

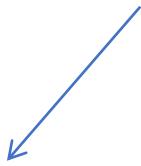
# Machine Learning Study of Frustrated Classical Spin Models

Ce Wang

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Machine Learning



Big Data



Pattern Recognition



Monte Carlo Sampling

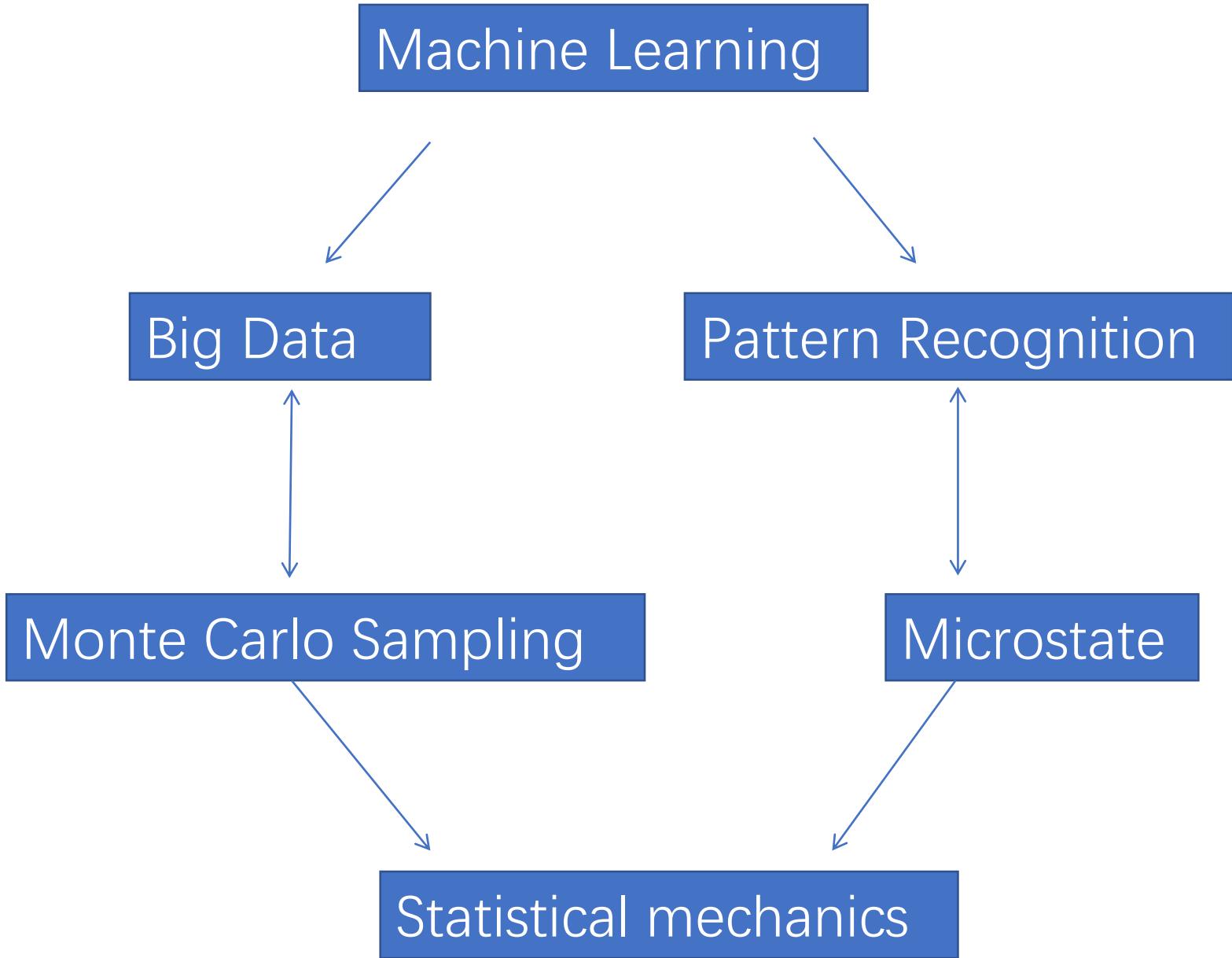


Microstate



Statistical mechanics





Supervised learning:

J. Carrasquilla, R. G. Melko, Nat. Phy. 13, 431-434 (2017). (Ising)  
P. Ponte , R. G. Melko.  
arXiv:1704.05848  
...

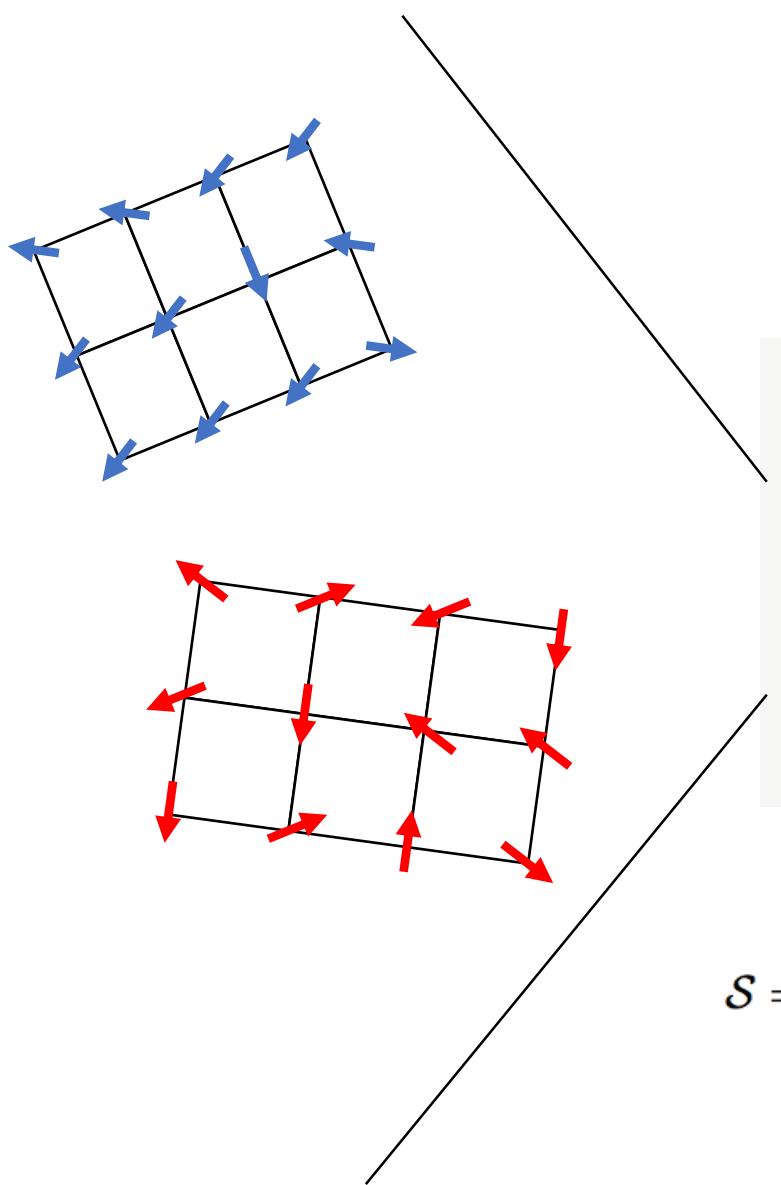
Unsupervised learning:

L. Wang, Phys. Rev. B 94.195105  
(2D Ising)

S. J. Wetzel, arXiv:1703.02435 (2D  
Ising , 3D XY)

W.J. Hu, R. Singh, Richard  
Scalettar, arxiv:1704.00080. (2D  
Ising on triangular lattice, ···, 2D  
XY)  
...

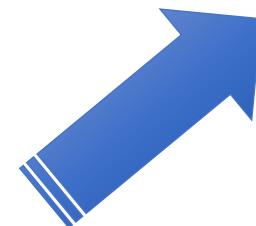
# Principle component analysis



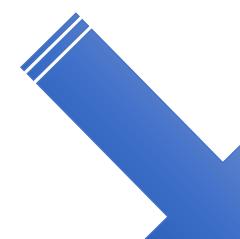
*Diagonalization:*

$$\mathcal{S} = \frac{1}{N} \sum_n (x_n - \bar{x})(x_n - \bar{x})^T.$$

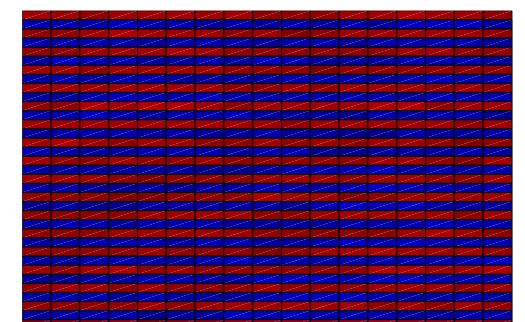
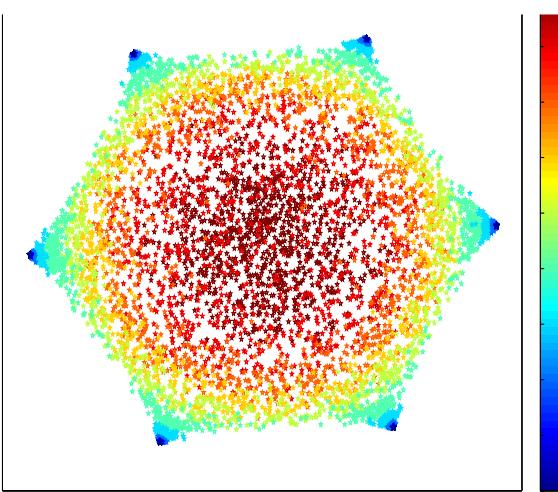
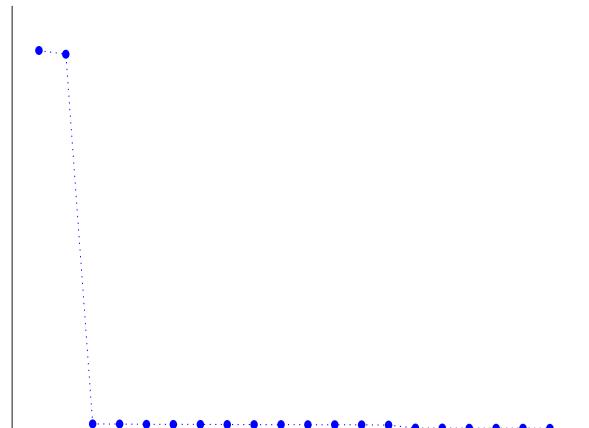
*Eigenvalue*  $\lambda_k$



*Projection*  $l_n^k = u_k^T x_n$

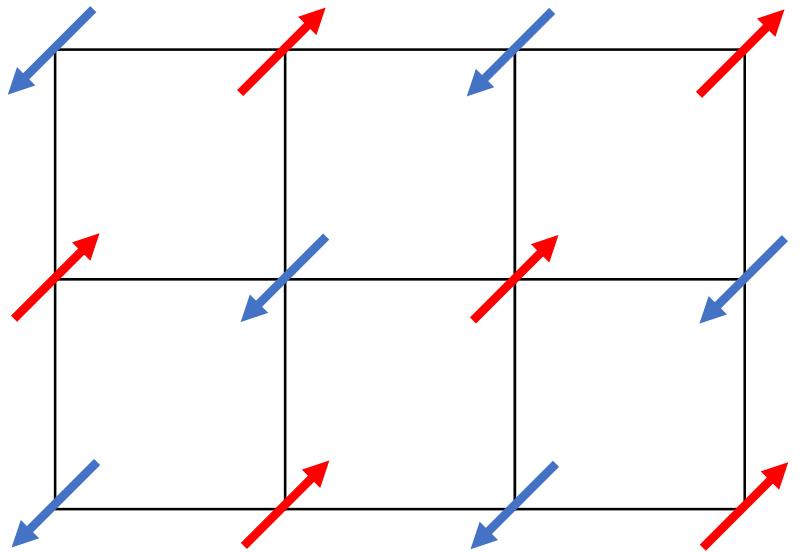


*Eigenvector*  $u_k$



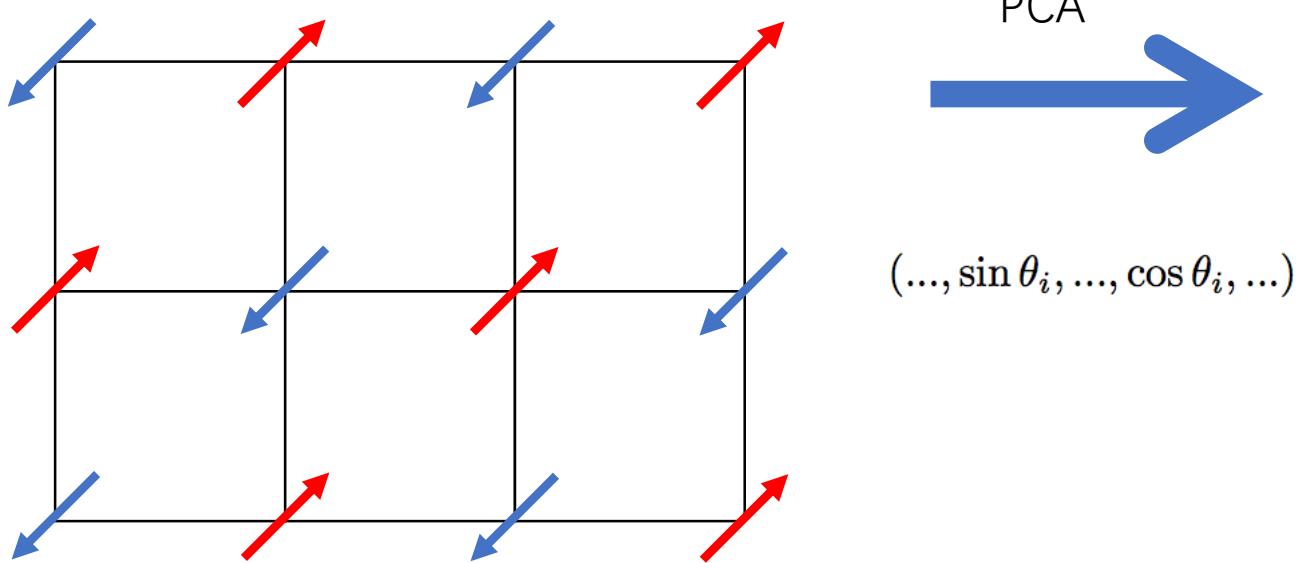
# Example : PCA on 2D XY model on square lattice

$$\mathcal{H} = J \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j)$$



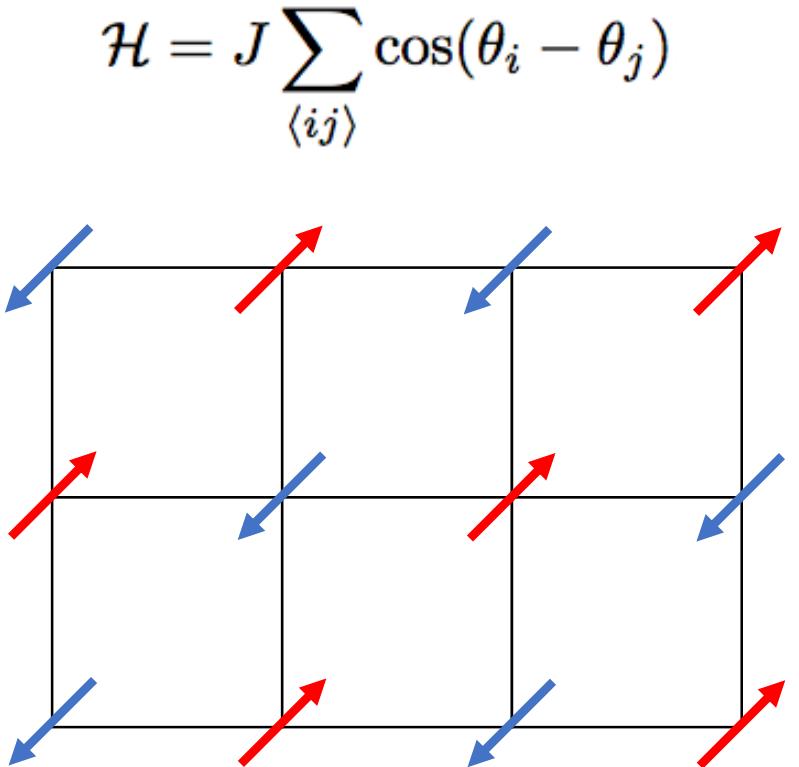
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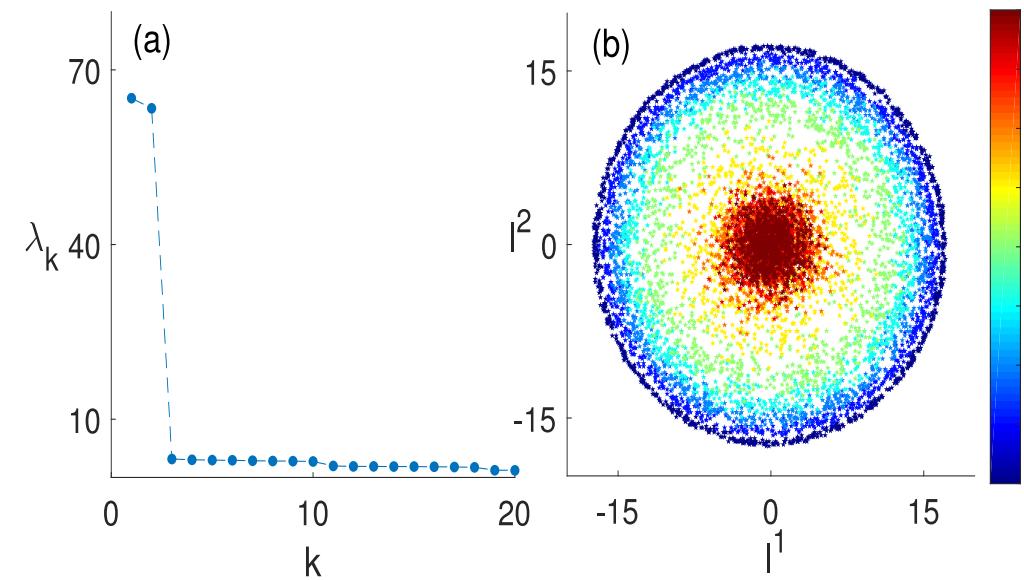


