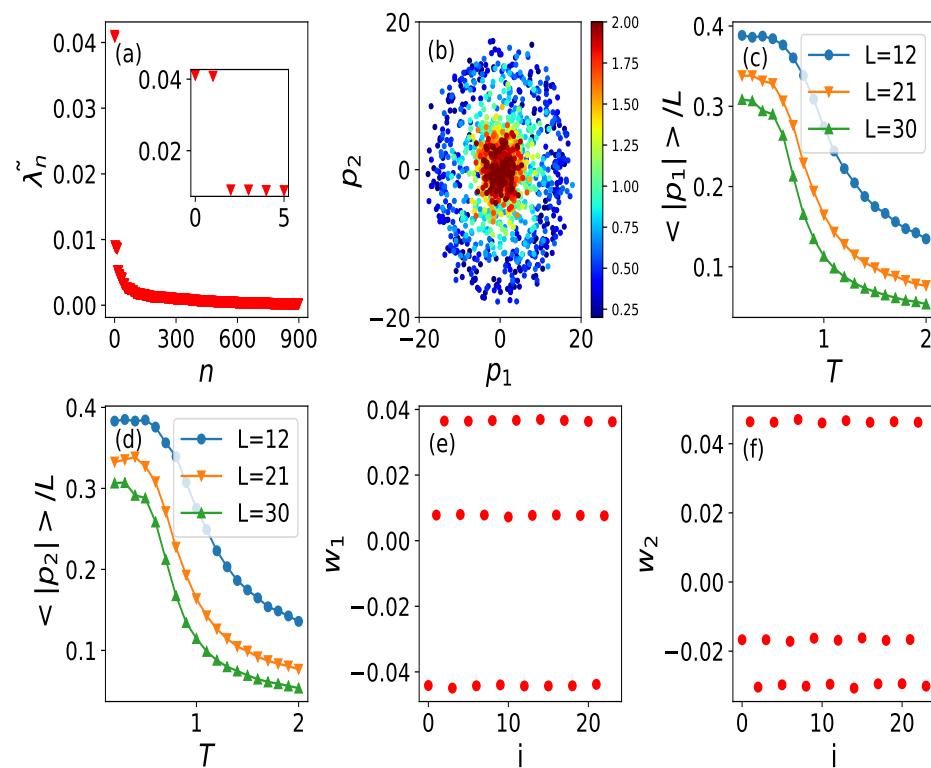
(c) \mathcal{P}_1 mimics $\langle |M| \rangle$.

(d) \mathcal{P}_2 mimics χ .

First order character is evident!

(iii) Triangular Lattice Ising Model

No long range order at $T = 0$ (power law spin-spin correlations).



PCA recognizes “incipient ordering”!

- (a) Pair of large variances $\tilde{\lambda}_i$
 - (b) High and low T scatter points separate.
 - (c,d) Growth of $\mathcal{P}_1, \mathcal{P}_2$.
 - (e,f) Ordering patterns:
 $(m, 0, -m); (m, -m/2, -m/2)$
- These patterns emerge with
- weak transverse field.
 - weak interlayer coupling.

(iv) Biquadratic Exchange Spin One Ising

$$E = -J \sum_{\langle\langle ik\rangle\rangle} S_i S_k + K \sum_{\langle ij\rangle} S_i^2 S_j^2 \quad S_i = 0, \pm 1$$

$K > 0$: Energetically unfavorable $\langle ij \rangle$ both occupied ($S_i = \pm 1$).

- Occupied sites surrounded by vacancies.
- However no preferred spin orientation.

One sublattice empty ($S_i = 0$).

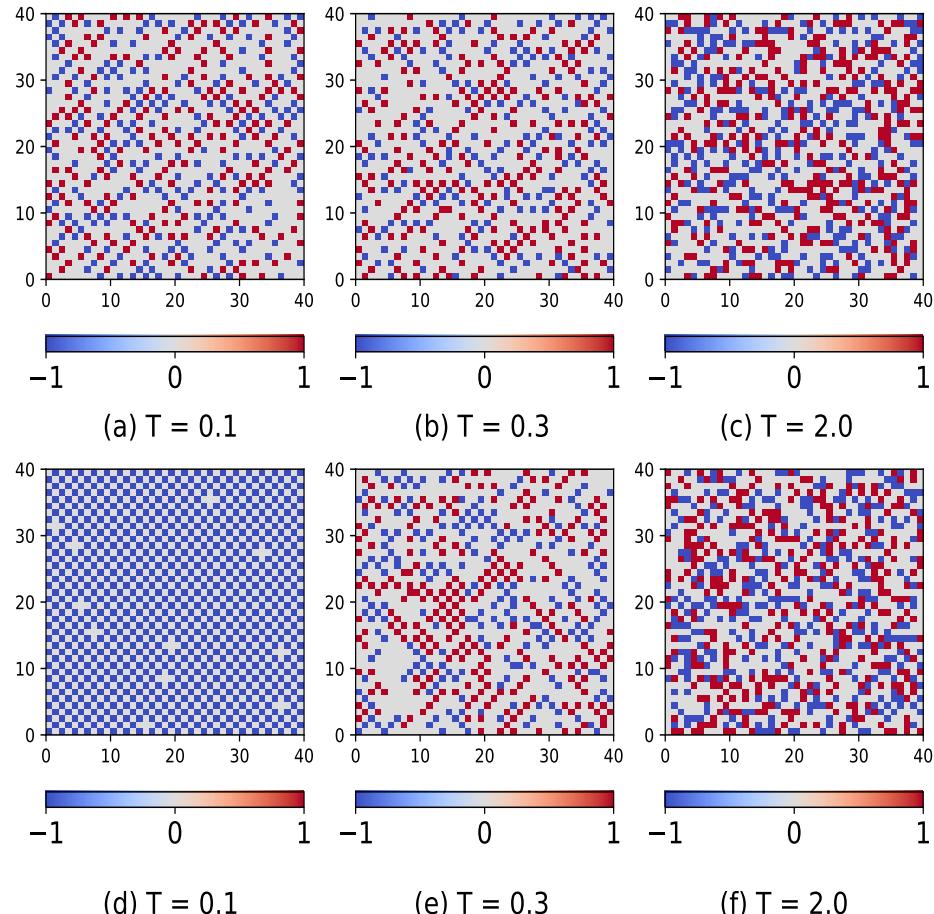
Other sublattice *each* site two choices: $S_i = \pm 1$.

