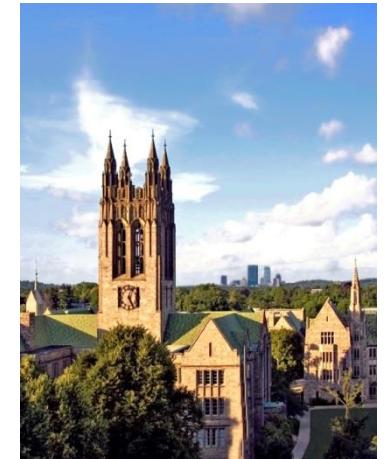


# Symmetry enforced SPT phases

Ying Ran (Boston College)



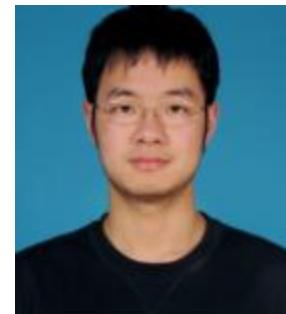
# Acknowledgement:

- **Collaborators for this project:**



- Boston College

Xu Yang



- Harvard University

Ashvin Vishwanath

Shenghan Jiang → Caltech

- **Collaborators on an inspiring project (filling enforced quantum Hall):**

- Ohio State Univ.

Yuan-Ming Lu

- Univ of Tokyo

Masaki Oshikawa

References: arXiv:1611.07652, arXiv:1705.09298, arXiv:1705.05421

# Two types of symmetric topological phases

**SPT --- symmetry protected topological phases:** (Pollmann, Berg, Turner, Oshikawa, Chen, Liu, Gu, Wen, Wang, Senthil, Lu, Vishwanath, Zaletel, Cheng...)

Example: Haldane spin chain, integer quantum Hall states, topological insulators...

*Features:*

- no topological order
- anomalous edge states protected by symmetry

**SET --- symmetry enriched topological phases:** (Wen, Essin, Hermele, Mesaros, YR, Barkeshli, Chen, Wang, Senthil, Lu, Vishwanath, Zaletel, Watanabe, Cheng, Bonderson....)

Example: toric code, gapped quantum spin liquids, fractional quantum Hall states...

*Features:*

- topological order (anyon excitations in 2d)
- symmetry can be fractionalized (e.g. e/3 quasiparticle in Laughlin's state).

# Motivations

- Are there guiding principles to search for topological phases in strongly correlated quantum systems?

# Motivations: usual translation symmetry

- Hastings-Oshikawa-Lieb-Schultz-Mattis theorems (HOLSM) put strong constraints on symmetric quantum ground states (liquid phases)
  - (1) At a fractional filling, translation symmetry forbids a gapped short-range entangled ground state.

# Motivations: usual translation symmetry

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  - (1) At a fractional filling, translation symmetry forbids a gapped short-range entangled ground state.
  - (2) With a nontrivial projective representation per unit cell, translation symmetry forbids a gapped short-range entangled ground state.

(Lieb, Schultz, Mattis, Oshikawa, Hastings, Watanabe, Po, Vishwanath, Zaletel...)

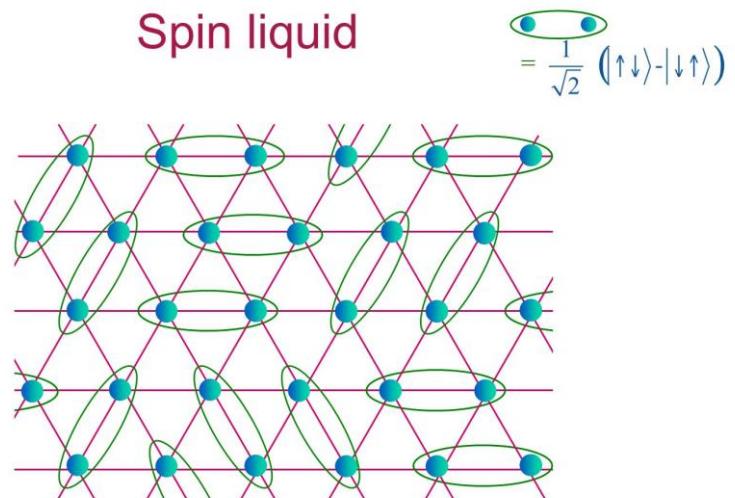
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In these cases, a gapped liquid phase must be topologically ordered.

HOLSM have been served as guiding principles to search for realizations of topologically ordered phases. (e.g., quantum spin liquids...)



# Motivations: Magnetic translation symmetry

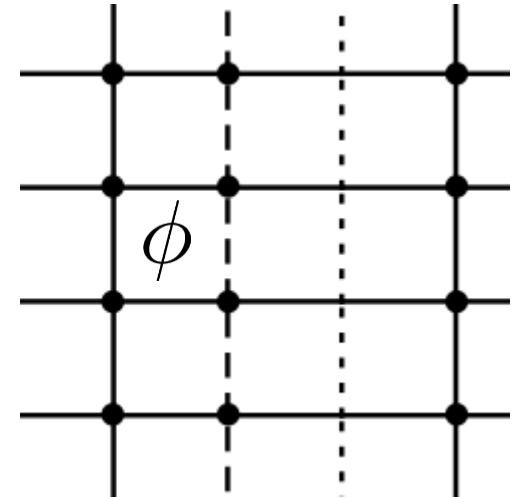
- What happens with **magnetic** translation symmetry?