(...,  $\sin \theta_i, \dots, \cos \theta_i, \dots$ )

# Example : PCA on 2D XY model on square lattice



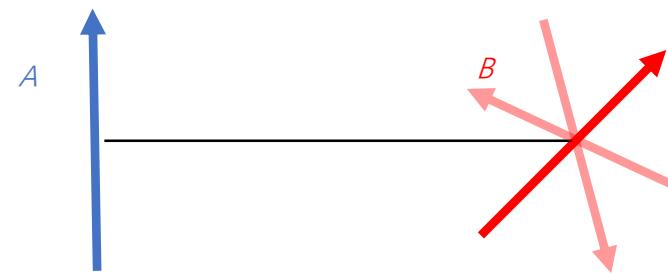
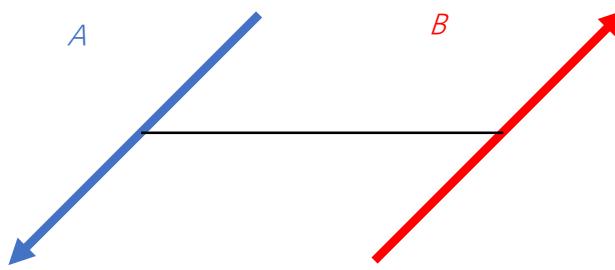
PCA →  
 $(..., \sin \theta_i, ..., \cos \theta_i, ...)$



# A toy model

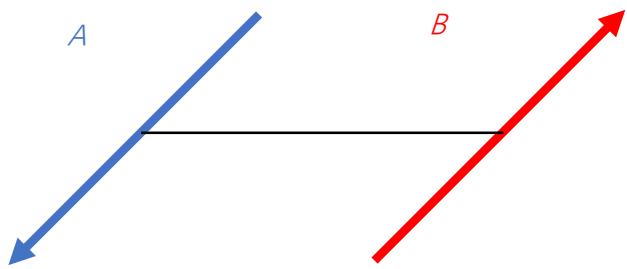
Low  $T$ :  $p \quad \theta_B = \theta_A + \pi$

High  $T$ :  $1-p \quad \theta_A \quad \theta_B$  uncorrelated  $x_n = (\cos \theta_A, \cos \theta_B, \sin \theta_A, \sin \theta_B)$ .



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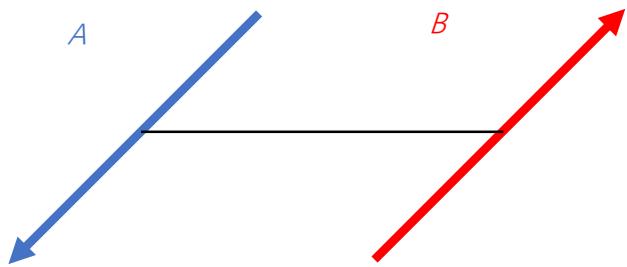


$$\mathcal{S}_l = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \Lambda = \frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$$

$$\mathcal{S}_h = \mathcal{I}/2,$$

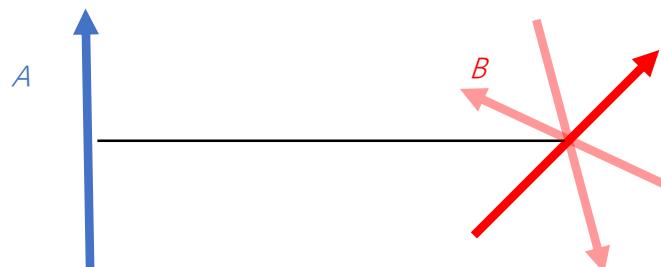
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$$\mathcal{S}_h = \mathcal{I}/2,$$

$$x_n = (\cos \theta_A, \cos \theta_B, \sin \theta_A, \sin \theta_B).$$

$$\mathcal{S} = (1-p)\mathcal{S}_h + p\mathcal{S}_l,$$

$$\lambda_1 = \lambda_2 = (1+p)/2,$$

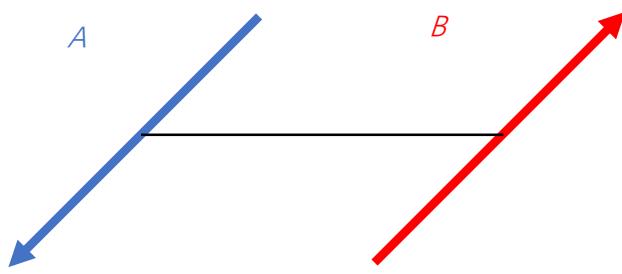
$$\lambda_3 = \lambda_4 = (1-p)/2$$

$$u_1 \propto (1, -1, 0, 0),$$

$$u_2 \propto (0, 0, 1, -1).$$

# A toy model

Low  $T$ :  $p \quad \theta_B = \theta_A + \pi$



$$\mathcal{S}_l = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \Lambda = \frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$$

$$l_n \propto (\cos \theta, \sin \theta)$$

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$$\mathcal{S}_h = \mathcal{I}/2,$$

$$l_n \propto (\cos \theta_A - \cos \theta_B, \sin \theta_A - \sin \theta_B)$$

$$\mathcal{S} = (1-p)\mathcal{S}_h + p\mathcal{S}_l.$$

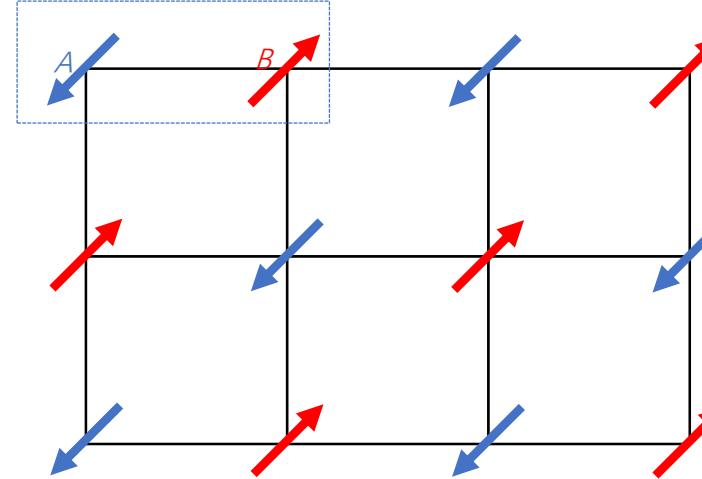
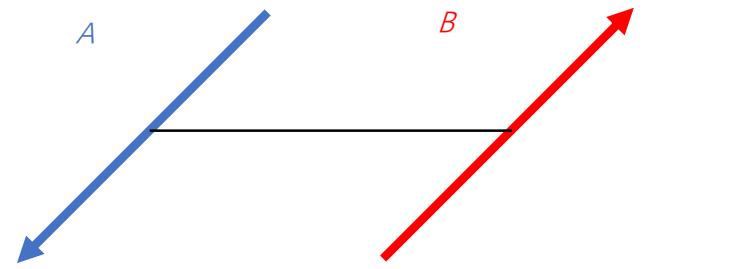
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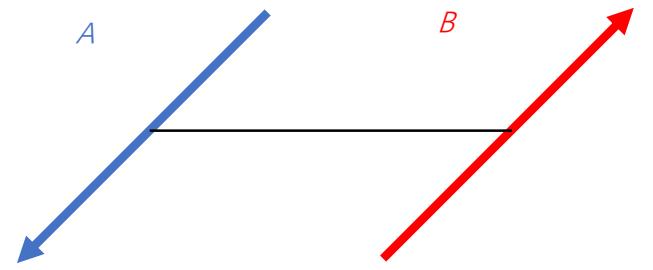
$$u_2 \propto (0, 0, 1, -1).$$

# A toy model

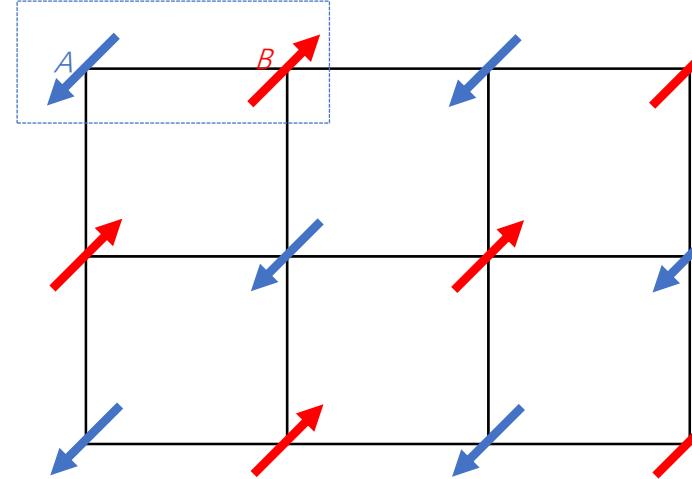


$$x_n = (\cos \theta_1, \dots, \cos \theta_i, \dots \cos \theta_L, \sin \theta_1, \dots \sin \theta_i, \dots \sin \theta_L)$$

# A toy model

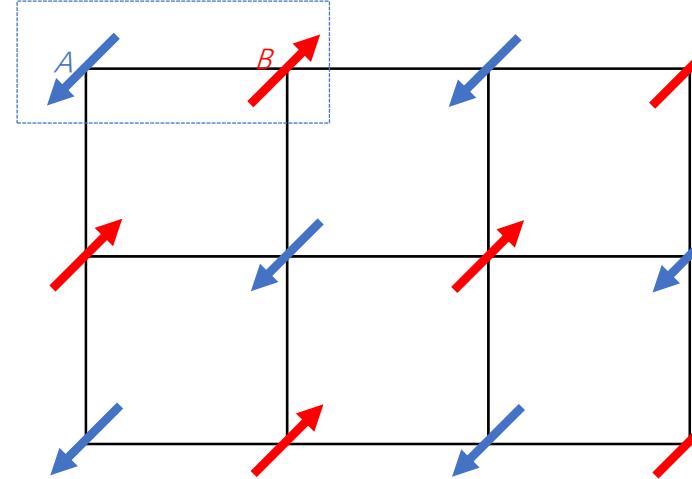
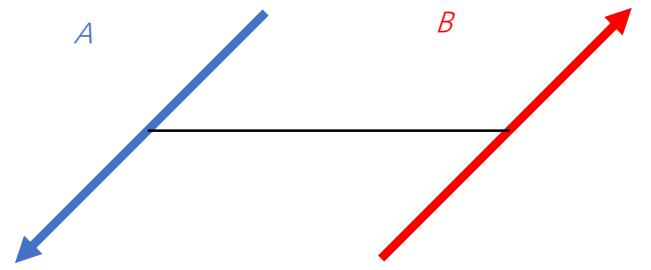


$$\mathcal{S}_l = \begin{pmatrix} 1 & 1 & \dots & 1 \\ 1 & 1 & \dots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \dots & 1 \end{pmatrix} \otimes \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \mathcal{S}_h = \mathcal{I}/2,$$



$$x_n = (\cos \theta_1, \dots, \cos \theta_i, \dots \cos \theta_L, \sin \theta_1, \dots \sin \theta_i, \dots \sin \theta_L)$$

# A toy model



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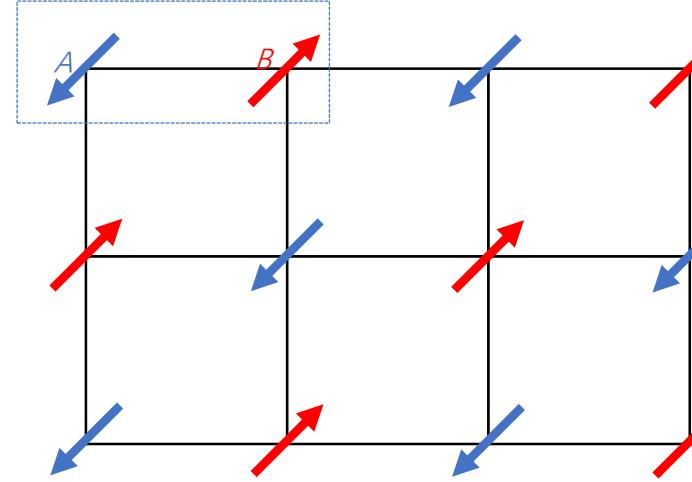
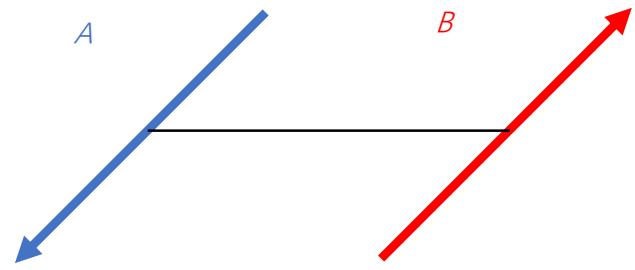
$$x_n = (\cos \theta_1, \dots, \cos \theta_i, \dots \cos \theta_L, \sin \theta_1, \dots \sin \theta_i, \dots \sin \theta_L)$$

$$\lambda_1 = \lambda_2 = (1 + (L/2 - 1)p)/2 \quad \lambda_i = (1 - p)/2 \quad (i > 2)$$

$$\begin{aligned} u_1 &\propto (1, -1, \dots, 1, -1, 0, 0, \dots, 0, 0), \\ u_2 &\propto (0, 0, \dots, 0, 0, 1, -1, \dots, 1, -1). \end{aligned}$$

*Low T:*  $l_n \propto (\cos \theta, \sin \theta)$       *High T:*  $l_n \propto (0, 0)$

# A toy model



$$\mathcal{S}_l = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ 1 & 1 & \cdots & 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 1 & \cdots & 1 \end{pmatrix} \otimes \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \mathcal{S}_h = \mathcal{I}/2,$$