- Similar issues to Ising square ice (Carrasquilla)?

Challenge to machine learning:

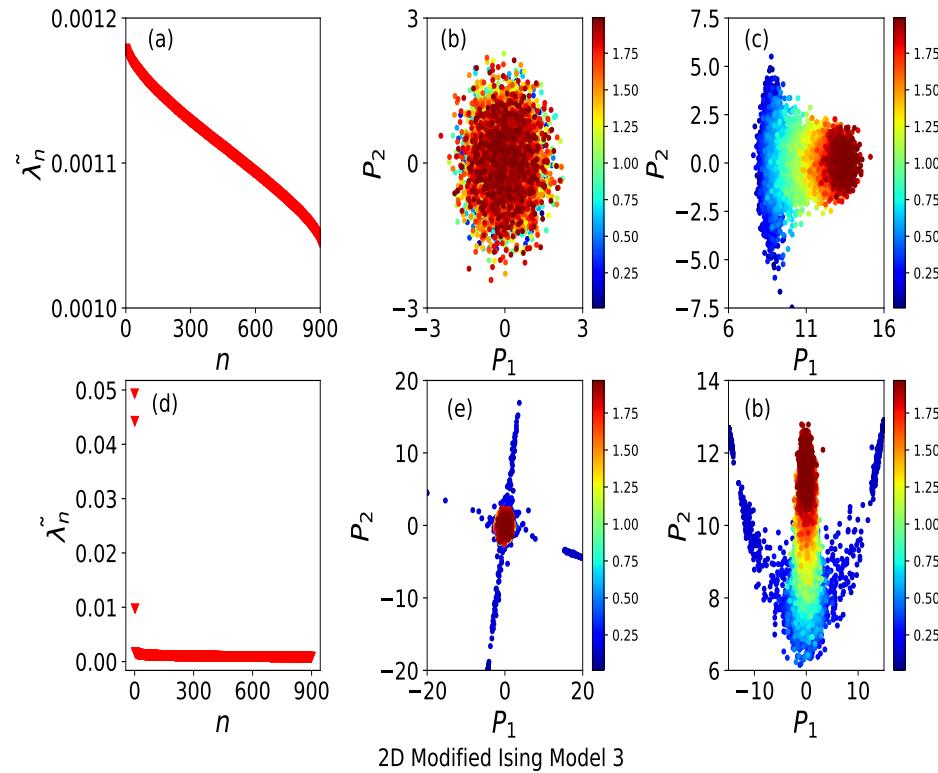
- Large ground state degeneracy.
- Does a phase transition occur?

$$(\text{iv}) \quad E = -J \sum_{\langle \langle ik \rangle \rangle} S_i S_k + K \sum_{\langle ij \rangle} S_i^2 S_j^2$$



Model **not** well-studied.
 Conventional Monte Carlo.
 Spin config snapshots
 Top(bottom): $J = 0.0 (0.1)$
 High, intermediate, low T .
 $T \sim K$ occupied sites
 surrounded by empties.
 Order does not emerge $J = 0.0$.
 Confirm with $C, S, \langle M \rangle, \chi$.

(iv) Biquadratic Exchange Spin One Ising



Top row: $J = 0.0$

(a) Relative variances

- No dominant $\tilde{\lambda}_i$.

(b) $\{ (p_{1j}, p_{2j}) \}$

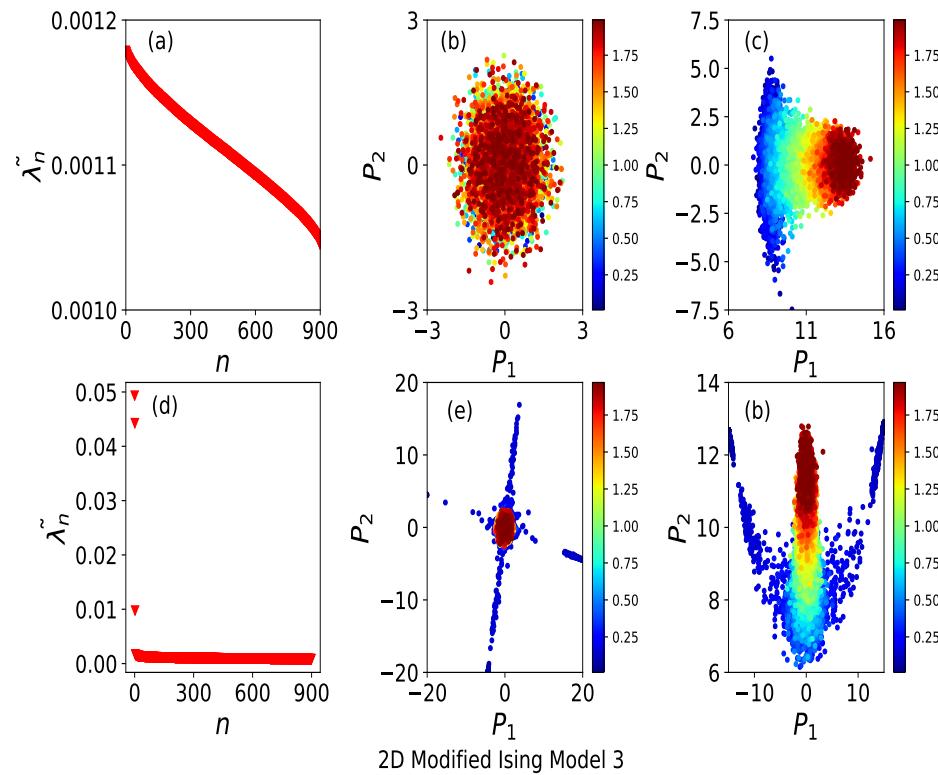
- No hint of ordering.