e.g., Charge-conserving particles hopping on square lattice with flux per unit cell

$$T_x = e^{i\phi \sum_{\vec{r}} y n_{\vec{r}}} \cdot T_x^{\text{orig.}}, \quad T_y = T_y^{\text{orig.}}$$

$$T_x T_y T_x^{-1} T_y^{-1} = e^{i\phi \hat{N}}$$

$\hat{N} = \sum_{\vec{r}} n_{\vec{r}}$    A global U(1) rotation



$$\phi = 2\pi/3 \text{ Landau gauge}$$

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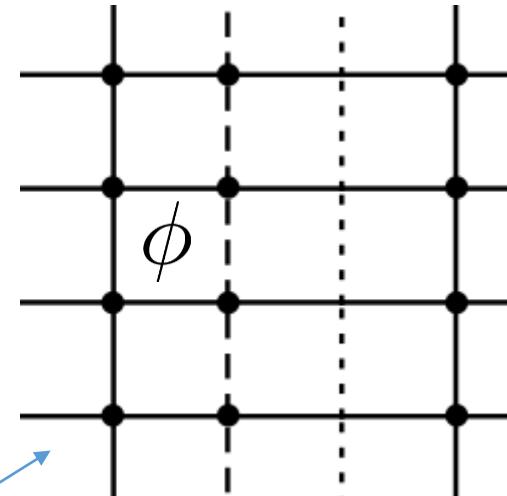
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Consider **free fermions with weak lattice potential**:

If  $\nu = 1/3$  filling in the original unit cell, obviously one can have a gapped free fermion ground state:  
an integer quantum Hall insulator from Landau level

Chern number = 1



$\phi = 2\pi/3$  Landau gauge

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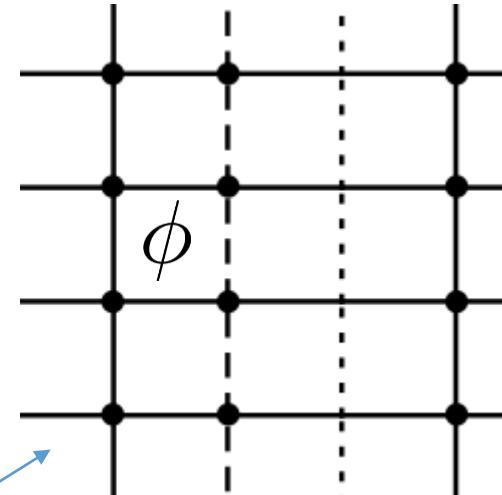
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In the presence of **arbitrary interaction**, one can show:

If  $\nu = 1/3$  filling in the original unit cell,  
then unique ground state respecting **magnetic**  
translation dictates: **Chern number = 1 mod 3**

(Lu, Ran, Oshikawa, to appear)



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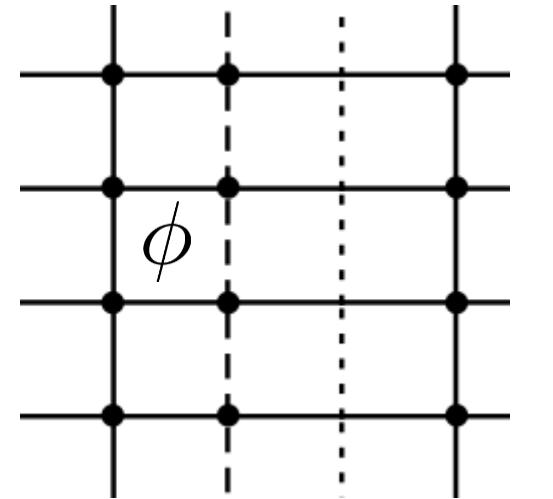
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$$\phi = 2\pi/3 \text{ Landau gauge}$$

In this example, we learn that HOSM + magnetic translation could lead to symmetry-enforced “integer” phases. Can one generalize this phenomena?

Wu, Ho and Lu, 2017 generalizes this for quantum spin Hall

# The question

Consider 2+1D bosonic systems with an onsite symmetry group  $G$ , having one projective representation  $\alpha$  of  $G$  per unit cell:  $U_a {}^a U_b = \alpha(a, b) U_{ab}$

and respecting magnetic translation symmetry:

$$T_x T_y T_x^{-1} T_y^{-1} = g \quad g \in \text{Center of } G$$

$T_x, T_y$  are usual translation operations together with certain site-dependent local unitaries.

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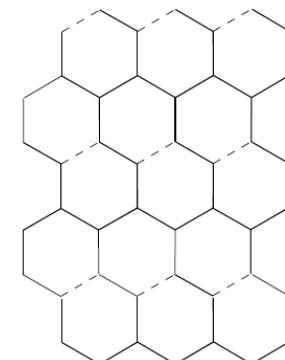
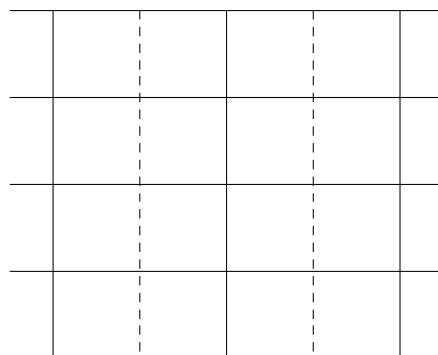
For example, fully frustrated Ising models on the square and honeycomb lattice satisfy this algebra with  $g=\text{Ising}$ :