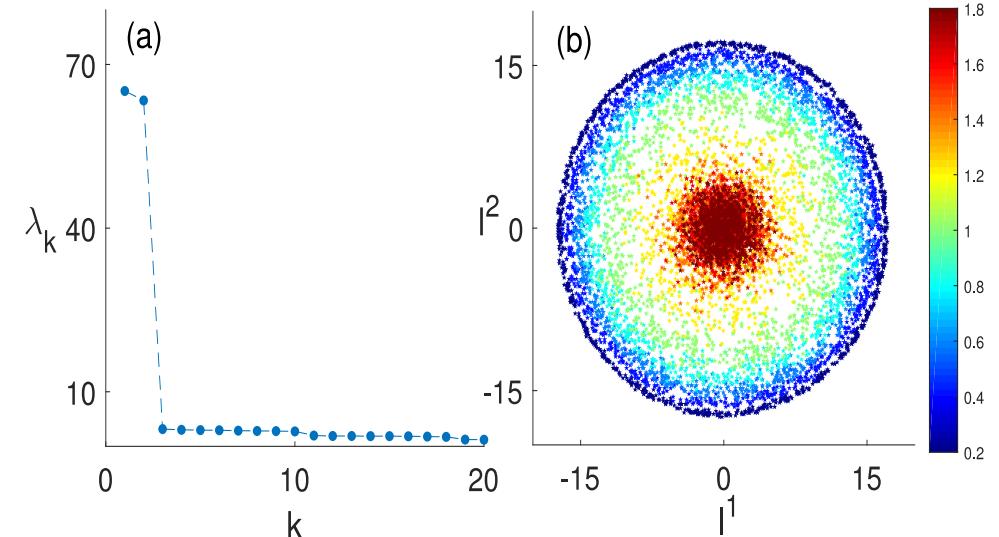
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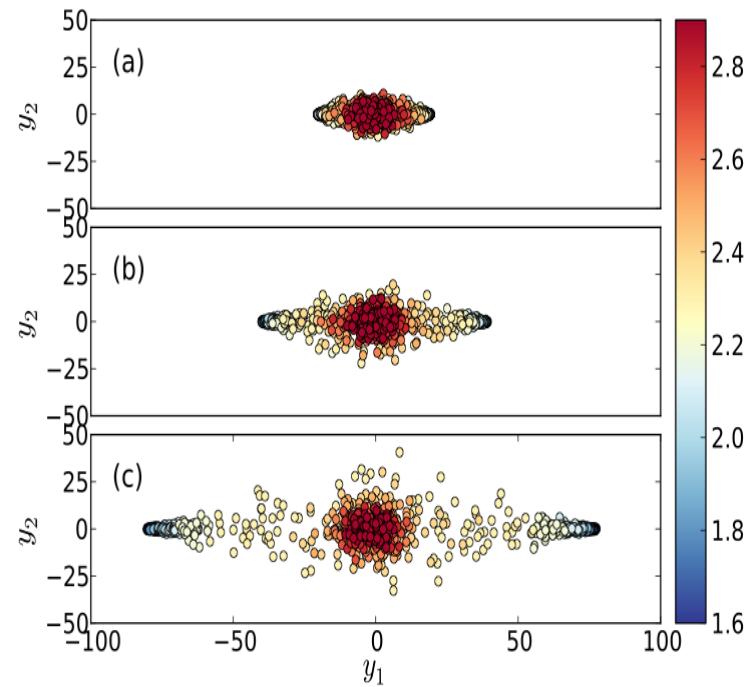
$$u_1 \propto (1, -1, \dots, 1, -1, 0, 0, \dots, 0, 0), \\ u_2 \propto (0, 0, \dots, 0, 0, 1, -1, \dots, 1, -1).$$

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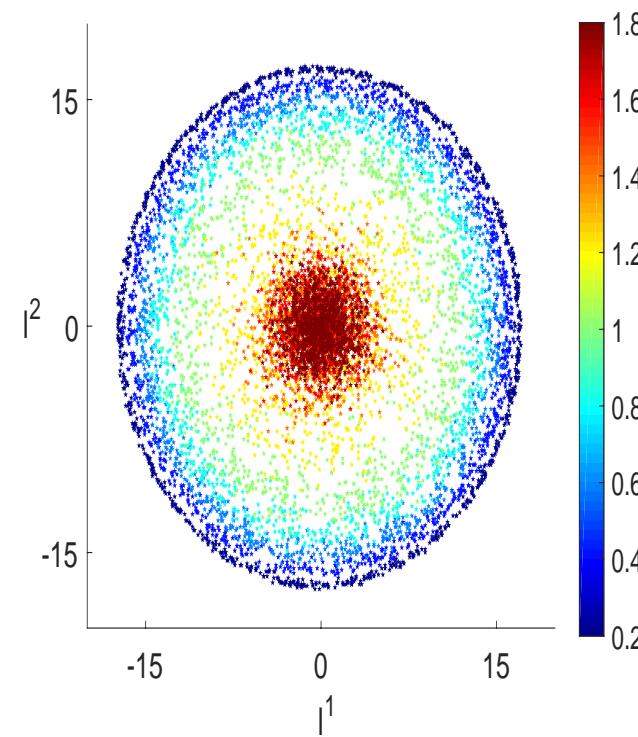


# Ising model



$Z_2$

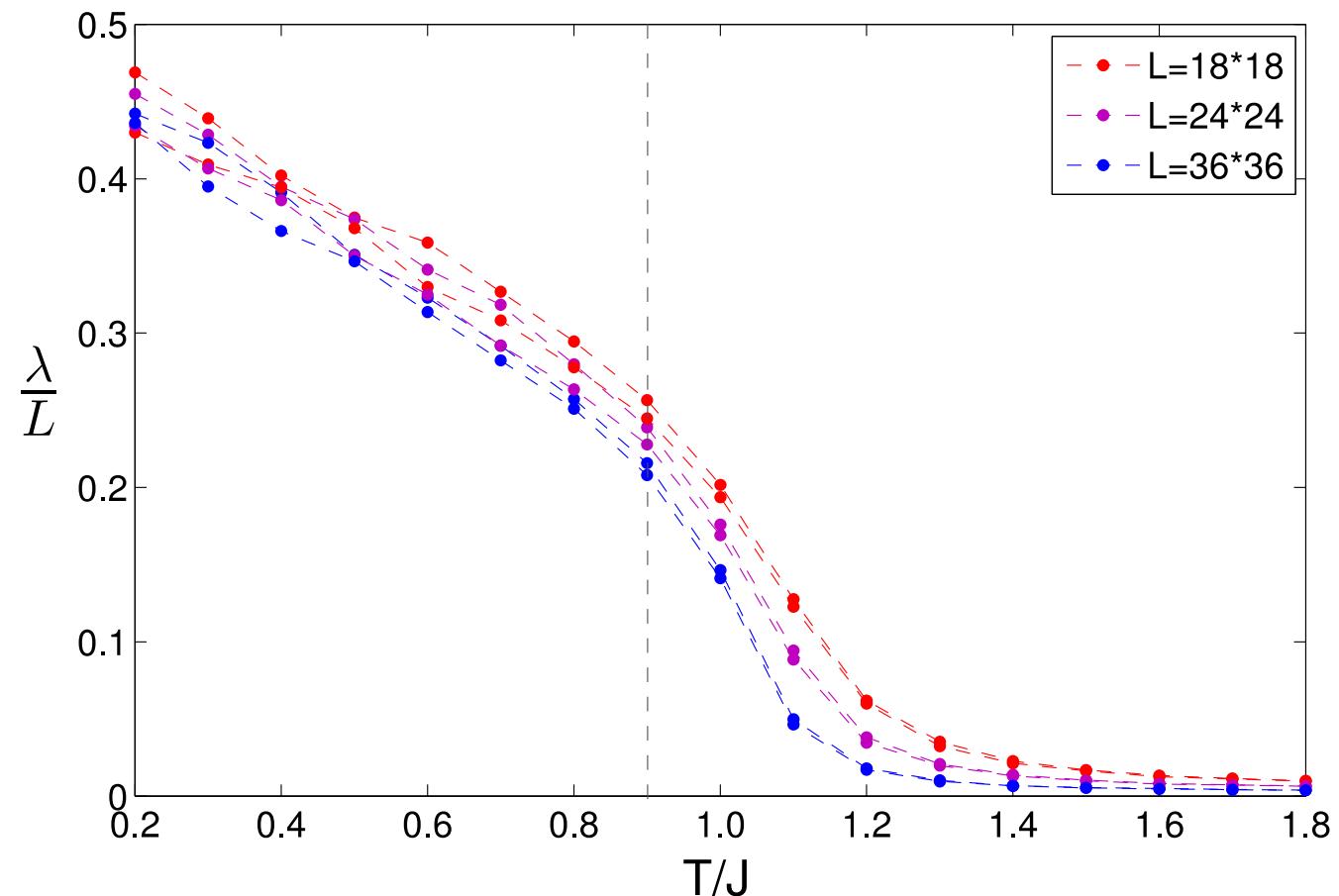
# 2D square lattice XY



$U_1$

# Temperature resolved PCA

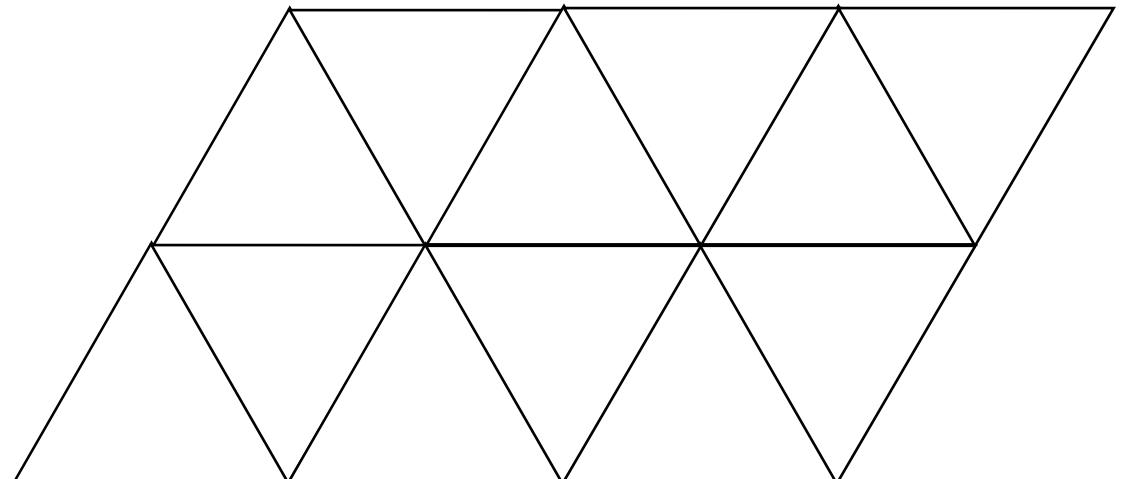
Square lattice



*What if the model has more than one order parameters or phase transitions?*

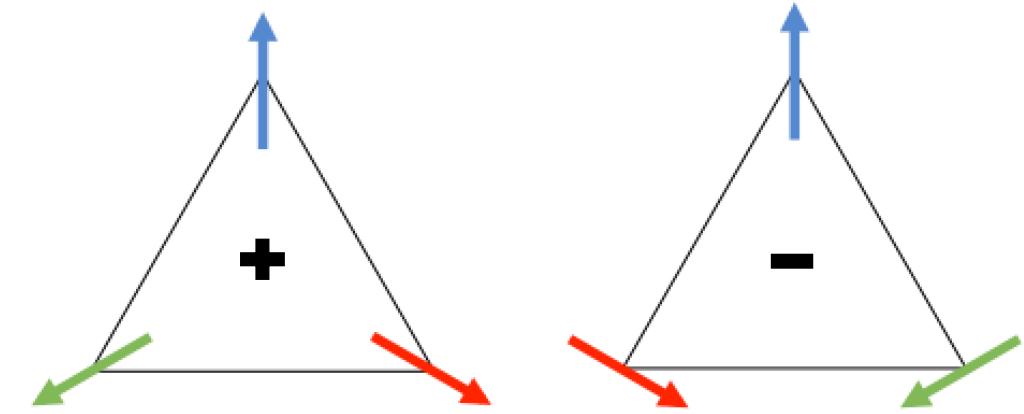
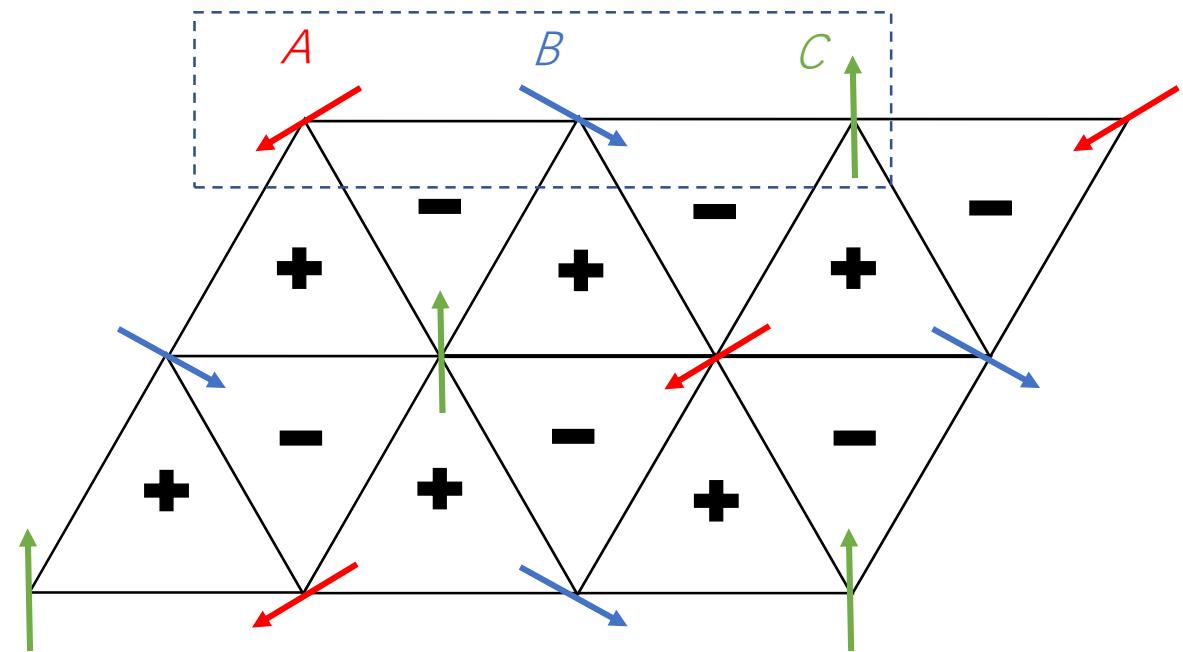
# Triangular lattice

Frustrated XY model :  $\mathcal{H} = J \sum_{\langle ij \rangle} \cos(\theta_i - \theta_j)$