(c) $\{ (p'_{1j}, p'_{2j}) \}$

projections of *squares* of spin configurations exhibit structure but no symmetry breaking (bifurcation in scatter plot).

Model exhibits only gradual crossover at $J = 0.0$.

(iv) Biquadratic Exchange Spin One Ising



Bottom row: $J = 0.1$

(a) Relative variances
Dominant $\tilde{\lambda}_i$ emerges.

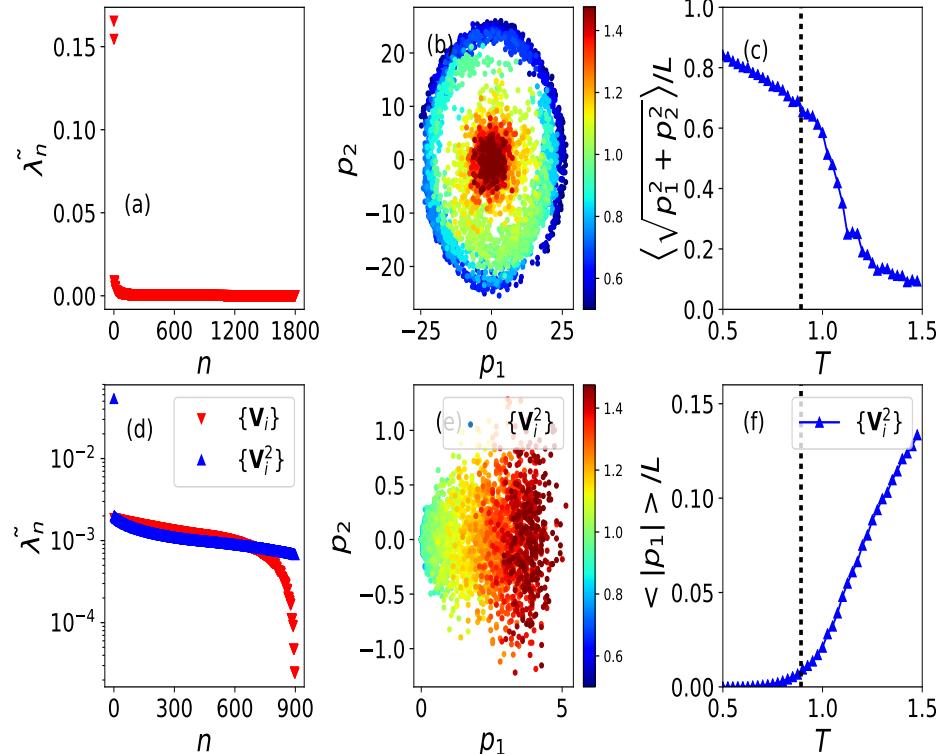
(b) $\{ (p_{1j}, p_{2j}) \}$
Recognize four-fold ‘spin’
symmetry of ground state.

(c) $\{ (p'_{1j}, p'_{2j}) \}$
Recognize two-fold ‘charge’
symmetry of ground state.

Dominant variance N_v related to ground state degeneracy: $N_g = 2^{N_v}$.

(v) XY Model

$$E = -J \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j)$$



Top:

Feed $(\cos\theta, \sin\theta)$ into PCA.

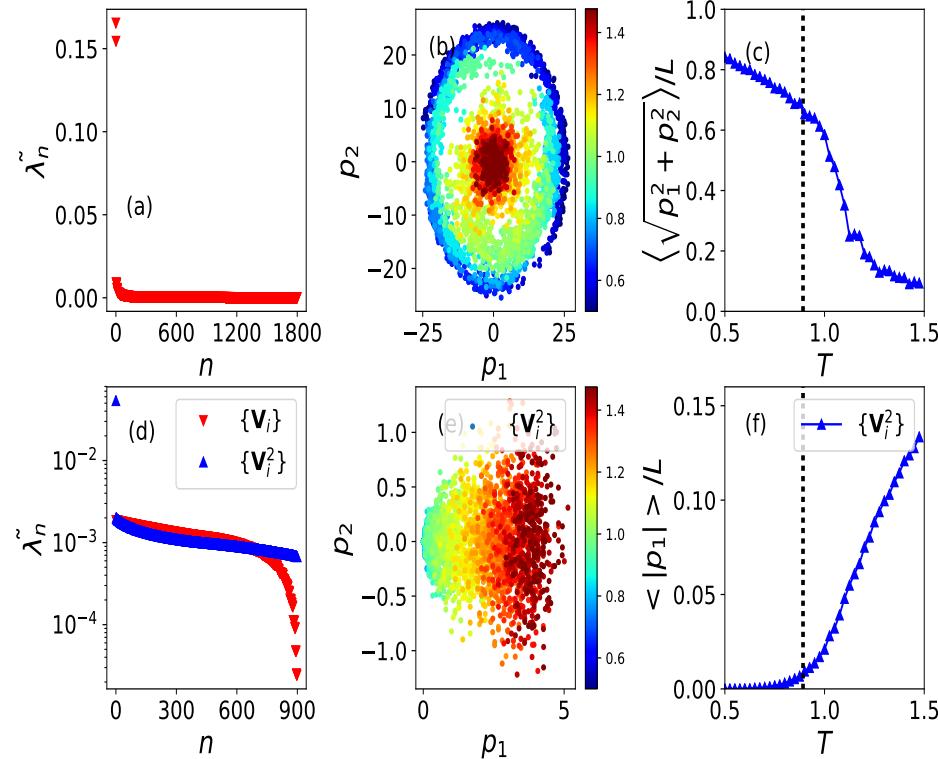
(a) Two equally weighted relative variances.