$$H = - \sum_{\langle IJ \rangle} s_{IJ} \sigma_I^z \sigma_J^z$$

$s_{IJ} = +1$  on solid bond

$s_{IJ} = -1$  on dashed bond

Ising symm.:  $\prod_I \sigma_I^x$



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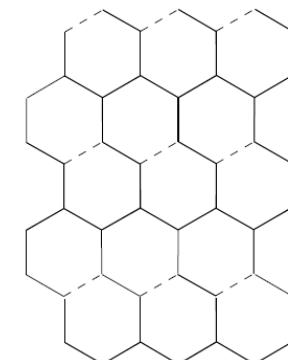
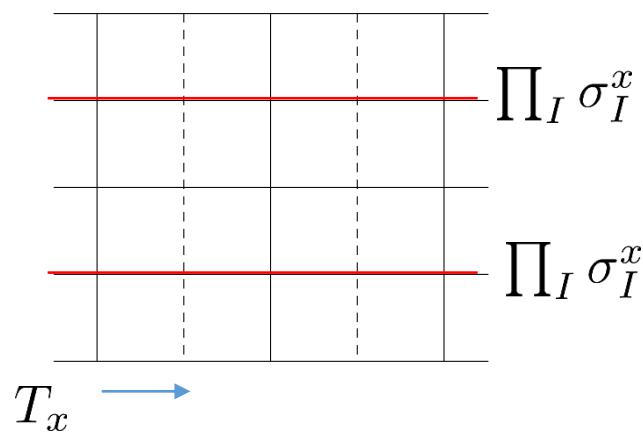
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Is a gapped SRE liquid (sym-SRE) phase possible? If the answer is yes, what kinds of sym-SRE phases are realizable?

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e.g.:  $G=SO(3) \times$  Ising: spin-1/2 per unit cell, with Ising magnetic translation.

Based on rather complete understanding of bosonic sym-SRE phases, hopefully one can obtain systematic results.

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2. A corollary: new HOLSMEYER-type constraint
3. Sketch of the proof
4. Model realizations (A simple model realizing SPT phase)

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## Theorem:

This system could have a sym-SRE phase **if and only if** there is a 3-cocycle  $\omega_0(a, b, c) \in H^3(G, U(1))$  such that the slant product:

$$\delta_g^{\omega_0}(a, b) \equiv \frac{\omega(g, a, b)\omega(a, b, g)}{\omega(a, g, b)} \text{ satisfies } \delta_g^{\omega_0} \simeq \alpha^{-1} \in H^2(G, U(1))$$

When this condition is satisfied, the 3-cocycles of realizable sym-SRE phases form a coset:  $\omega_0 \cdot \mathcal{A}_g$ , where  $\mathcal{A}_g$  is the kernel of the slant product. And all such realizable sym-SRE phases are nontrivial SPTs.

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Physical meaning: a sym-SRE phase is realizable if and only if its g-symmetry-defect carries the projective representation  $\alpha$ .

Mathematically the slant product  $\delta_g$  is computing the projective representation carried by the g-defect. (discussed by Zaletel 2013 without proof, we provide a proof based on symmetric tensor-network formulation)

# A Corollary

Consider 2+1D bosonic systems with an onsite symmetry group  $G$ , having one projective representation  $\alpha$  of  $G$  per unit cell:  $U_a {}^a U_b = \alpha(a, b) U_{ab}$  and respecting magnetic translation symmetry:

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**Corollary:** Assuming  $G = G_1 \times Z_N$ , where  $Z_N = \{\mathbf{I}, g, g^2 \dots g^{N-1}\}$ ,

A sym-SRE phase is realizable if and only if the following two conditions are both satisfied, and the realizable sym-SRE phase must be an SPT.

$$(1) \quad \alpha^N \simeq \mathbf{1} \in H^2(G, U(1))$$

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The first condition is well anticipated: in the enlarged unit cell one needs to have regular representation, otherwise HOSM forbids sym-SRE phases.

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The second condition is not obvious from physical point of view.

e.g.:  $N=2$  and  $G_1 = \tilde{Z}_2$ ,  $\alpha$  is the projective rep. of  $G = \tilde{Z}_2 \times Z_2$ , like a spin-1/2.

Then (1) is satisfied but (2) is violated. sym-SRE phase is impossible.

# New HOSLM-type constraint

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even when the HOSLM is silent, there is a new constraint forbidding sym-SRE

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Applications: N=2 and  $G_1 = Z_2^T$ : time-reversal

- (a) One Kramer doublet per unit cell  $\rightarrow$  SPT in which Ising defect is Kramer
- (b) One non-Kramer doublet per unit cell  $\rightarrow$  Levin-Gu  $Z_2$  SPT.

We also provide exactly solvable decorated quantum dimer models realizing these symmetry-enforced SPTs.