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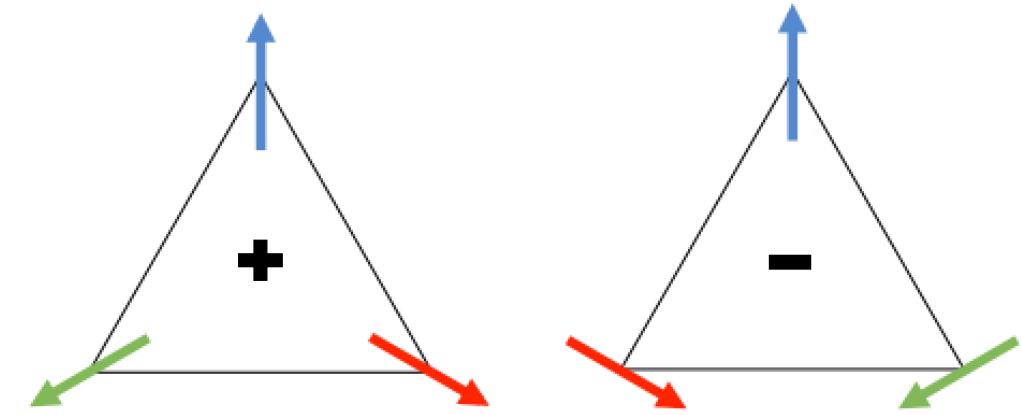
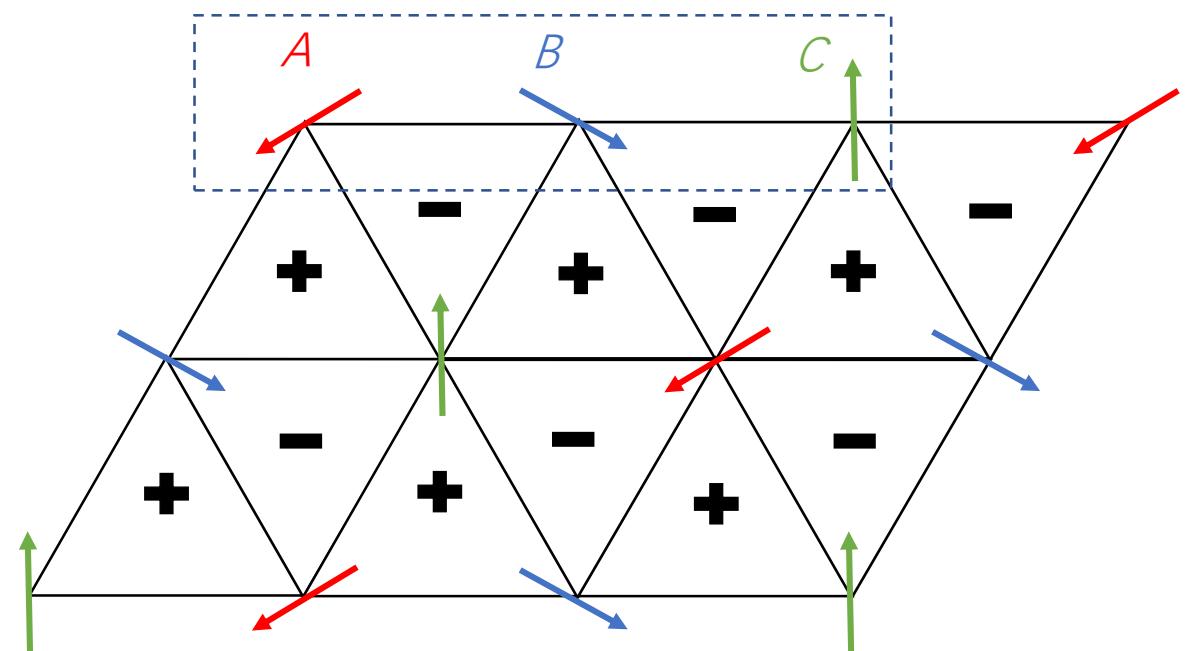


$$\theta_A - \frac{4\pi}{3} = \theta_B - \frac{2\pi}{3} = \theta_C$$

$$\theta_A + \frac{4\pi}{3} = \theta_B + \frac{2\pi}{3} = \theta_C$$

# Triangular lattice

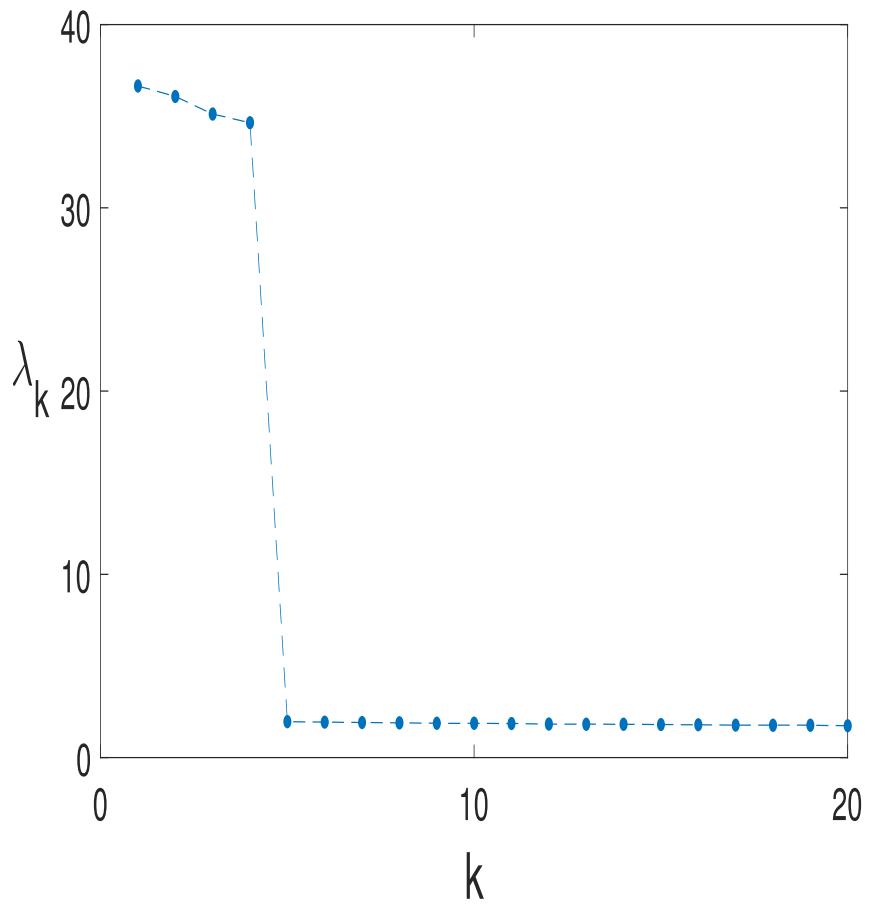
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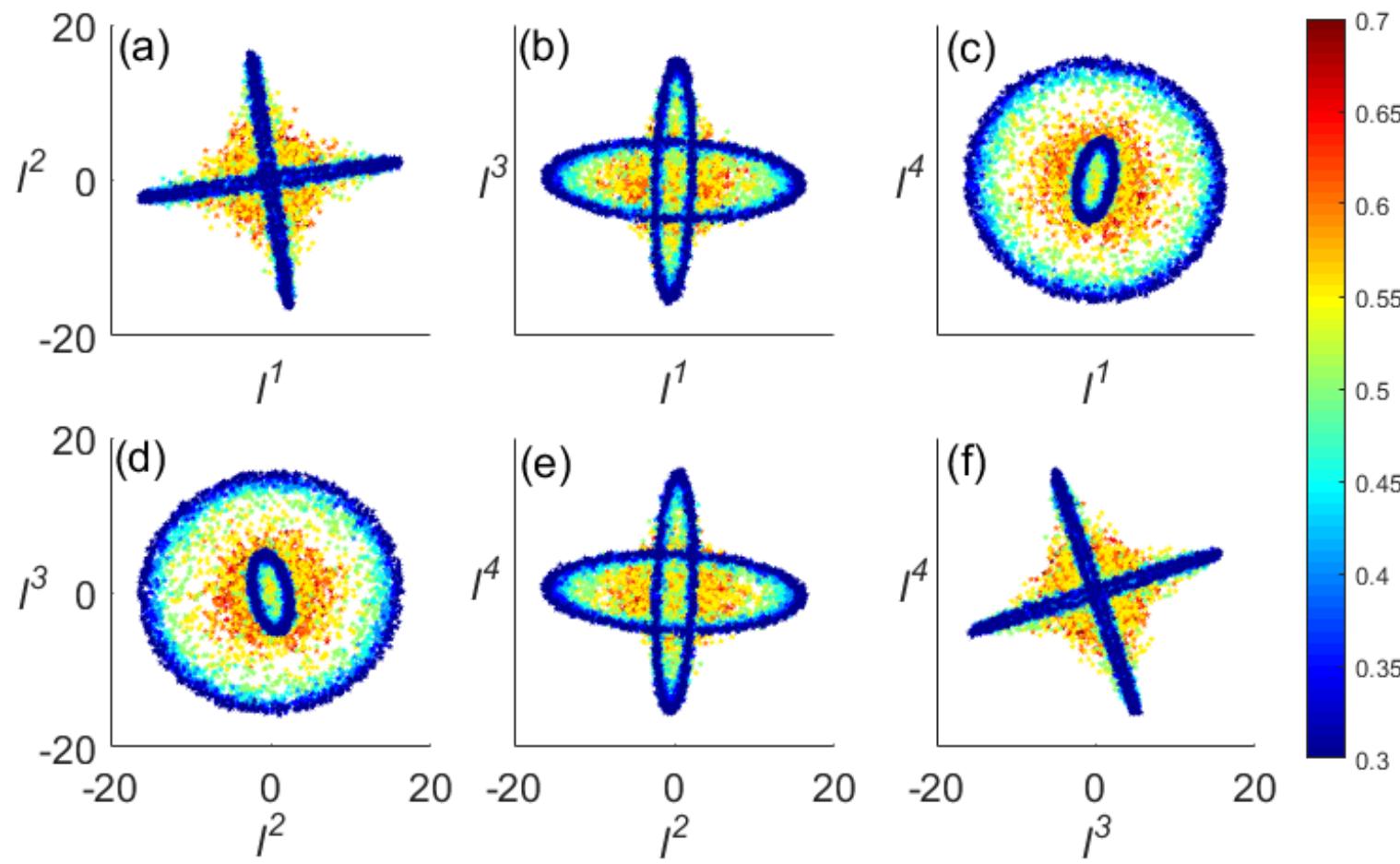
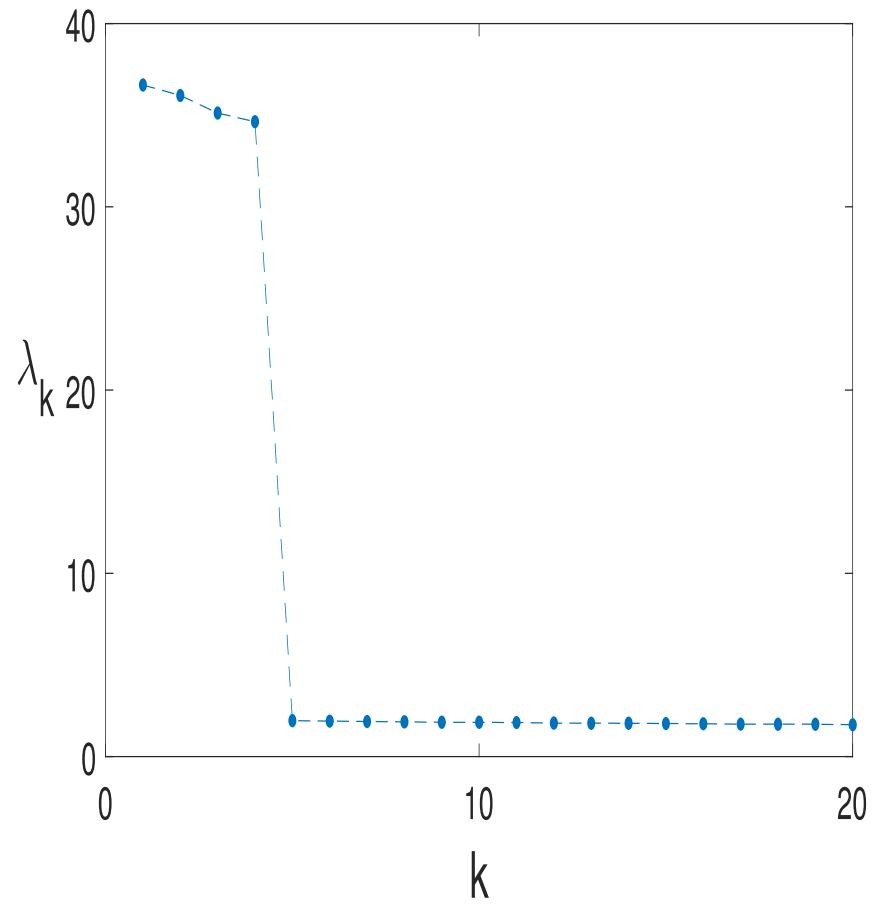


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Two close phase transition:  
Z2 chiral order  $\sim T = 0.512J$   
U(1) spin order  $\sim T = 0.504J$

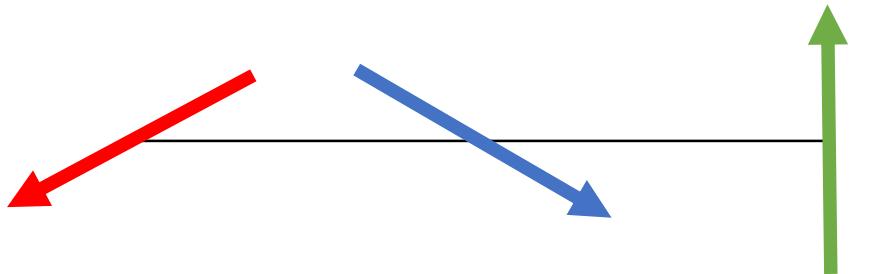




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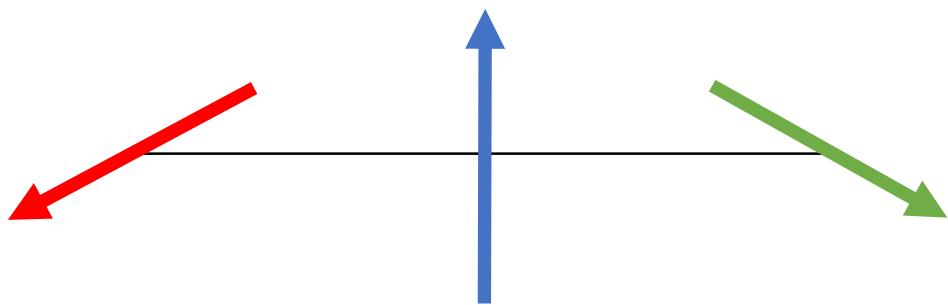
Low  $T$ :  $\rho/2$

$$\theta_A - \frac{4\pi}{3} = \theta_B - \frac{2\pi}{3} = \theta_C$$



$$\rho/2$$

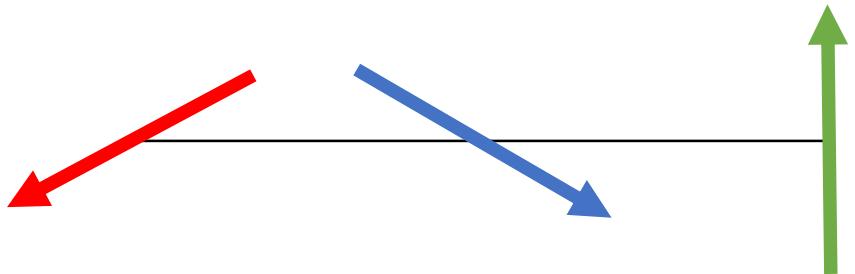
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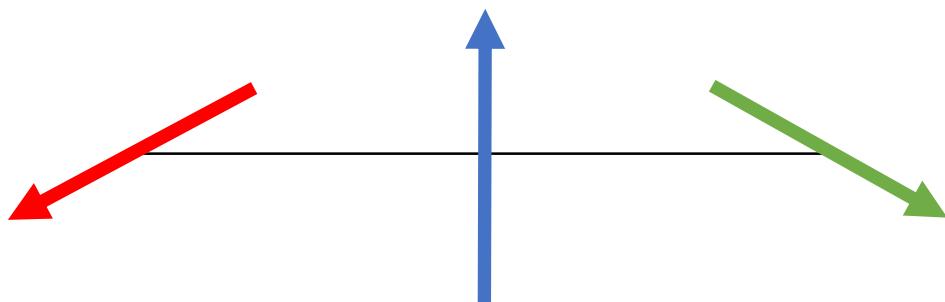
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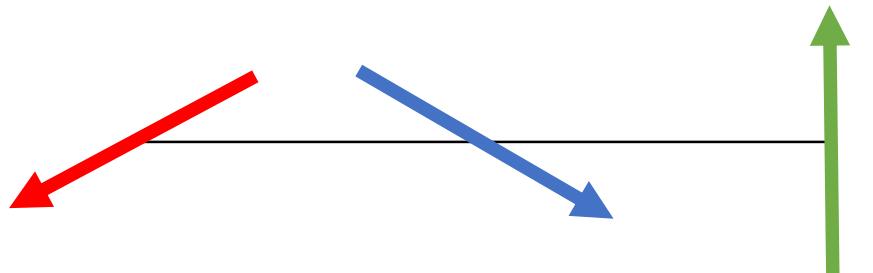


$$\mathcal{S}_l = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \Lambda = \frac{1}{2} \begin{pmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & 1 & -\frac{1}{2} \\ -\frac{1}{2} & -\frac{1}{2} & 1 \end{pmatrix}$$

# Triangular lattice

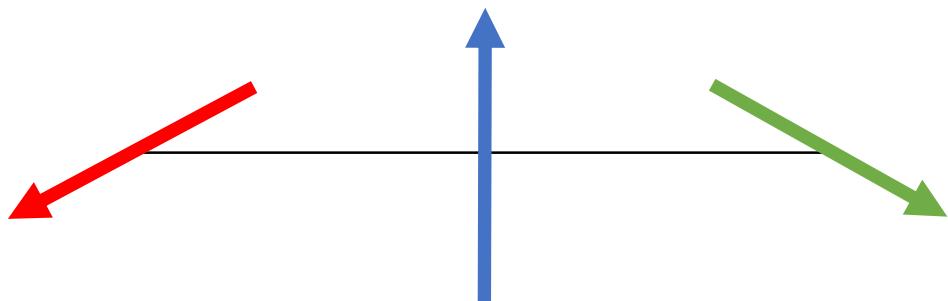
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$$\begin{aligned} u_1 &= (d_2, 0); \\ u_2 &= (0, d_2); \\ u_3 &= (d_1, 0); \\ u_4 &= (0, d_1). \end{aligned}$$