(b) Principle components occupy periphery of circle at low T .

(c) $\sqrt{\mathcal{P}_1^2 + \mathcal{P}_2^2}$ shows signal near $T_{KT} \sim 0.892$.

(v) XY Model

$$E = -J \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j)$$



Bottom:

Local vorticity V_i into PCA.

(d) No dominant λ_i (red).

Local vorticity square V_i^2

(d) Dominant $\tilde{\lambda}_i$ (blue).

(e,f) Principle components evolve in smooth crossover.

Vortex binding-unbinding may be challenging for machine learning.

3. Quantum Models of Magnetism

Methodology is determinant Quantum Monte Carlo (DQMC).

Electron-electron interactions decoupled via introduction of discrete ‘Hubbard-Stratonovich’ field $S_{i\tau}$.

$S_{i\tau}$ has spatial $i = 1, 2, \dots, N$; imaginary time $\tau = 1, 2, \dots, L$ indices.

$L = \beta/\Delta\tau$: number of divisions of inverse temperature.

Options for PCA:

- Provide $S_{i\tau}$ for all i at single τ , or all τ at single i .
- Provide $S_{i\tau}$ for all i, τ .
- Provide (vorticity in XY), a ‘derived quantity’: e.g. Greens function.

Prior work (very partial list!):

Xiao Yan Xu, Yang Qi, Junwei Liu, Liang Fu, Zi Yang Meng, arXiv:1612.03804.

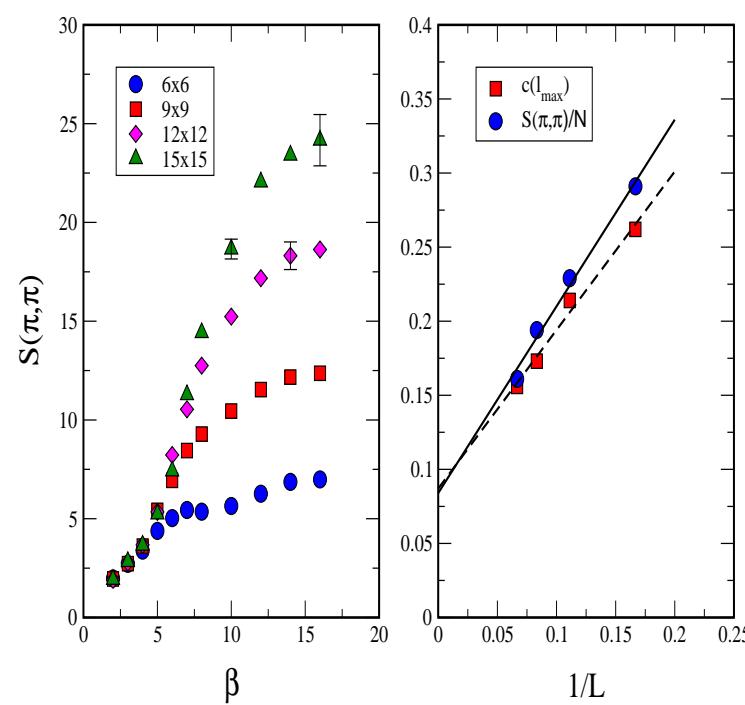
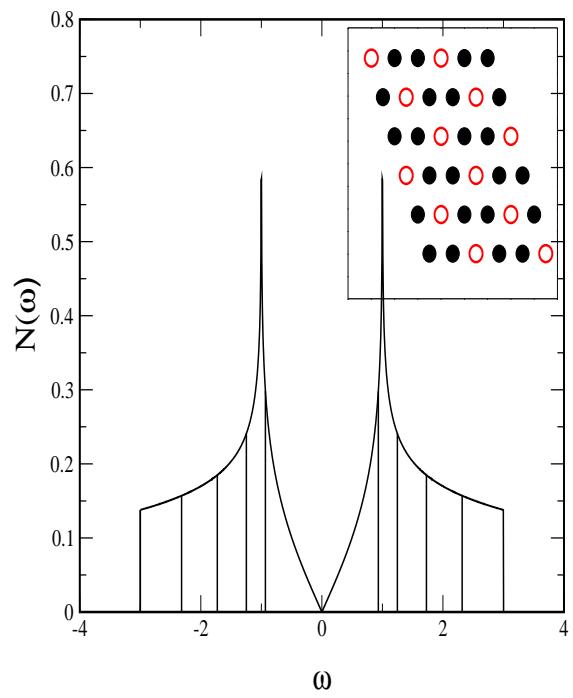
K. Ch’ng, J. Carrasquilla, R.G. Melko, and E. Khatami, arXiv:1609.02552v2.