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(similar to arguments by Lu/Ran/Oshikawa and Wu/Ho/Lu 2017.)
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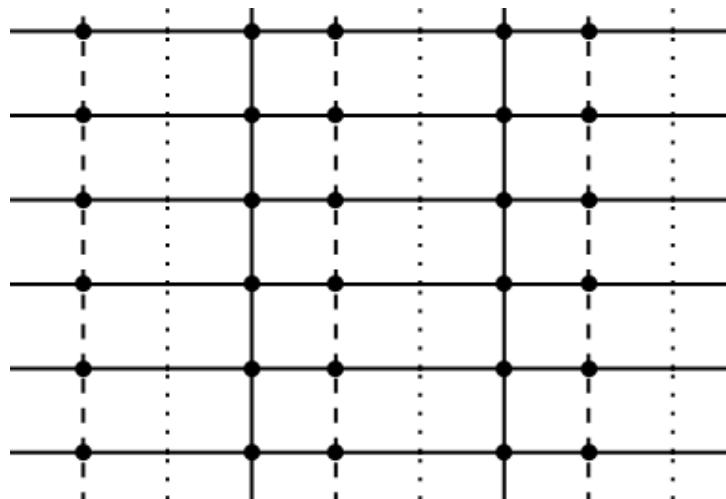
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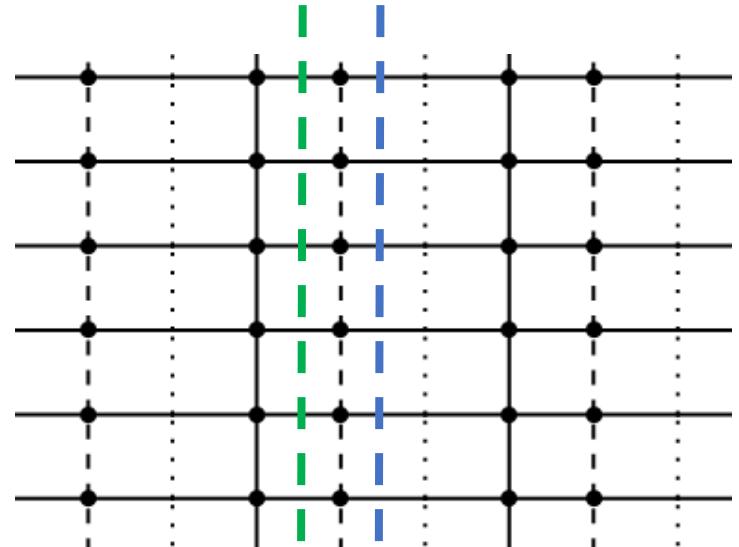
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- In a sym-SRE state, the proj. rep. of entanglement eigenstates at a given cut is fixed.

Green cut and blue cut differ by a projective rep.  $\alpha$



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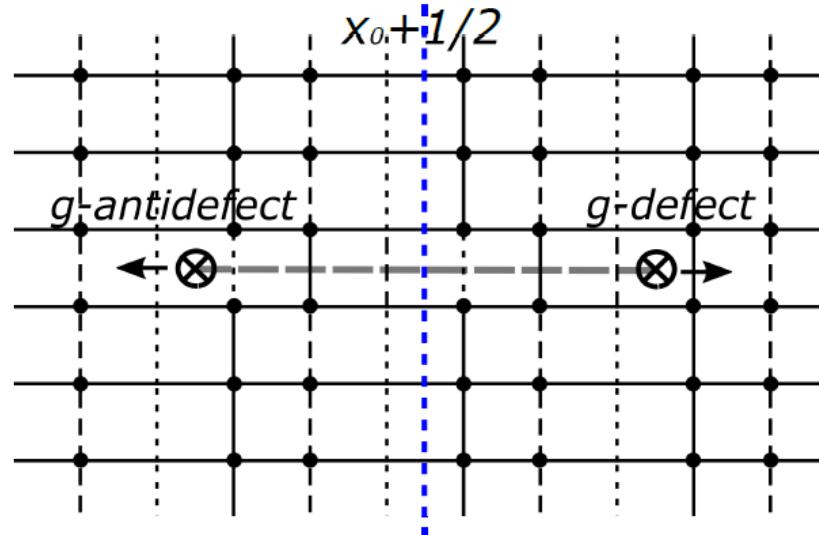
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Theorem in physics language: a sym-SRE phase is realizable **if and only if** its  $g$ -symmetry-defect carries the projective representation  $\alpha$ .

**“only if” part: entanglement pumping:**

Long cylinder sample with  $L_y = k \cdot N + 1$ .

- In a sym-SRE state, the proj. rep. of entanglement eigenstates at a given cut is fixed.
- After separating one pair of defects per row, the net effect is  $T_x^{orig}$ .  
→ The  $g$ -defect must carry  $\alpha$ .



# Sketch of the proof

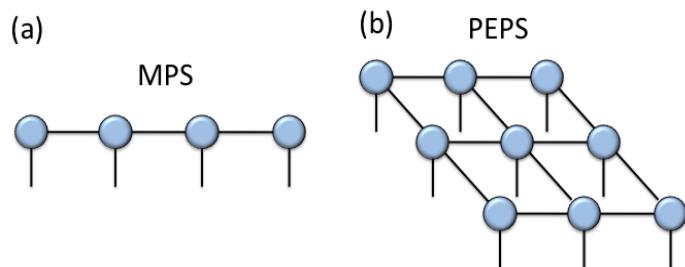
Consider 2+1D bosonic systems with an onsite symmetry group  $G$ , having one projective representation  $\alpha$  of  $G$  per unit cell:  $U_a {}^a U_b = \alpha(a, b) U_{ab}$  and respecting magnetic translation symmetry:

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“if” part: symmetric tensor-network construction

## 1D-MPS, 2D-PEPS, and 3D generalizations



figures from R. Orus,  
Annals Phys. (2014)

Cirac, Verstraete, Vidal, Gu, Levin, Wen, White, Xiang....