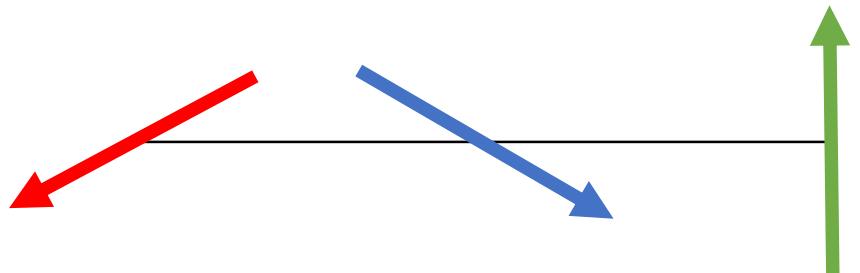
$$d_1 \propto \left( 1, \cos\left(\frac{2\pi}{3}\right), \cos\left(\frac{4\pi}{3}\right) \right) \propto (2, -1, -1),$$

$$d_2 \propto \left( 0, \sin\left(\frac{2\pi}{3}\right), \sin\left(\frac{4\pi}{3}\right) \right) \propto (0, 1, -1).$$

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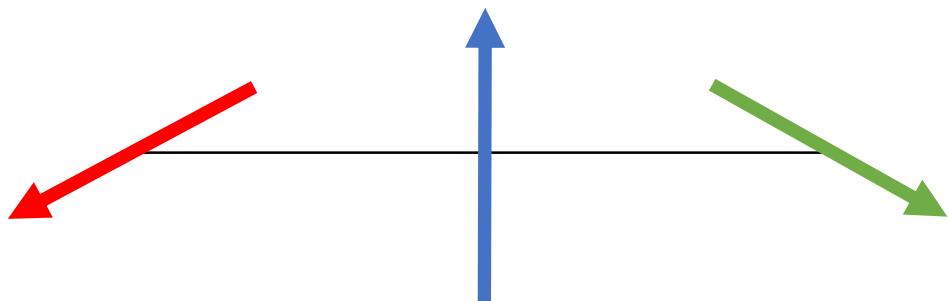
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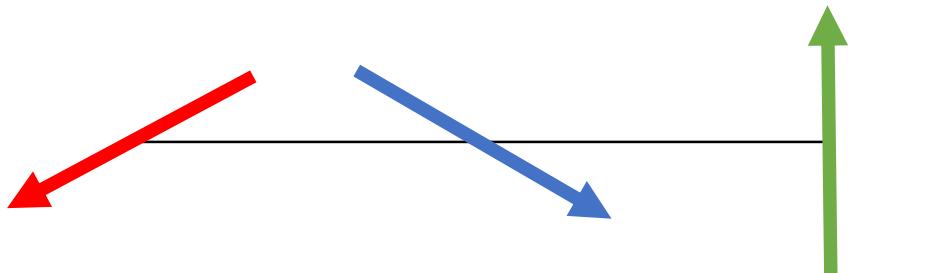
$$l_n^\uparrow \sim (-\sin \theta, \cos \theta, \cos \theta, -\sin \theta)$$

$$l_n^\downarrow \sim (\sin \theta, -\cos \theta, \cos \theta, \sin \theta)$$

# Triangular lattice

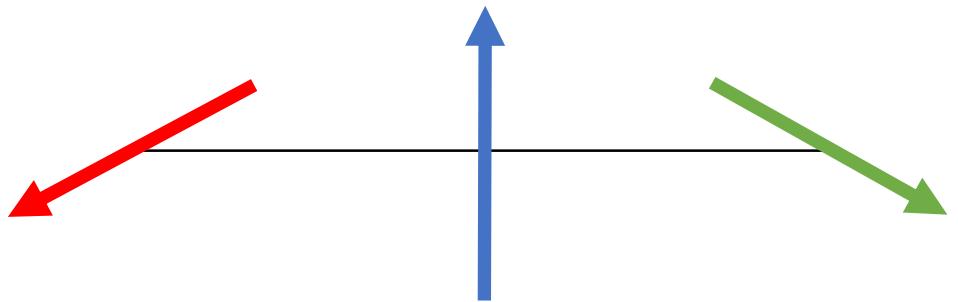
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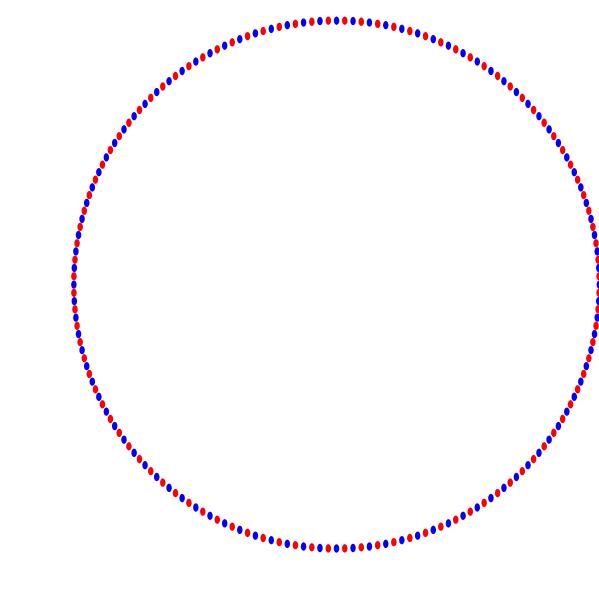
$$\rho/2$$

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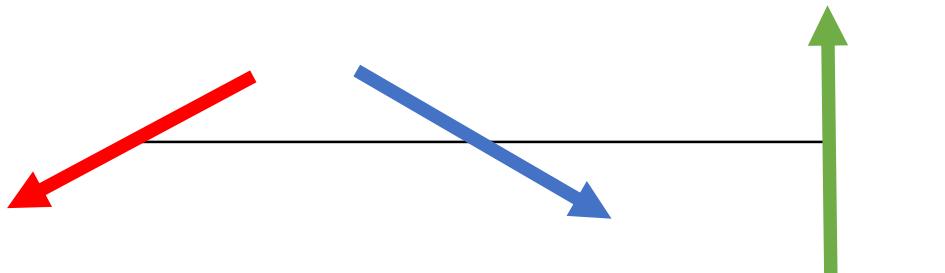
$$l_n^\uparrow \sim (-\sin \theta, \cos \theta, \cos \theta, -\sin \theta)$$

$$l_n^\downarrow \sim (\sin \theta, -\cos \theta, \cos \theta, \sin \theta)$$

# Triangular lattice

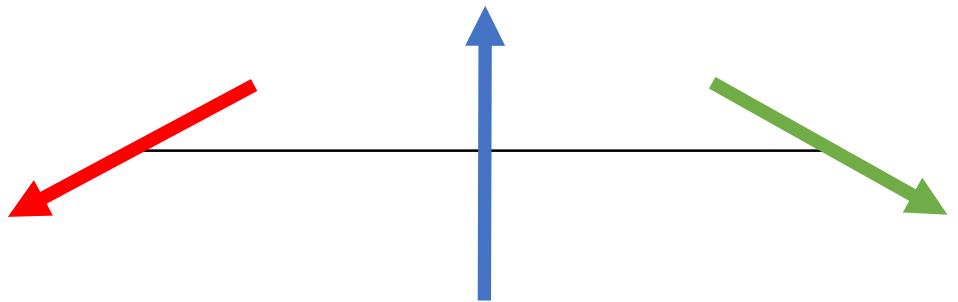
Low  $T$ :  $\rho/2$

$$\theta_A - \frac{4\pi}{3} = \theta_B - \frac{2\pi}{3} = \theta_C$$



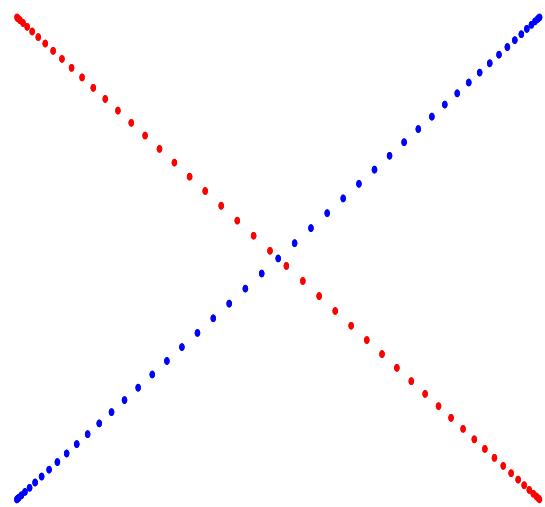
$$\rho/2$$

$$\theta_A + \frac{4\pi}{3} = \theta_B + \frac{2\pi}{3} = \theta_C$$



$$\mathcal{S}_l = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \Lambda = \frac{1}{2} \begin{pmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & 1 & -\frac{1}{2} \\ -\frac{1}{2} & -\frac{1}{2} & 1 \end{pmatrix}$$

$$\begin{aligned} u_1 &= (d_2, 0); \\ u_2 &= (0, d_2); \\ u_3 &= (d_1, 0); \\ u_4 &= (0, d_1). \end{aligned}$$



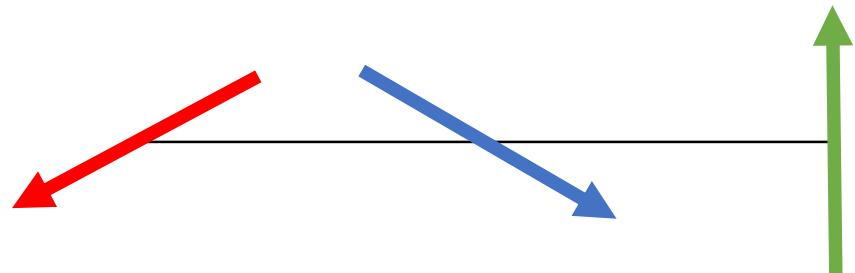
$$l_n^\uparrow \sim (-\sin \theta, \cos \theta, \cos \theta, -\sin \theta)$$

$$l_n^\downarrow \sim (\sin \theta, -\cos \theta, \cos \theta, \sin \theta)$$

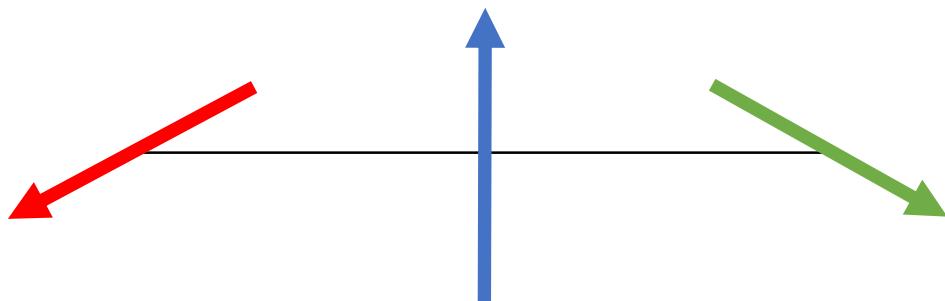
# Triangular lattice

Low  $T$ :  $\rho/2$

$$\theta_A - \frac{4\pi}{3} = \theta_B - \frac{2\pi}{3} = \theta_C$$



$$\rho/2 \quad \theta_A + \frac{4\pi}{3} = \theta_B + \frac{2\pi}{3} = \theta_C$$



$$\mathcal{S}_l = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \Lambda = \frac{1}{2} \begin{pmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & 1 & -\frac{1}{2} \\ -\frac{1}{2} & -\frac{1}{2} & 1 \end{pmatrix}$$

$$u_1 = (d_1, d_2);$$

$$u_2 = (d_2, d_1);$$

$$u_3 = (d_1, -d_2);$$

$$u_4 = (-d_2, d_1).$$

$$d_1 \propto \left( 1, \cos\left(\frac{2\pi}{3}\right), \cos\left(\frac{4\pi}{3}\right) \right) \propto (2, -1, -1),$$

$$d_2 \propto \left( 0, \sin\left(\frac{2\pi}{3}\right), \sin\left(\frac{4\pi}{3}\right) \right) \propto (0, 1, -1).$$

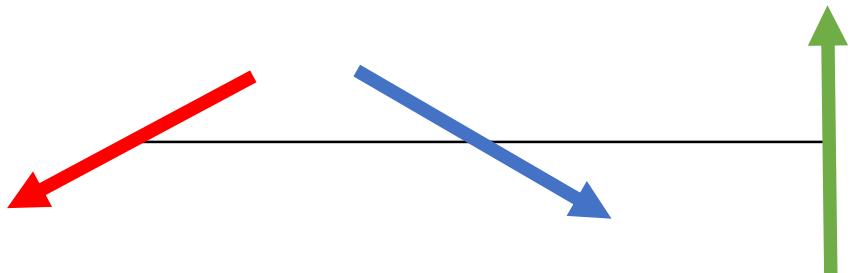
$$l_n^\uparrow \sim (0, \sin \theta, \cos \theta, 0)$$

$$l_n^\downarrow \sim (\cos \theta, 0, 0, \sin \theta)$$

# Triangular lattice

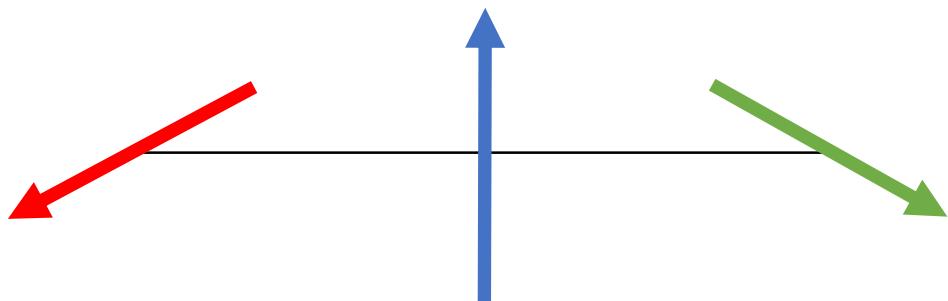
Low  $T$ :  $\rho/2$

$$\theta_A - \frac{4\pi}{3} = \theta_B - \frac{2\pi}{3} = \theta_C$$



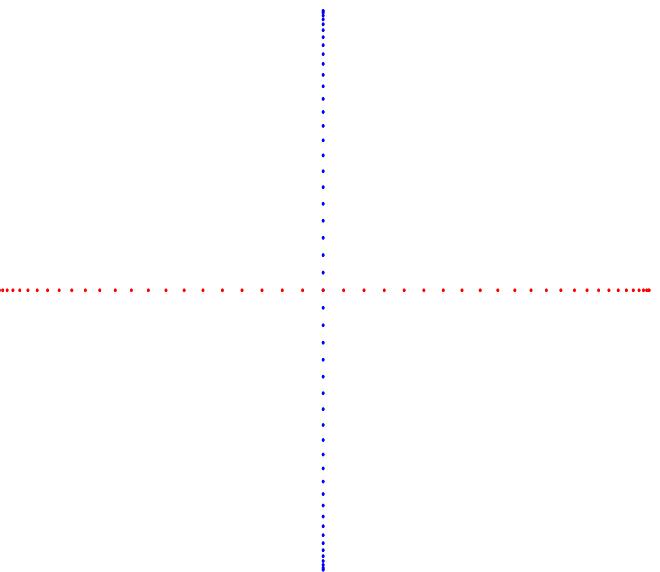
$$\rho/2$$

$$\theta_A + \frac{4\pi}{3} = \theta_B + \frac{2\pi}{3} = \theta_C$$



$$\mathcal{S}_l = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \Lambda = \frac{1}{2} \begin{pmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & 1 & -\frac{1}{2} \\ -\frac{1}{2} & -\frac{1}{2} & 1 \end{pmatrix}$$

$$\begin{aligned} u_1 &= (d_1, d_2); \\ u_2 &= (d_2, d_1); \\ u_3 &= (d_1, -d_2); \\ u_4 &= (-d_2, d_1). \end{aligned}$$