P. Broecker, J. Carrasquilla, R.G. Melko, and S. Trebst, arXiv:1608.0784v1.

(Q-i) Hubbard Model on honeycomb lattice.

$$\hat{H} = -t \sum_{\langle ij \rangle \sigma} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

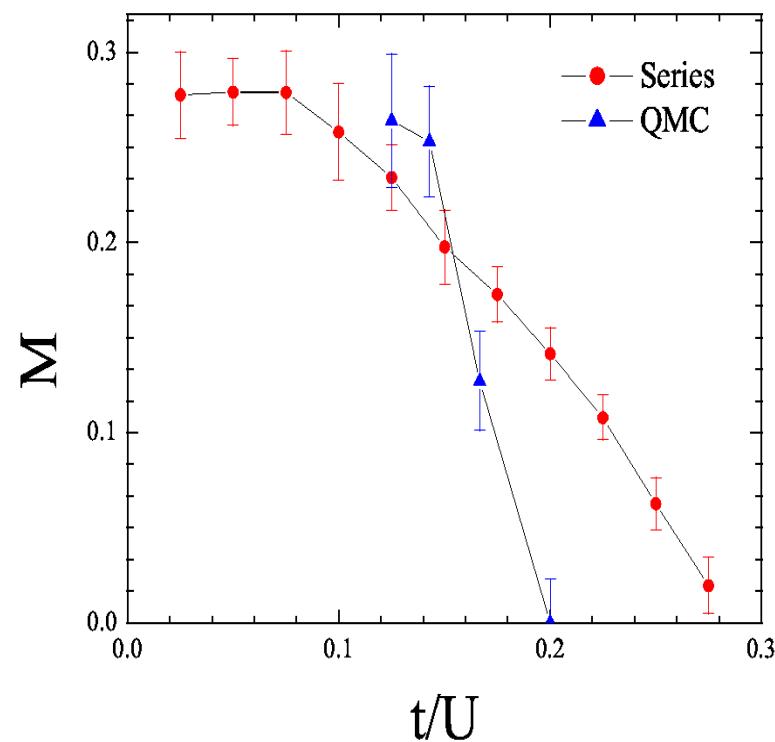
Honeycomb lattice, half-filling: AF order if $U > U_c \sim 3.8$.



(Q-i) Hubbard Model on honeycomb lattice.

$$\hat{H} = -t \sum_{\langle ij \rangle \sigma} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

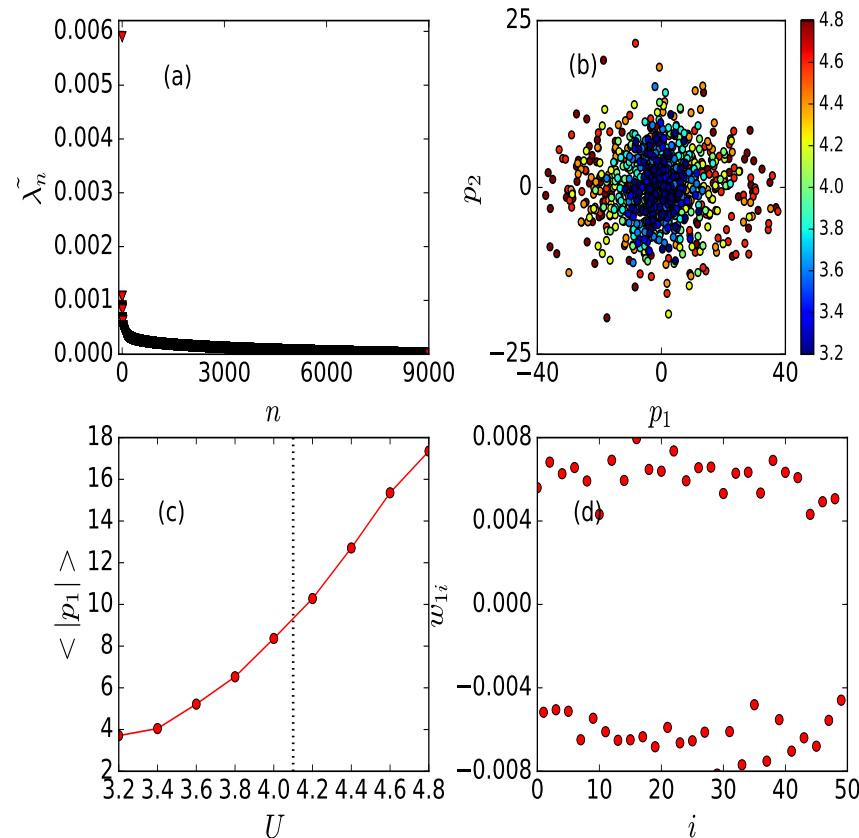
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Honeycomb lattice, half-filling: AF order if $U > U_c \sim 3.8$.