# A brief introduction to tensor-networks

tensor

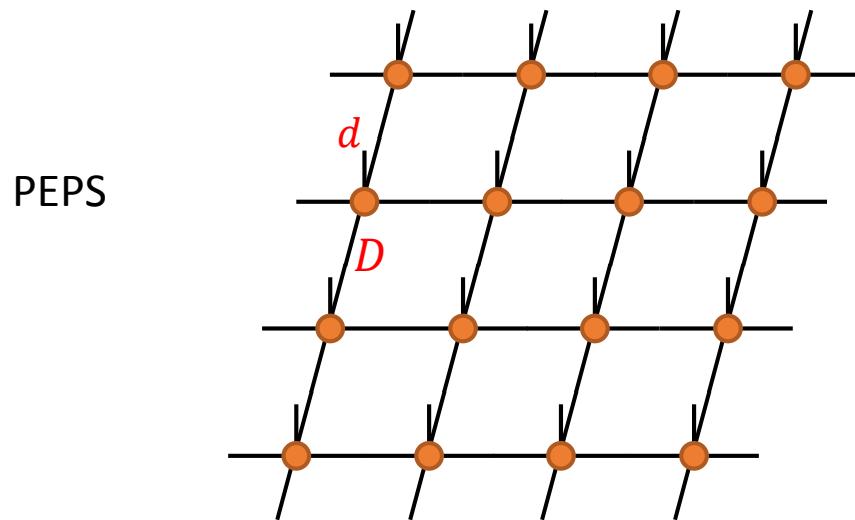
A diagram showing a central orange circle labeled 'A'. Five lines extend from it: one vertical line labeled 'i', two diagonal lines labeled 'beta' and 'gamma', and two horizontal lines labeled 'alpha' and 'delta'.

$$= A_{\alpha\beta\gamma\delta}^i \sim \sum A_{\alpha\beta\gamma\delta}^i |i\rangle \otimes |\alpha\beta\gamma\delta\rangle$$

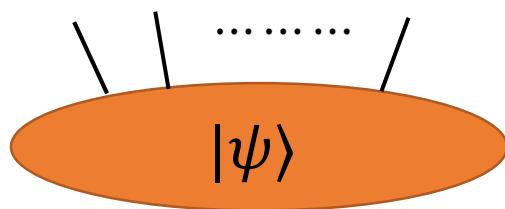
tensor  
contraction

A diagram showing two tensors, A and B. Tensor A has indices i, beta, gamma, alpha, delta. Tensor B has indices i', beta', gamma', delta'. The beta and gamma indices are connected between the two tensors, indicating they are contracted. The resulting expression is given as a sum over gamma of the product of the tensors A and B at their contracted indices.

$$= \sum_{\gamma} A_{\alpha\beta\gamma\delta}^i \cdot B_{\gamma\beta'\gamma'\delta'}^{i'}$$



$$|\psi\rangle = \sum_{\{i\}} c_{i_1 i_2 \dots i_n} |i_1, i_2, \dots, i_n\rangle$$