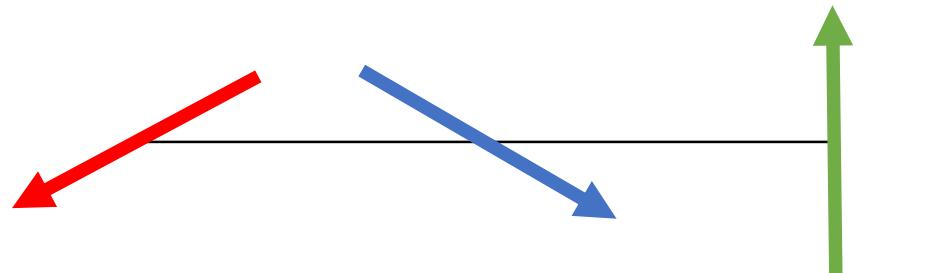


$$\begin{aligned} l_n^\uparrow &\sim (0, \sin \theta, \cos \theta, 0) \\ l_n^\downarrow &\sim (\cos \theta, 0, 0, \sin \theta) \end{aligned}$$

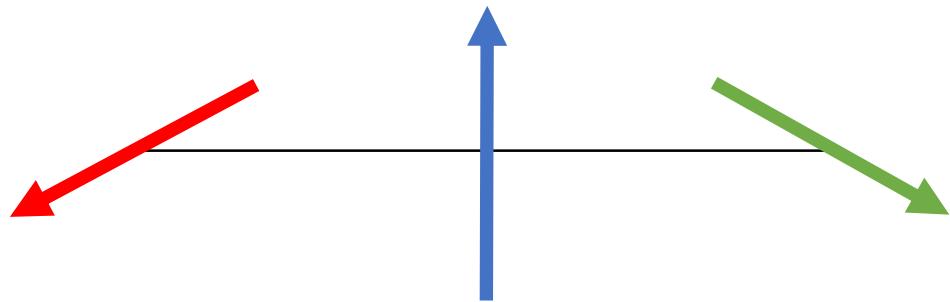
# Triangular lattice

Low  $T$ :  $\rho/2$

$$\theta_A - \frac{4\pi}{3} = \theta_B - \frac{2\pi}{3} = \theta_C$$

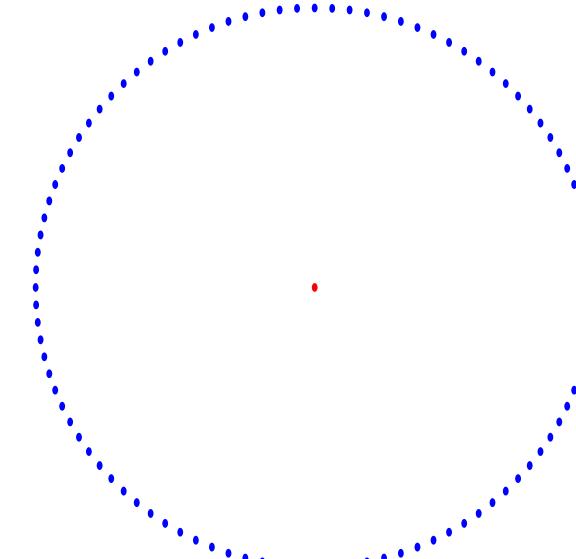


$$\rho/2 \quad \theta_A + \frac{4\pi}{3} = \theta_B + \frac{2\pi}{3} = \theta_C$$



$$\mathcal{S}_l = \begin{pmatrix} \Lambda & 0 \\ 0 & \Lambda \end{pmatrix} \quad \Lambda = \frac{1}{2} \begin{pmatrix} 1 & -\frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & 1 & -\frac{1}{2} \\ -\frac{1}{2} & -\frac{1}{2} & 1 \end{pmatrix}$$

$$\begin{aligned} u_1 &= (d_1, d_2); \\ u_2 &= (d_2, d_1); \\ u_3 &= (d_1, -d_2); \\ u_4 &= (-d_2, d_1). \end{aligned}$$



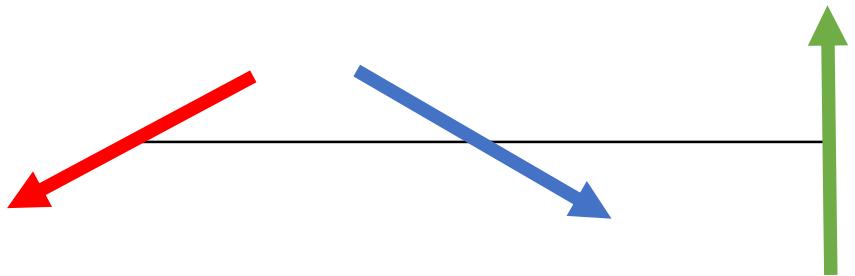
$$l_n^\uparrow \sim (0, \sin \theta, \cos \theta, 0)$$

$$l_n^\downarrow \sim (\cos \theta, 0, 0, \sin \theta)$$

# Triangular lattice

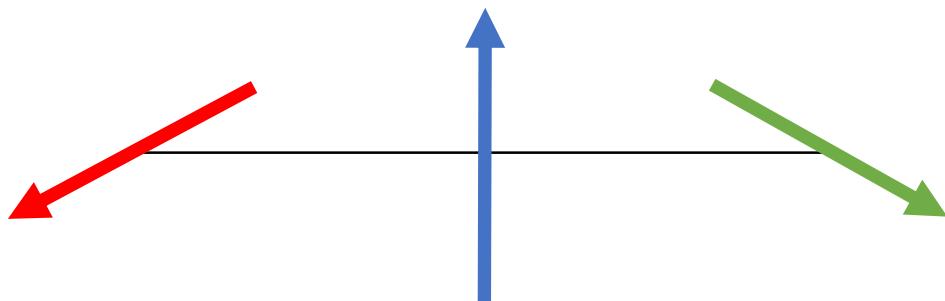
Low  $T$ :  $\rho/2$

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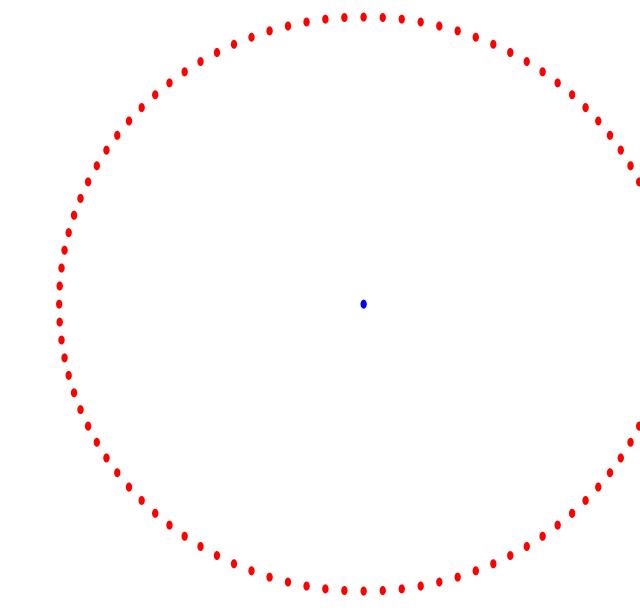
$$\rho/2$$

$$\theta_A + \frac{4\pi}{3} = \theta_B + \frac{2\pi}{3} = \theta_C$$



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$$\begin{aligned} u_1 &= (d_1, d_2); \\ u_2 &= (d_2, d_1); \\ u_3 &= (d_1, -d_2); \\ u_4 &= (-d_2, d_1). \end{aligned}$$



$$l_n^\uparrow \sim (0, \boxed{\sin \theta}, \cos \theta, 0)$$

$$l_n^\downarrow \sim (\cos \theta, 0, 0, \boxed{\sin \theta})$$

$$u'_1 = \cos \alpha u_1 + \sin \alpha u_2;$$

$$u'_2 = -\sin \alpha u_1 + \cos \alpha u_2;$$

$$u'_3 = \cos \beta u_3 + \sin \beta u_4;$$

$$u'_4 = -\sin \beta u_3 + \cos \beta u_4.$$

$$l_n^\uparrow \sim (\sin \alpha \sin \theta, \cos \alpha \sin \theta, \cos \beta \cos \theta, -\sin \beta \cos \theta)$$

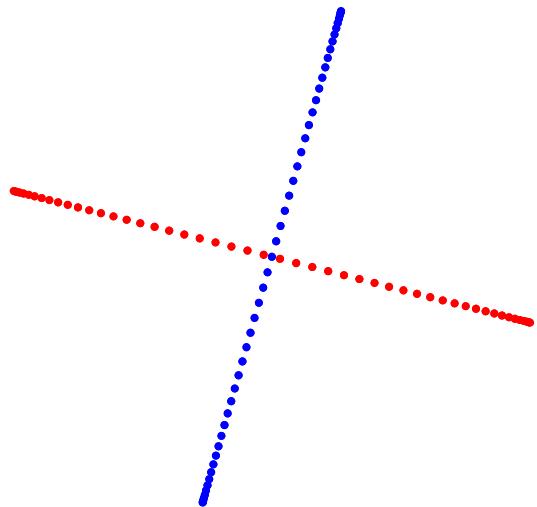
$$l_n^\downarrow \sim (\cos \alpha \cos \theta, -\sin \alpha \cos \theta, \sin \beta \sin \theta, \cos \beta \sin \theta)$$

$$u'_1 = \cos \alpha u_1 + \sin \alpha u_2;$$

$$u'_2 = -\sin \alpha u_1 + \cos \alpha u_2;$$

$$u'_3 = \cos \beta u_3 + \sin \beta u_4;$$

$$u'_4 = -\sin \beta u_3 + \cos \beta u_4.$$



$$l_n^{\uparrow} \sim (\sin \alpha \sin \theta, \cos \alpha \sin \theta, \cos \beta \cos \theta, -\sin \beta \cos \theta)$$

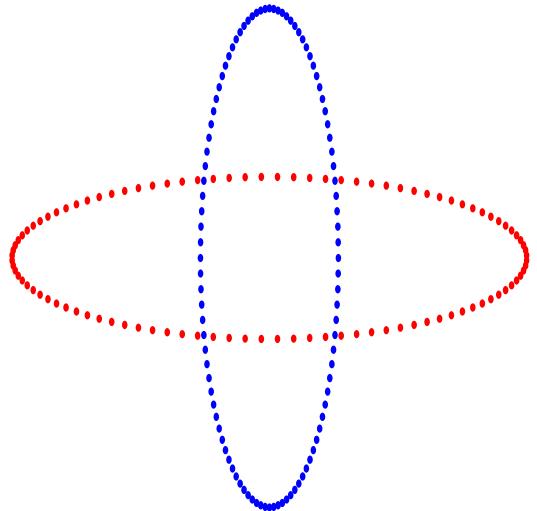
$$l_n^{\downarrow} \sim (\cos \alpha \cos \theta, -\sin \alpha \cos \theta, \sin \beta \sin \theta, \cos \beta \sin \theta)$$

$$u'_1 = \cos \alpha u_1 + \sin \alpha u_2;$$

$$u'_2 = -\sin \alpha u_1 + \cos \alpha u_2;$$

$$u'_3 = \cos \beta u_3 + \sin \beta u_4;$$

$$u'_4 = -\sin \beta u_3 + \cos \beta u_4.$$



$$l_n^{\uparrow} \sim (\sin \alpha \sin \theta, \cos \alpha \sin \theta, \cos \beta \cos \theta, -\sin \beta \cos \theta)$$

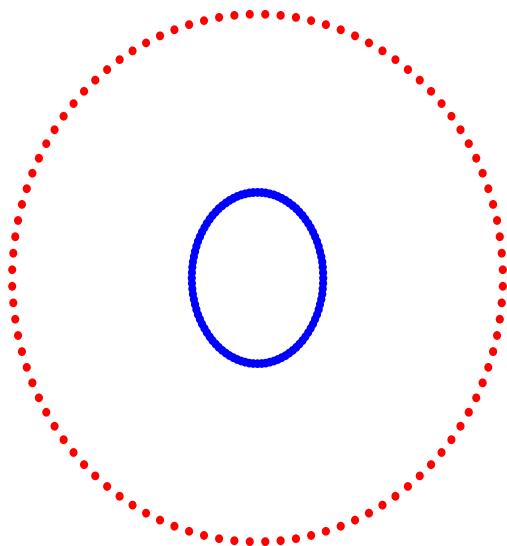
$$l_n^{\downarrow} \sim (\cos \alpha \cos \theta, -\sin \alpha \cos \theta, \sin \beta \sin \theta, \cos \beta \sin \theta)$$

$$u'_1 = \cos \alpha u_1 + \sin \alpha u_2;$$

$$u'_2 = -\sin \alpha u_1 + \cos \alpha u_2;$$

$$u'_3 = \cos \beta u_3 + \sin \beta u_4;$$

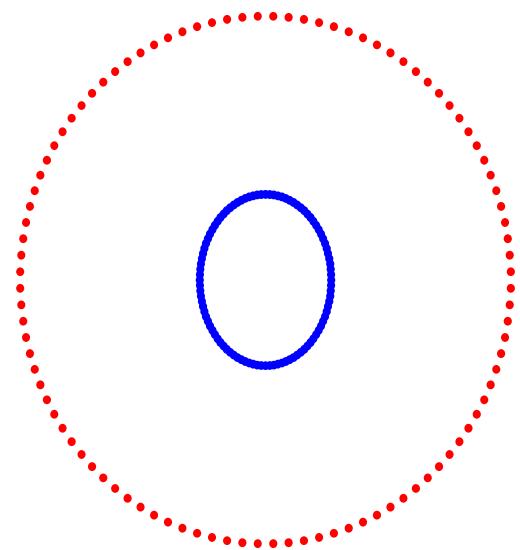
$$u'_4 = -\sin \beta u_3 + \cos \beta u_4.$$



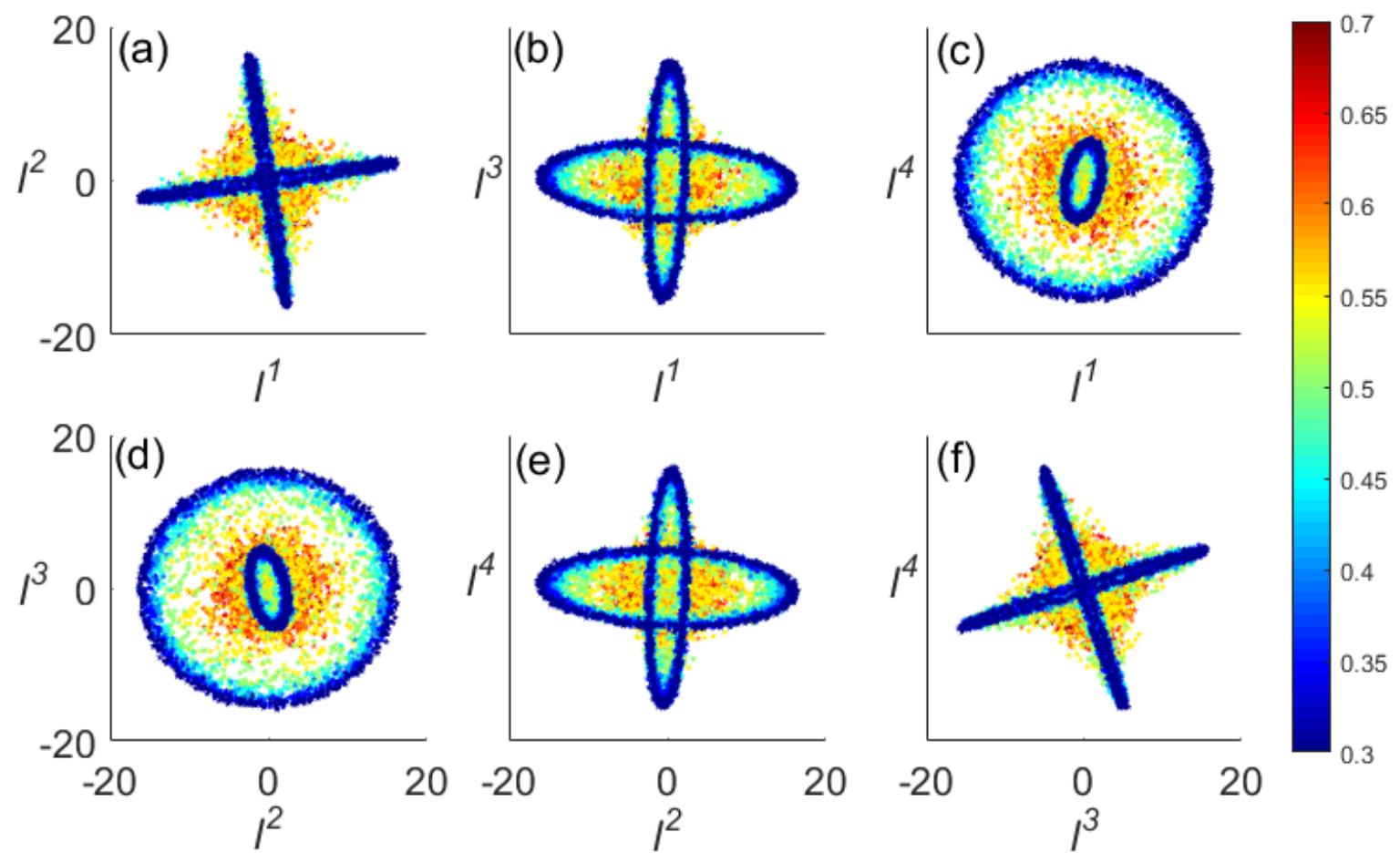
$$l_n^\uparrow \sim (\sin \alpha \sin \theta, \cos \alpha \sin \theta, \cos \beta \cos \theta, -\sin \beta \cos \theta)$$

$$l_n^\downarrow \sim (\cos \alpha \cos \theta, -\sin \alpha \cos \theta, \sin \beta \sin \theta, \cos \beta \sin \theta)$$