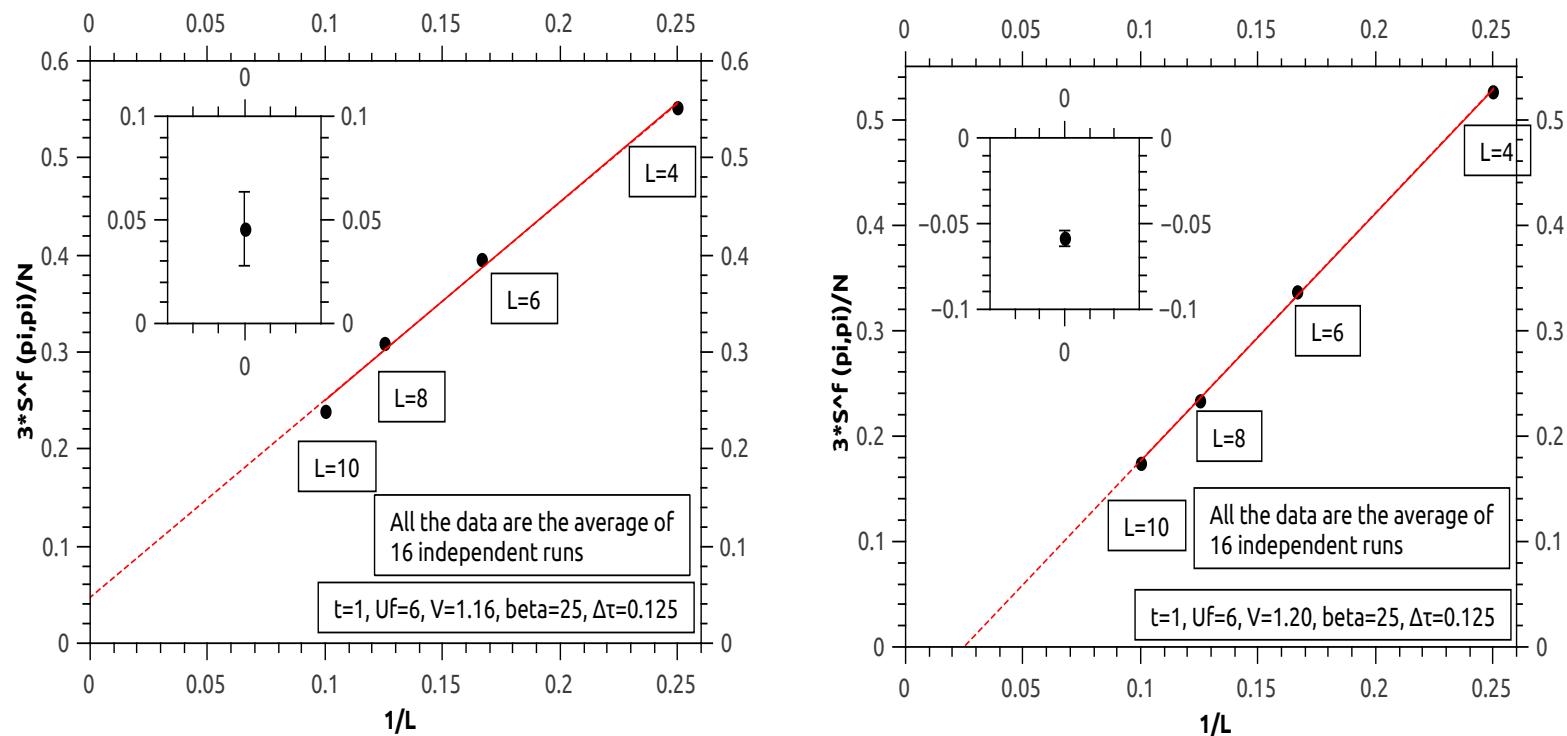


- (a) Dominant variance $\tilde{\lambda}_i$
- (b) Central $(\mathcal{P}_1, \mathcal{P}_2)$ peak bifurcates as U increases.
- (c) Principal component AF pattern.

(Q-ii) Periodic Anderson Model

$$\hat{H} = -t \sum_{\langle ij \rangle \sigma} (d_{i\sigma}^\dagger d_{j\sigma} + d_{j\sigma}^\dagger d_{i\sigma}) + U^f \sum_i n_{i\uparrow}^f n_{i\downarrow}^f + V \sum_{i\sigma} (f_{i\sigma}^\dagger d_{i\sigma} + d_{i\sigma}^\dagger f_{i\sigma})$$

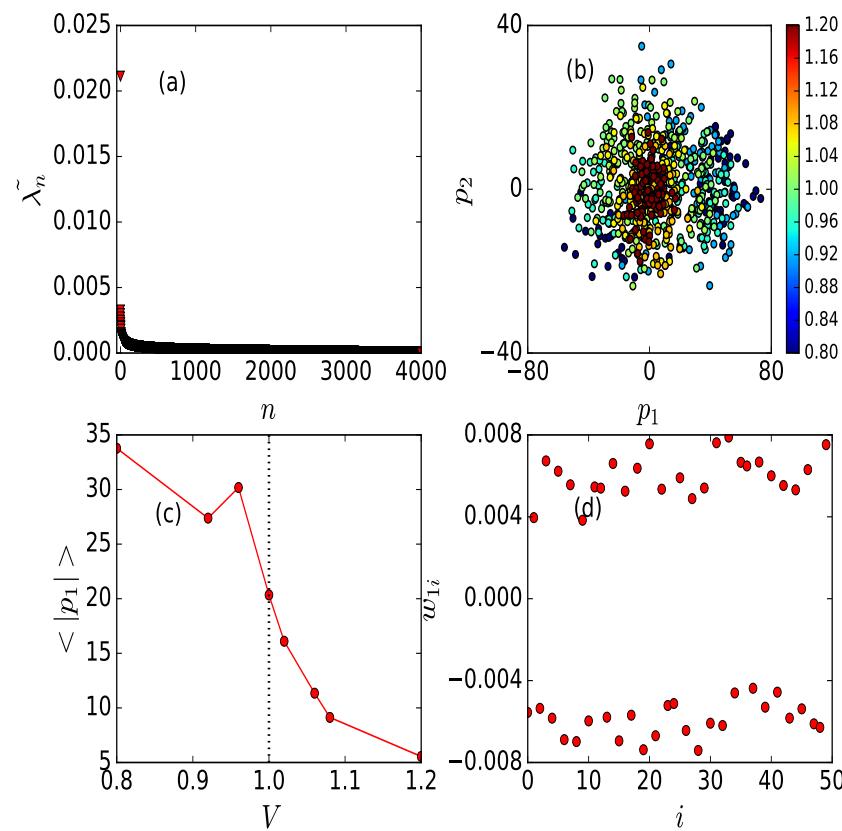
Antiferromagnetic \rightarrow singlet transition, $V > V_c \sim 1.18$ for $U^f = 6$.