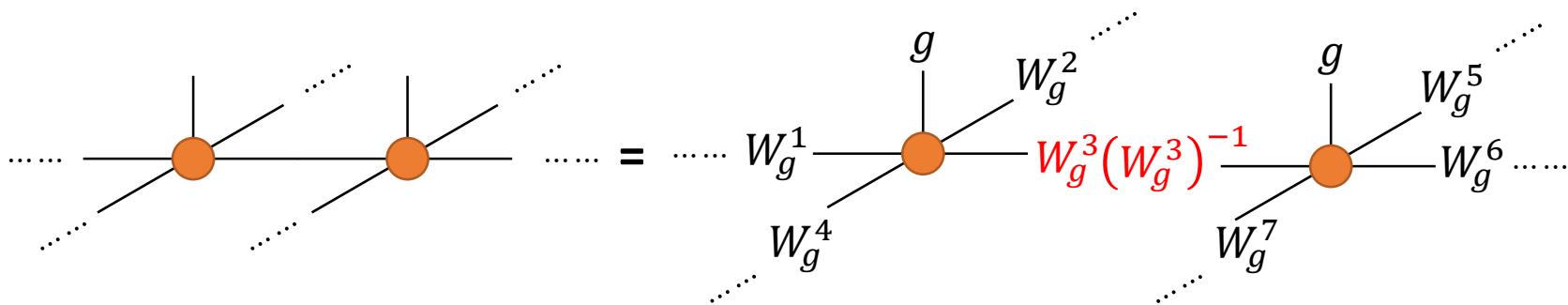
# Symmetric tensor-networks

$$|\psi\rangle = g|\psi\rangle$$

global symmetries on  
physical wavefunctions

$\sim$

gauge transformation on  
internal legs



$$TN = W_g g \circ TN$$

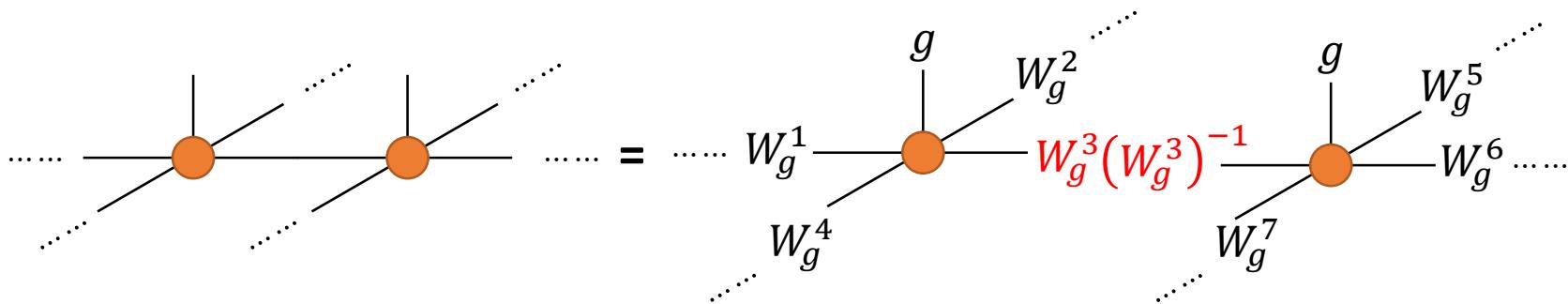
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$$TN = W_g g \circ TN$$

Jiang&YR, 2015, 2016

The consistency algebraic conditions for  $W_g$  can characterize SPT phases.  
(mathematically: crossed-module extension)  
Main advantage: onsite symmetry and spatial symmetry are treated on same footing.

# Sketch of the proof

Consider 2+1D bosonic systems with an onsite symmetry group  $G$ , having one projective representation  $\alpha$  of  $G$  per unit cell:  $U_a {}^a U_b = \alpha(a, b) U_{ab}$  and respecting magnetic translation symmetry:

$$T_x T_y T_x^{-1} T_y^{-1} = g \quad g \in \text{Center of } G$$

Theorem in physics language: a sym-SRE phase is realizable if and only if its  $g$ -symmetry-defect carries the projective representation  $\alpha$ .

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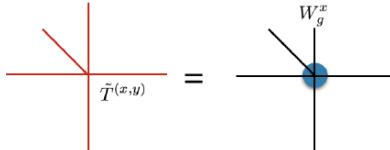
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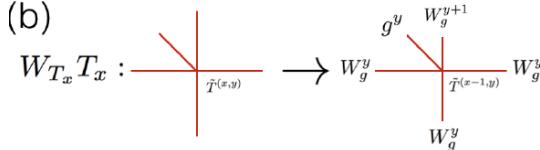
Given a symmetric tensor-network SPT state with a regular representation per unit cell and Respecting the usual translation symmetry, and its  $g$ -defect carries projective representation  $\alpha$ ,

there is a prescription to modify it into the tensor-network SPT state with a projective representation  $\alpha$  per unit cell and respecting the magnetic translation symmetry.

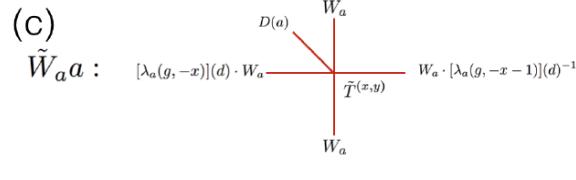
(a)



(b)



(c)



# The question

Consider 2+1D bosonic systems with an onsite symmetry group  $G$ , having one projective representation  $\alpha$  of  $G$  per unit cell:  $U_a {}^a U_b = \alpha(a, b) U_{ab}$  and respecting magnetic translation symmetry:

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We ask the following question:

Is a gapped SRE liquid (sym-SRE) phase possible? If the answer is yes, what kinds of sym-SRE phases are realizable?

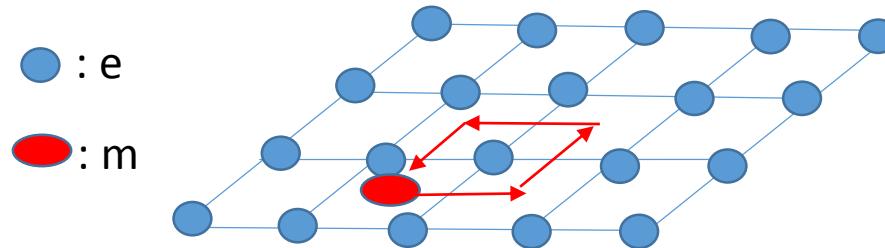
## PLAN:

1. Main results: a theorem
2. A corollary: new HOSLM-type constraint
3. Sketch of the proof
4. Model realizations (A simple model realizing SPT phase)

# Another picture of symmetry-enforced SPT

Let us consider a familiar situation:  $G=SO(3)$

A Z2 (toric-code topological order) spin liquid with a spin-1/2 per unit cell respecting **regular translation** symm.