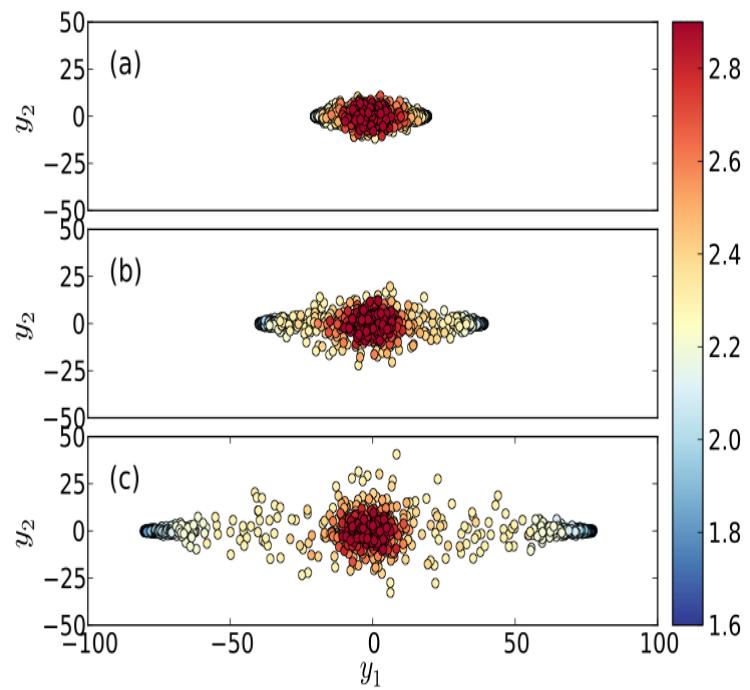
$$\begin{aligned} u'_1 &= \cos \alpha u_1 + \sin \alpha u_2; \\ u'_2 &= -\sin \alpha u_1 + \cos \alpha u_2; \\ u'_3 &= \cos \beta u_3 + \sin \beta u_4; \\ u'_4 &= -\sin \beta u_3 + \cos \beta u_4. \end{aligned}$$



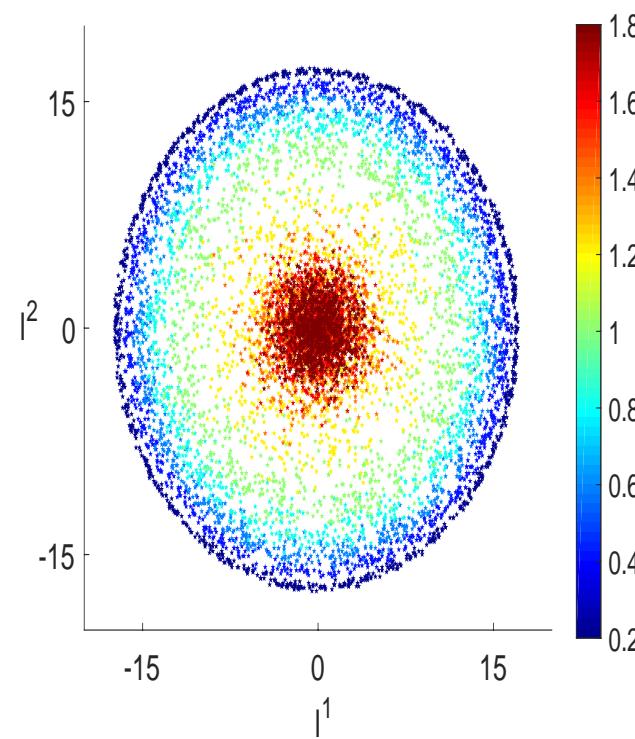
$$\begin{aligned} l_n^{\uparrow} &\sim (\sin \alpha \sin \theta, \cos \alpha \sin \theta, \cos \beta \cos \theta, -\sin \beta \cos \theta) \\ l_n^{\downarrow} &\sim (\cos \alpha \cos \theta, -\sin \alpha \cos \theta, \sin \beta \sin \theta, \cos \beta \sin \theta) \end{aligned}$$



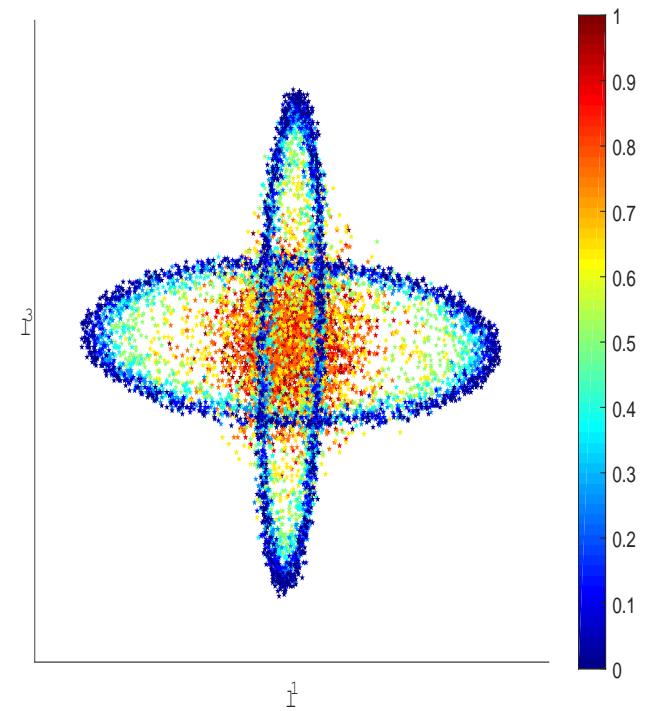
Ising model



2D square lattice XY



2D triangular lattice XY



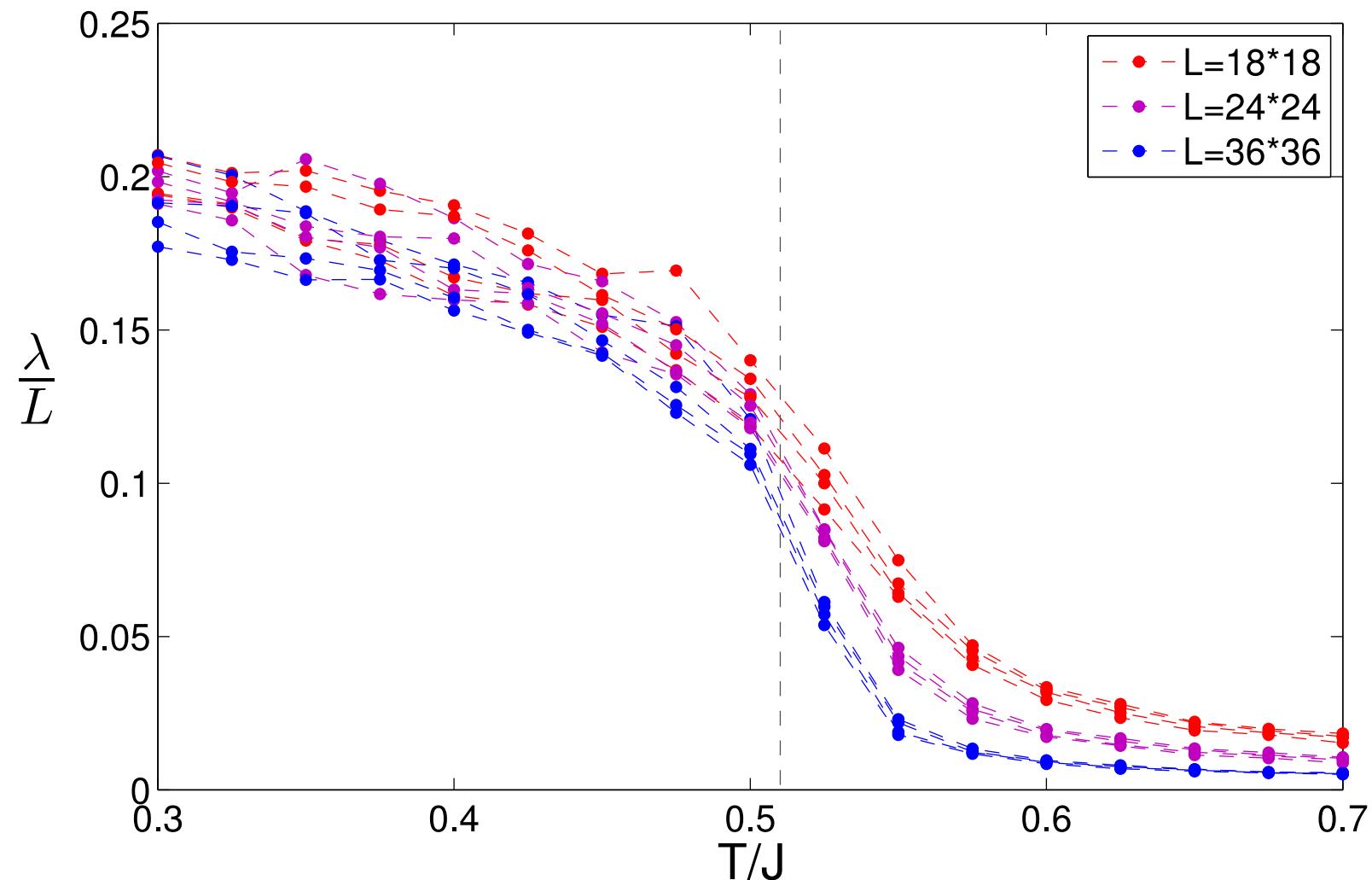
$Z_2$

$U_1$

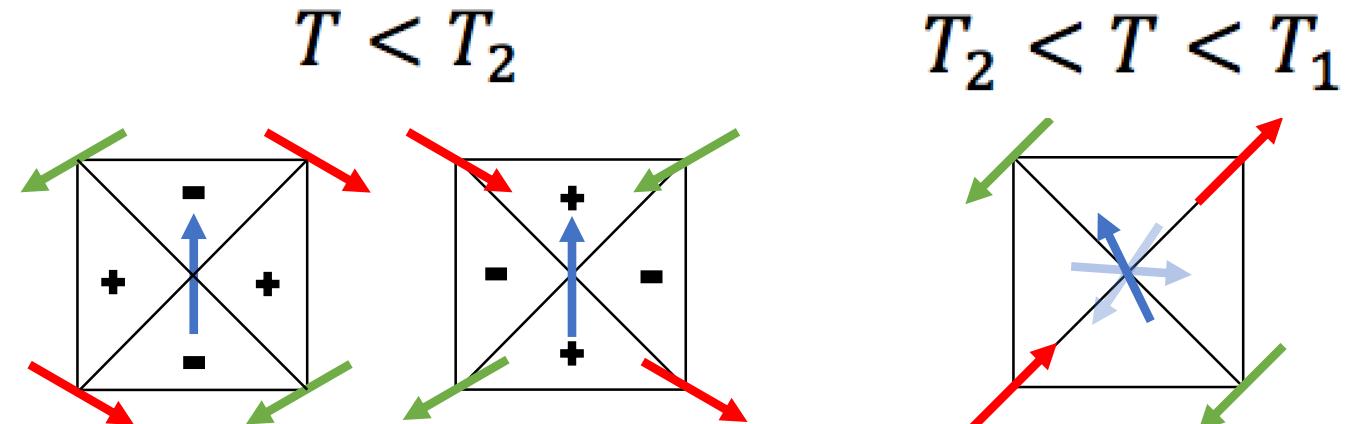
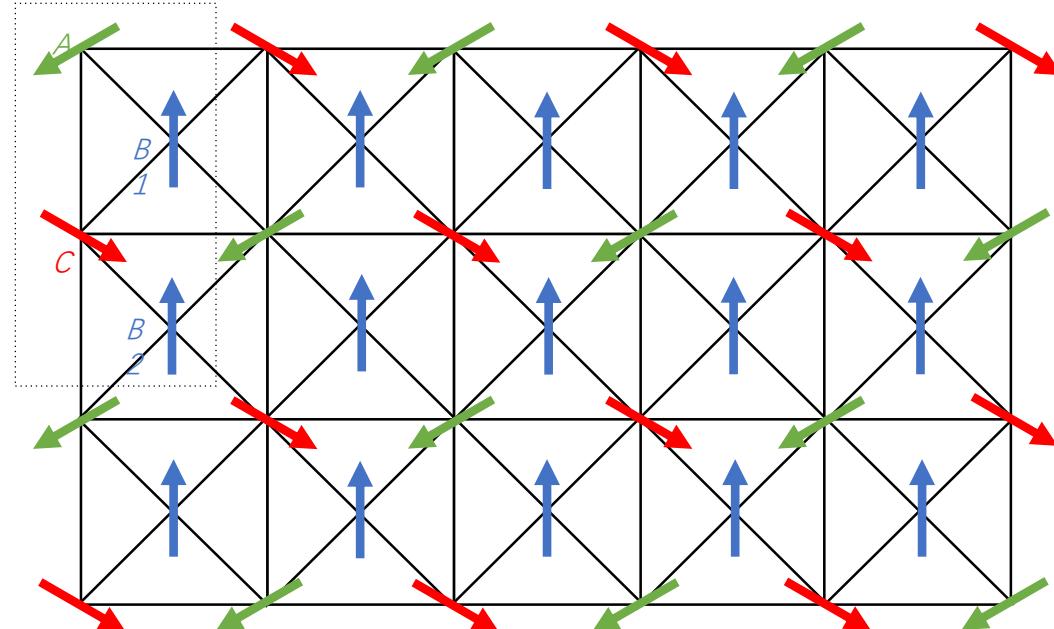
$Z_2 \times U_1$