(Q-ii) Periodic Anderson Model

$$\hat{H} = -t \sum_{\langle ij \rangle \sigma} (d_{i\sigma}^\dagger d_{j\sigma} + d_{j\sigma}^\dagger d_{i\sigma}) + U^f \sum_i n_{i\uparrow}^f n_{i\downarrow}^f + V \sum_{i\sigma} (f_{i\sigma}^\dagger d_{i\sigma} + d_{i\sigma}^\dagger f_{i\sigma})$$

Antiferromagnetic \rightarrow singlet transition, $V > V_c \sim 0.99$ for $U^f = 4$.



(a) Dominant variance $\tilde{\lambda}_i$

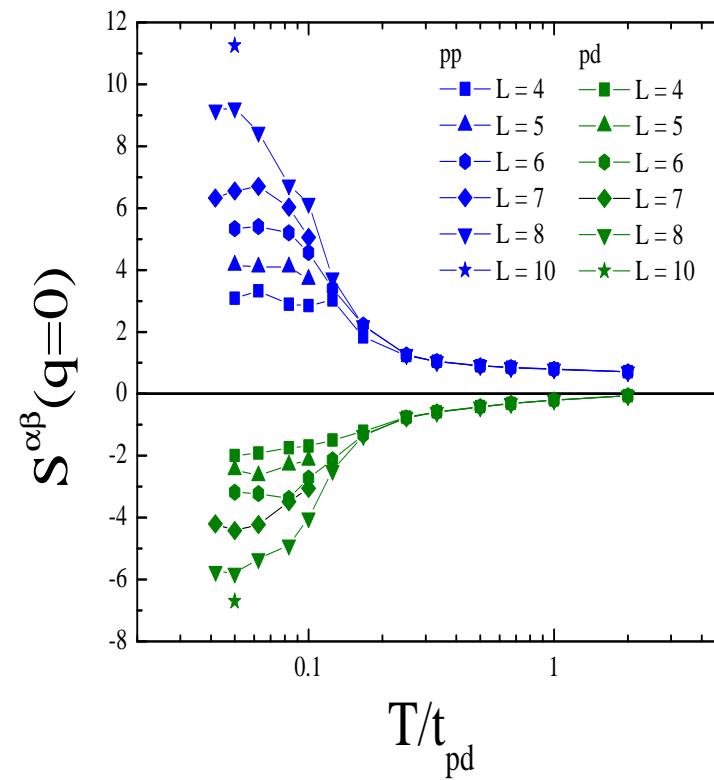
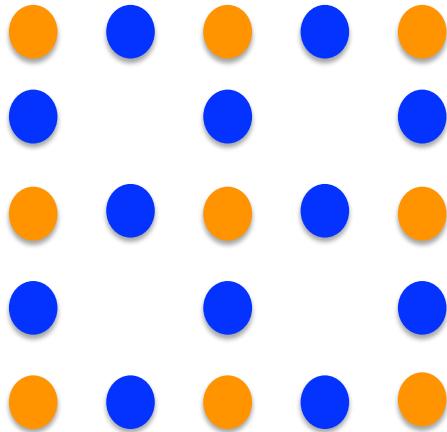
(b) Central $(\mathcal{P}_1, \mathcal{P}_2)$ peak collapses as V increases.

(c) Principal component AF pattern.

(Q-iii) Hubbard Model on Lieb Lattice

Three bands: two dispersing, bracket flat band.

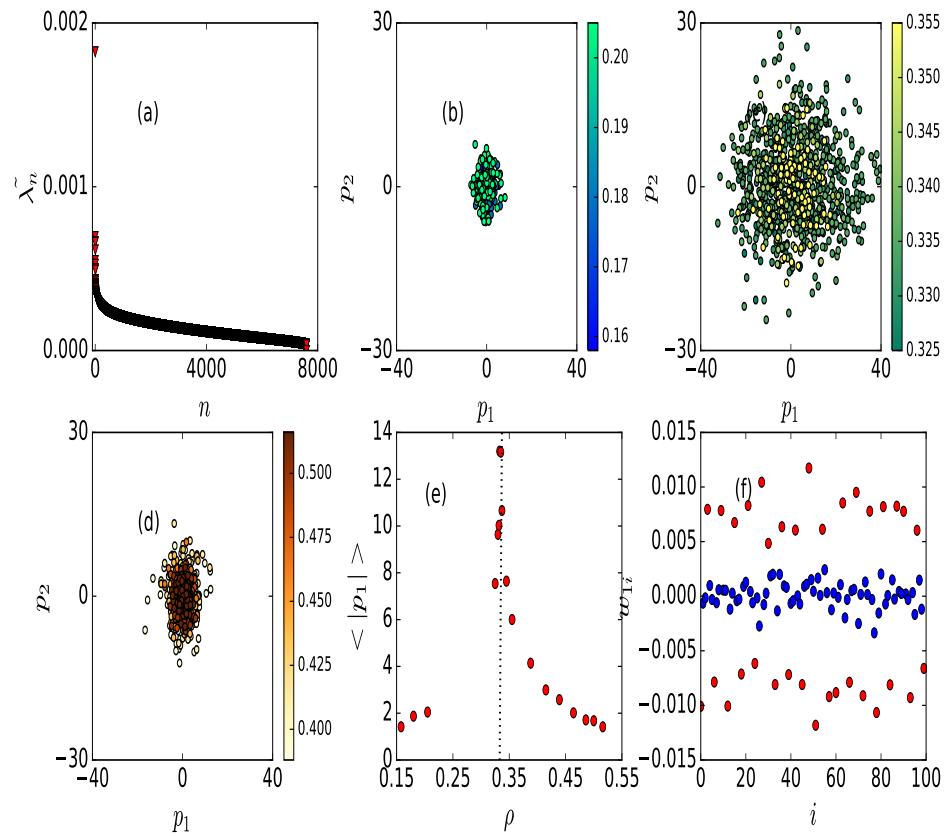
Ferrimagnetic order at half-filling.