Quite generically: (Cheng, Zaletel, Barkeshli, Vishwanath, Bonderson, 2015)

the spinon e carries the spin-1/2,

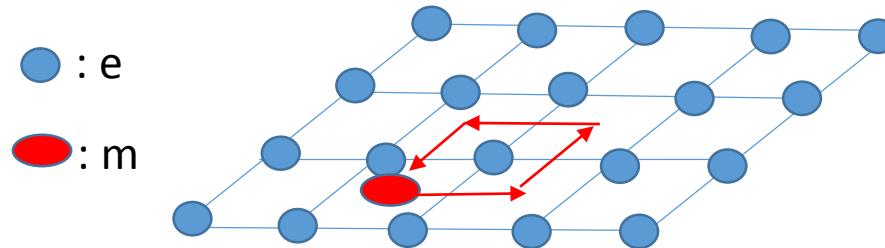
the vison m has **nontrivial translation symmetry fractionalization**:

$$T_x T_y T_x^{-1} T_y^{-1}[m] = -1$$

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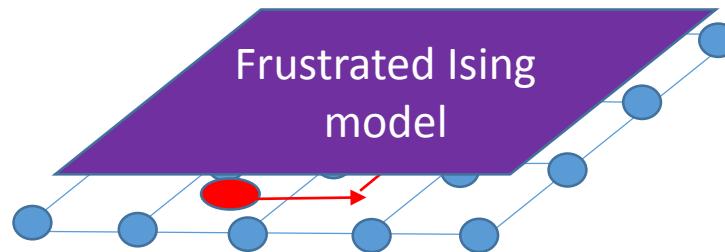
Consistent with HOSM, in order to kill the topological order,  
there is no way to condense either e or m without breaking symmetry

# Another picture of symmetry-enforced SPT

What if  $G=SO(3) \times$  Ising ?

A Z2 (toric-code topological order) spin liquid with a spin-1/2 per unit cell respecting **Ising magnetic translation** symm:

$$T_x T_y T_x^{-1} T_y^{-1} = \text{Ising}$$



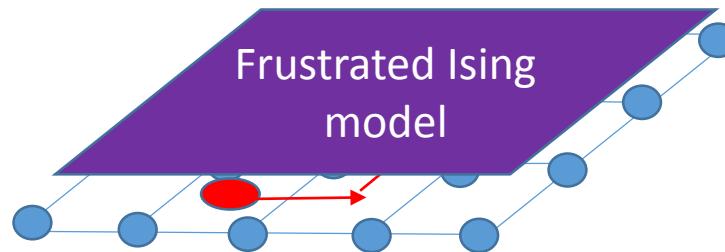
e.g., the previous Z2 spin liquid stacked with a layer of frustrated Ising model

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But the vison m can have trivial symmetry fractionalization:

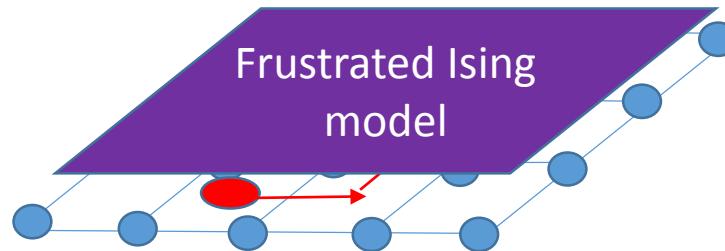
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Condensing such Ising-odd vison does not break physical symmetry and kills topological order  
The resulting sym-SRE phase MUST be an SPT. (anyon-condensation mechanism, Jiang&YR 2016 )

# The anyon condensation mechanism to obtain SPT



- Gauge group:  $Z_{N_1} \times Z_{N_2} \times \dots$  & symmetry group:  $SG$
- e-particles feature nontrivial symmetry fractionalization
- m-particles have trivial fractionalization, but can carry usual quantum numbers

Jiang&YR, 2016

$$\Omega_{g_1} \cdot \Omega_{g_2} = \lambda(g_1, g_2) \cdot \Omega_{g_1 g_2} \quad \Omega_g \sim \text{symmetry defect}, \quad \lambda \sim \text{certain } m \text{ particle}$$

- Condensing m-particles without breaking symmetry, which requires:

1. Condensed  $m$ 's carry 1D symmetry irrep:  $\chi_m(g)$
2.  $\chi_m(g) \cdot \chi_{m'}(g) = \chi_{mm'}(g)$

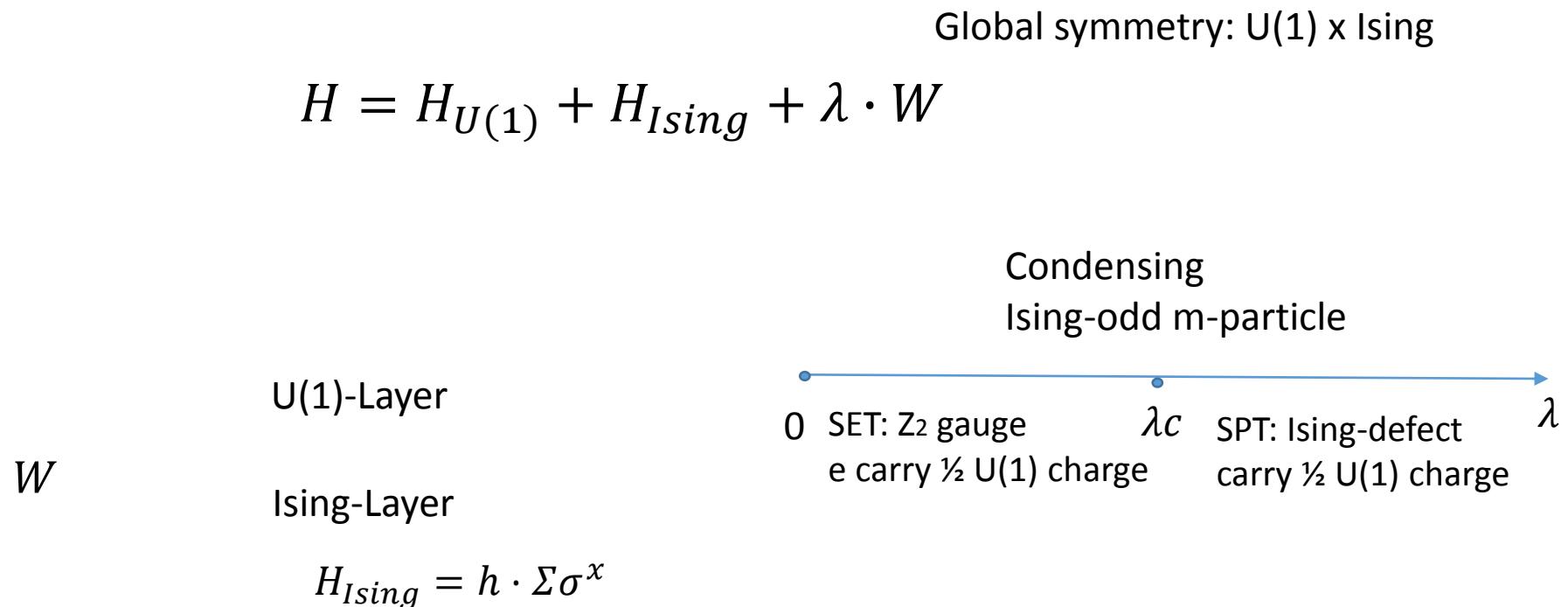
These results are also obtained by symmetric tensor-network formulation