# Temperature resolved PCA

Triangular lattice

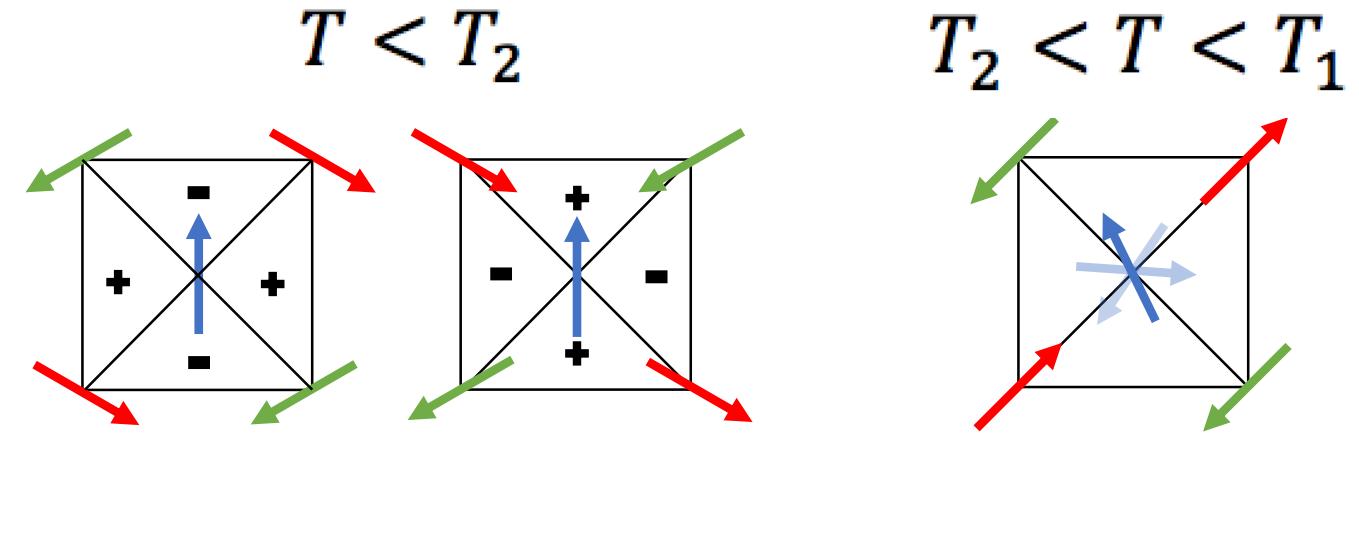
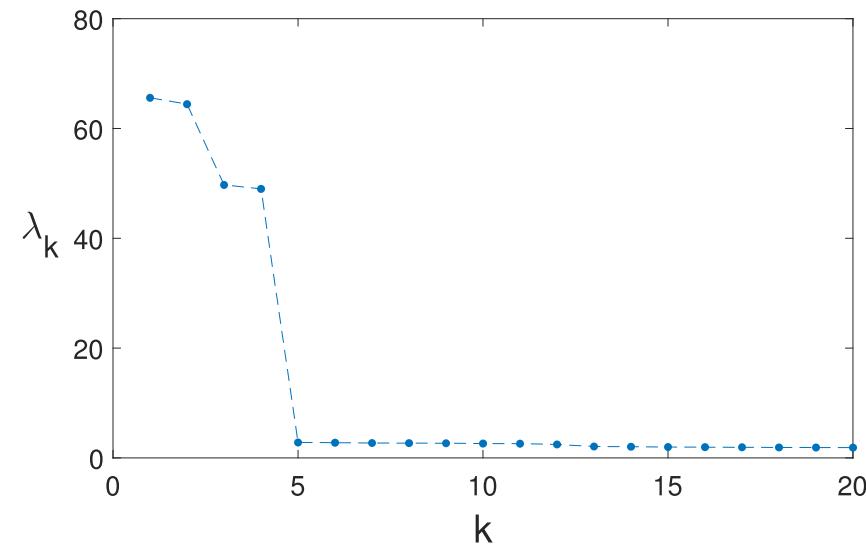


# Union Jack lattice



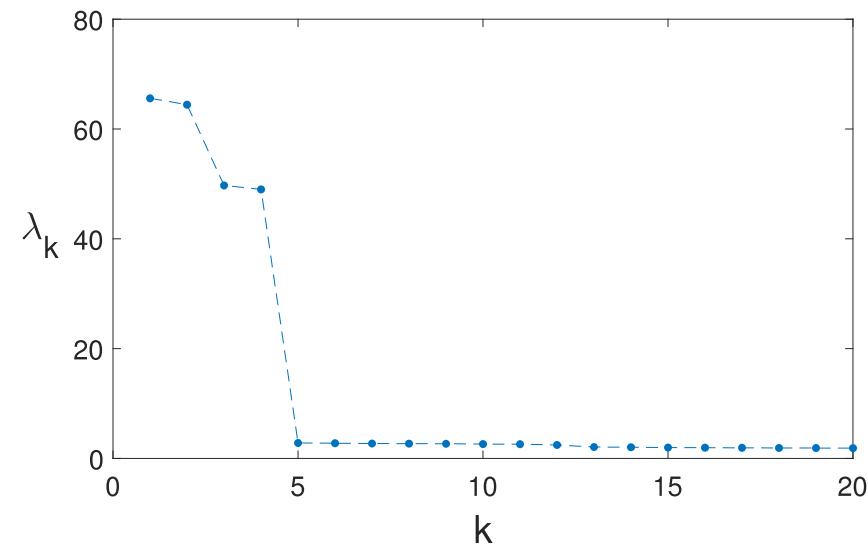
Two well separated phase transition:  
 $T_2 = 0.43J$  Z2 chiral , U(1) on B  
 $T_1=0.64J$  U(1) on A,C

# Union Jack lattice

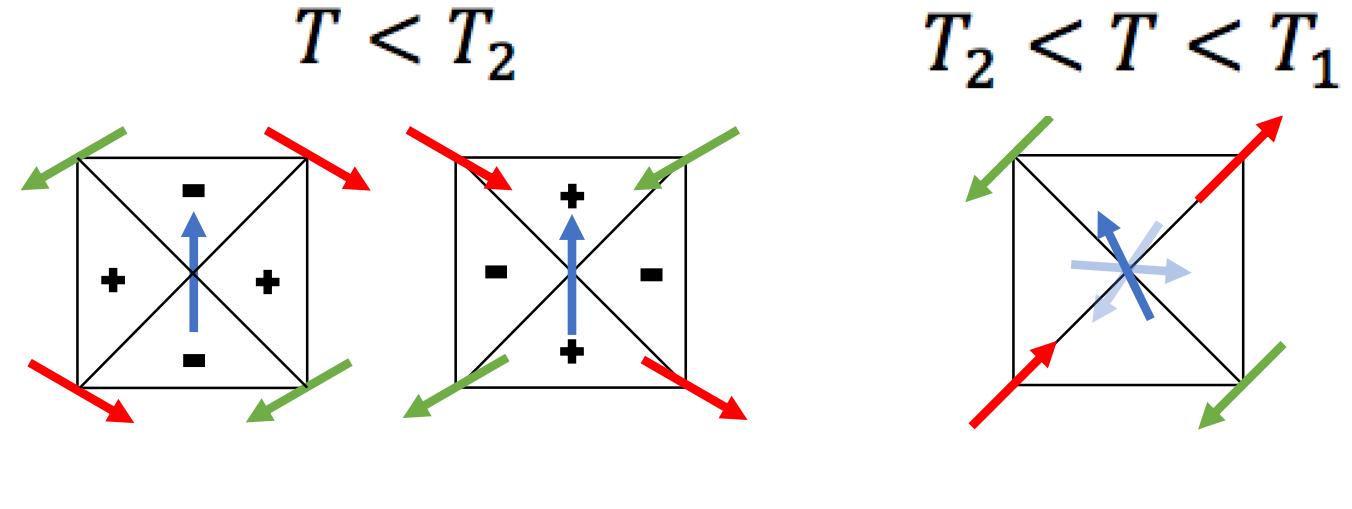


Two well separated phase transition:  
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# Union Jack lattice

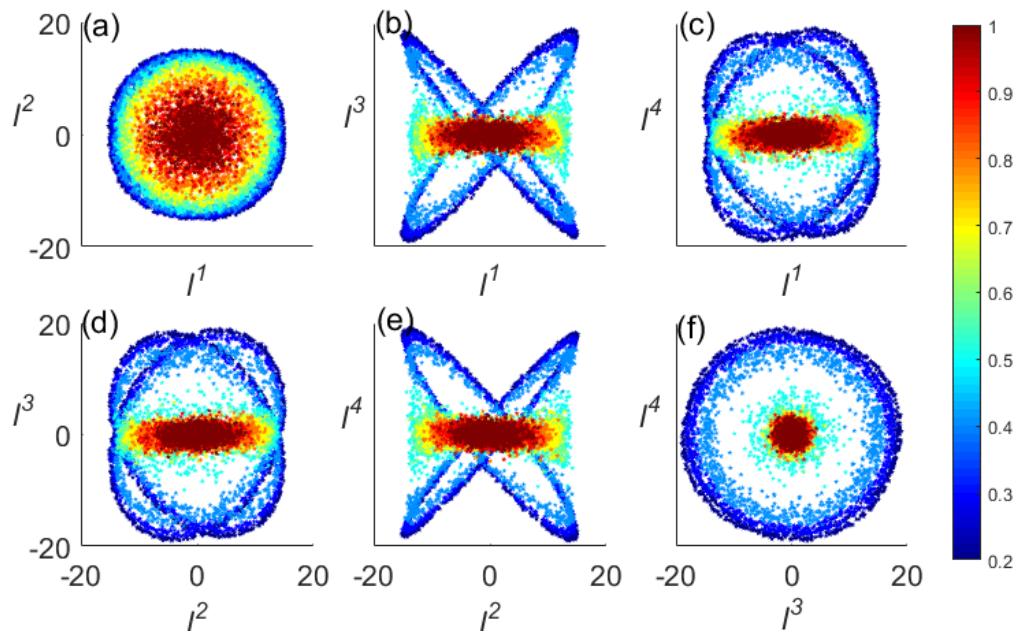


$$\begin{aligned} u_1 &= (d_2, 0); & d_1 &\sim (2, -1, -1) \\ u_2 &= (0, d_2); & & \\ u_3 &= (d_1, 0); & d_2 &\sim (0, 1, -1) \\ u_4 &= (0, d_1). & & \end{aligned}$$



Two well separated phase transition:  
 $T_2 = 0.43J$  Z2 chiral , U(1) on B  
 $T_1 = 0.64J$  U(1) on A,C

# Union Jack lattice



$$u_1 = (d_2, 0);$$

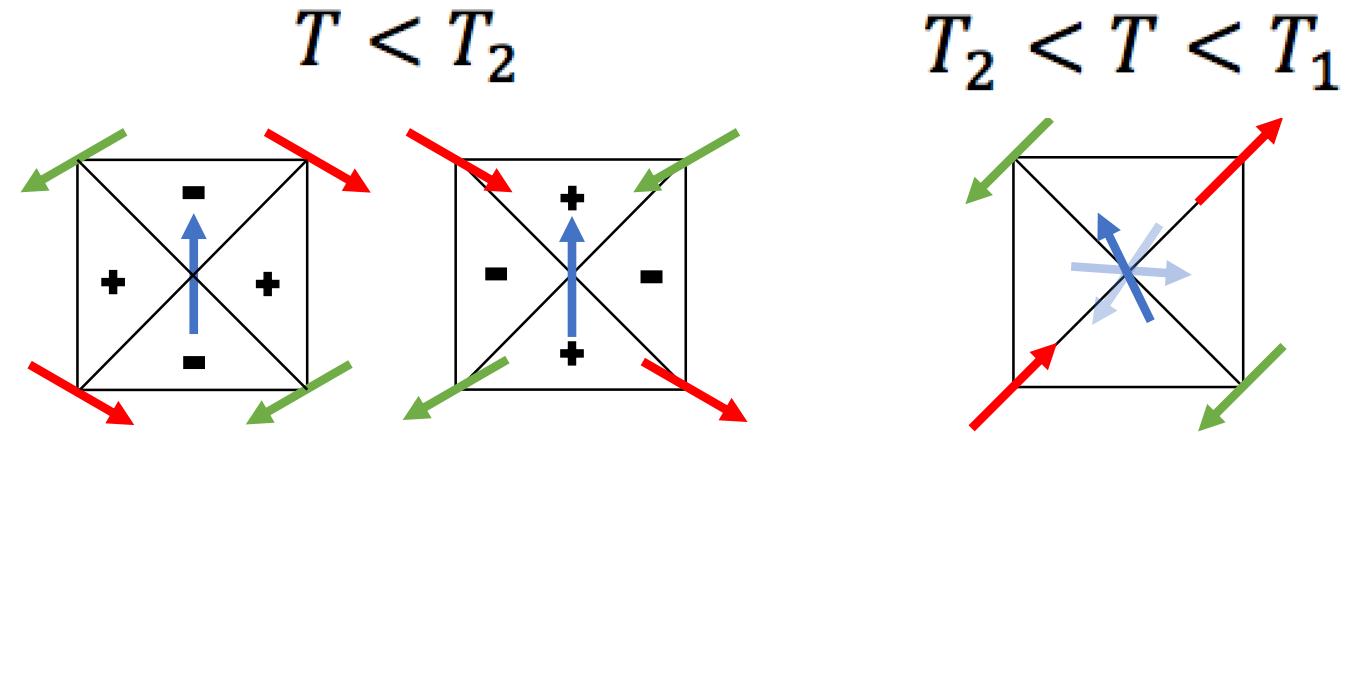
$$u_2 = (0, d_2);$$

$$u_3 = (d_1, 0);$$

$$u_4 = (0, d_1).$$

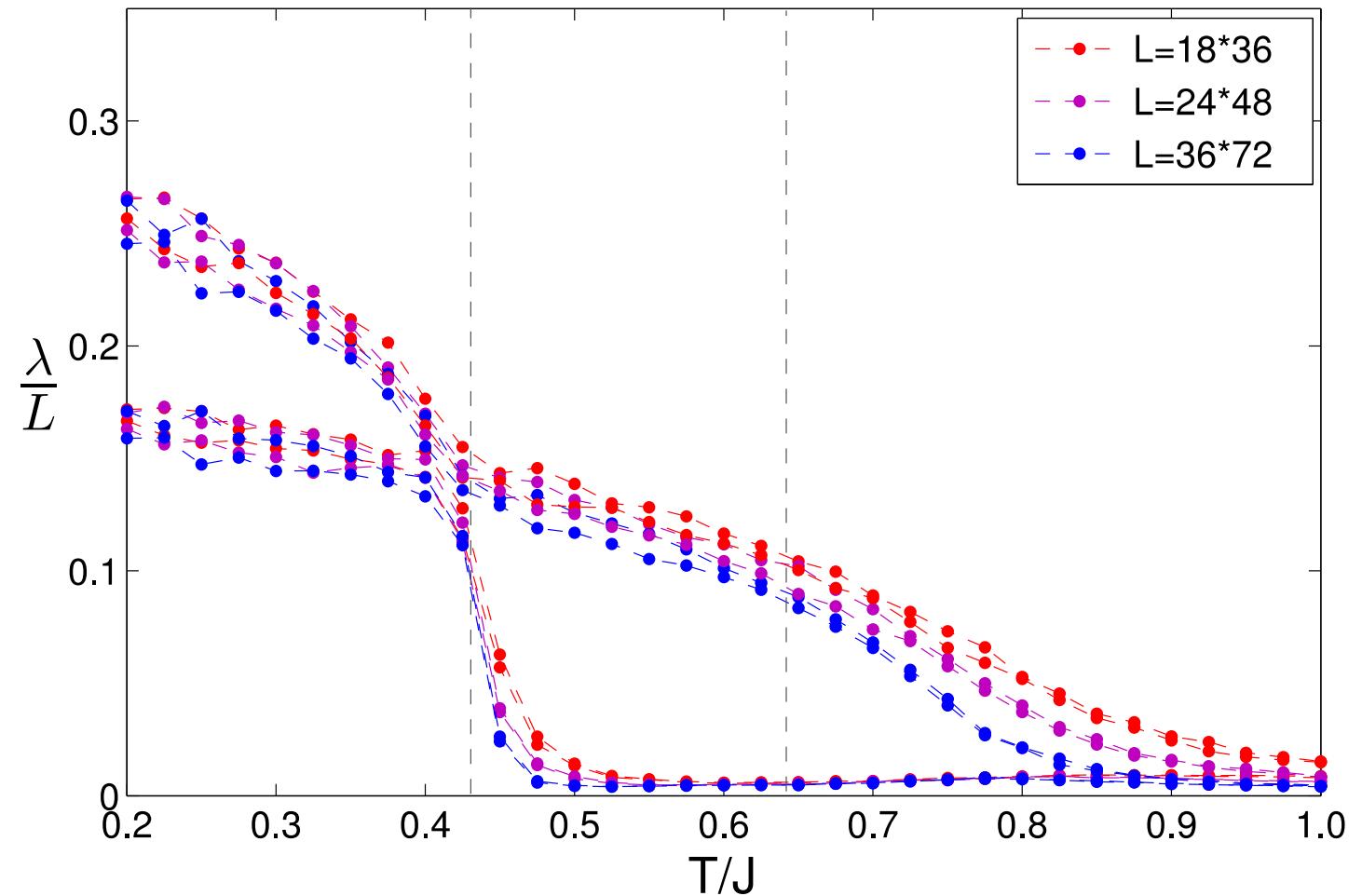
$$d_1 \sim (2, -1, -1)$$

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Two well separated phase transition:  
 $T_2 = 0.43J$  Z2 chiral , U(1) on B  
 $T_1 = 0.64J$  U(1) on A,C

# Union Jack lattice



# Summary

1. *PCA on XY model in square ,triangular and union-jack lattice*
2. *“Toy” model understanding*
  1. *Temperature resolved analysis*

# Outlook

*1. Kernel PCA*

*2. Neural network*

*Thank you very much!*

# Introduction to kernel PCA

$$\mathcal{S} = \frac{1}{N} \sum_n (x_n - \bar{x})(x_n - \bar{x})^T.$$

$$K_{ij} = x_i^T x_j$$

$$\sum_j K_{ij} a_j = x_i^T \sum_j x_j a_j = \lambda a_i$$