(Q-iii) Hubbard Model on Lieb Lattice

Three bands: two dispersing, bracket flat band.

Half-filling of lowest band ($\rho = 1/3$) AF order.



(a) Dominant variance $\tilde{\lambda}_i$

(b,d) Central $(\mathcal{P}_1, \mathcal{P}_2)$ peak

(c) expanded $\rho \sim 1/3$.

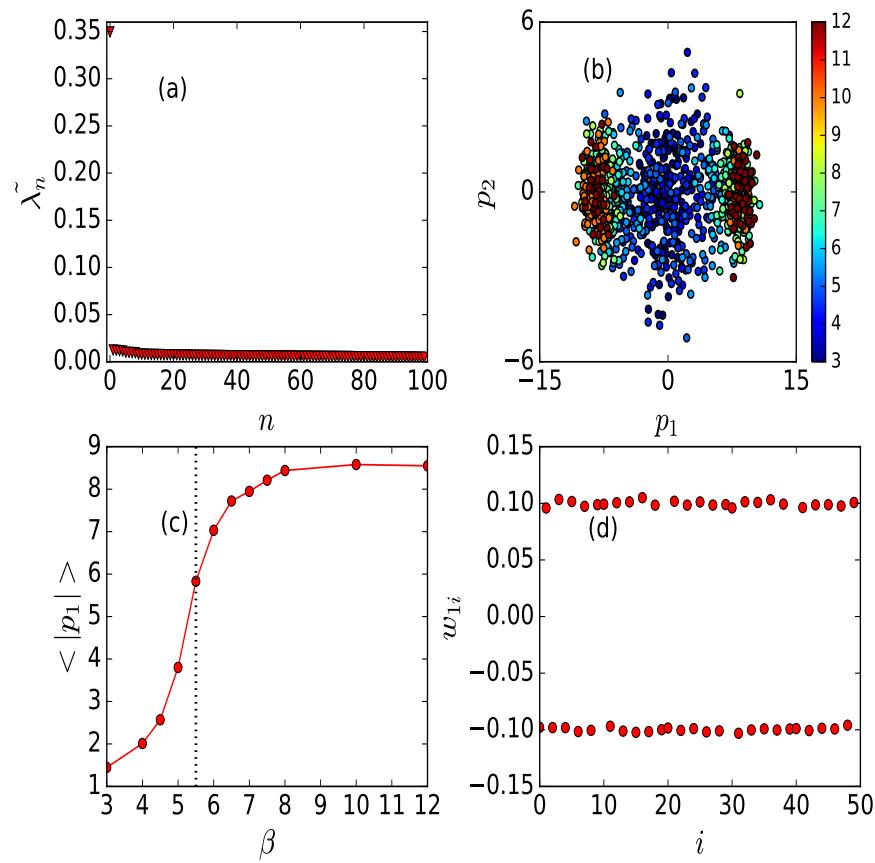
(e) \mathcal{P}_1 peak at $\rho = 1/3$.

(f) AF pattern (bridge sites).

PCA for doped system
(weak sign problem).

(Q-v) Holstein model: e^- coupled to phonons: $S_{i\tau} \rightarrow x_{i\tau}$.

$$\hat{H} = -t \sum_{\langle ij \rangle \sigma} (c_{i\sigma}^\dagger c_{j\sigma} + c_{j\sigma}^\dagger c_{i\sigma}) + \frac{1}{2} \sum_i (p_i^2 + \omega^2 x_i^2) + g \sum_i x_i (a_i^\dagger + a_i)$$



Half-filling: Simultaneous CDW and SC order at $T = 0$.

Doped: SC transition of KT type (these results). $\beta_c \sim 8$.

(a) Dominant variance $\tilde{\lambda}_i$

(b) $(\mathcal{P}_1, \mathcal{P}_2)$ divides at low T .

(c) \mathcal{P}_1 order onset $\beta \sim 6$.

(d) Remnant CDW order.

4. Conclusions

Primitive machine learning method (PCA) can discern phase transitions.

Ising and Blume-Capel

- Dominant principle component \leftrightarrow order parameter;
- Recognizes symmetry breaking; first vs second order transitions.
- Sub-dominant principle components: small q behavior (domain walls).

Triangular lattice Ising, Biquadratic Spin, XY

- PCA on frustrated models (highly degenerate ground states);
- Bring out subtle incipient order.
- Cannot recognize order in $S_i^2(V_i^2)$ from only $S_i(V_i)$.

PCA is useful for discerning quantum magnetism and charge order.

Can machine learning methods beat ‘traditional’ approaches ?!