- After condensing those  $m$ 's, we get an sym-SRE phase with

$$\omega(g_1, g_2, g_3) \equiv \chi_{\lambda(g_2, g_3)}(g_1), \quad [\omega] \in H^3(SG, U(1))$$

# A somewhat simple model realizing symm-enforced SPT

- Following the anyon-condensation mechanism, we can design somewhat simple models realizing bosonic SPT phases. (need 3-spin interactions)
- The model looks like this:

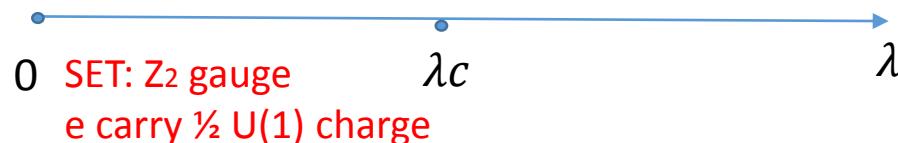


# A somewhat simple model realizing symm-enforced SPT

Global symmetry:  $U(1) \times \text{Ising}$

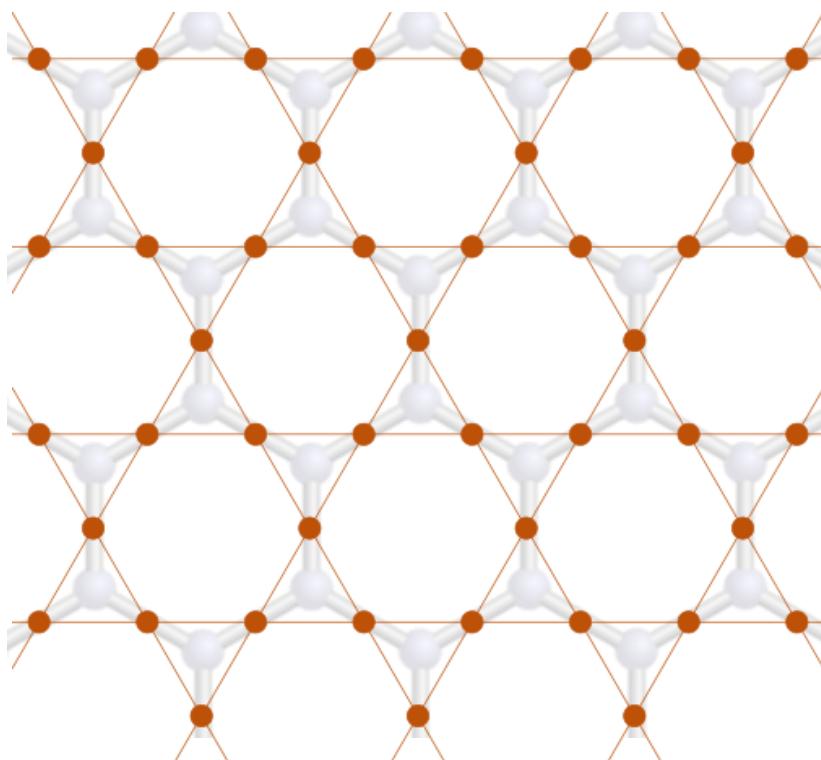
$$H = H_{U(1)} + H_{\text{Ising}} + \lambda \cdot W$$

**U(1)-layer:** Half-filled hard-core bosons  
on the **kagome lattice**



$$\begin{aligned} H_{U(1)} = & -t \sum b_i^+ b_j + V_1 \sum n_i n_j \\ & + V_2 \sum n_i n_j + V_3 \sum n_i n_j \end{aligned}$$

$$t \ll V_1 = V_2 = V_3 = V$$



In this regime,  $H_{U(1)}$  is in a deconfined  $Z_2$  spin liquid phase:  
e-particle carries  $\frac{1}{2}$   $U(1)$ -charge.  
(Balents,Fisher,Girvin 2001)

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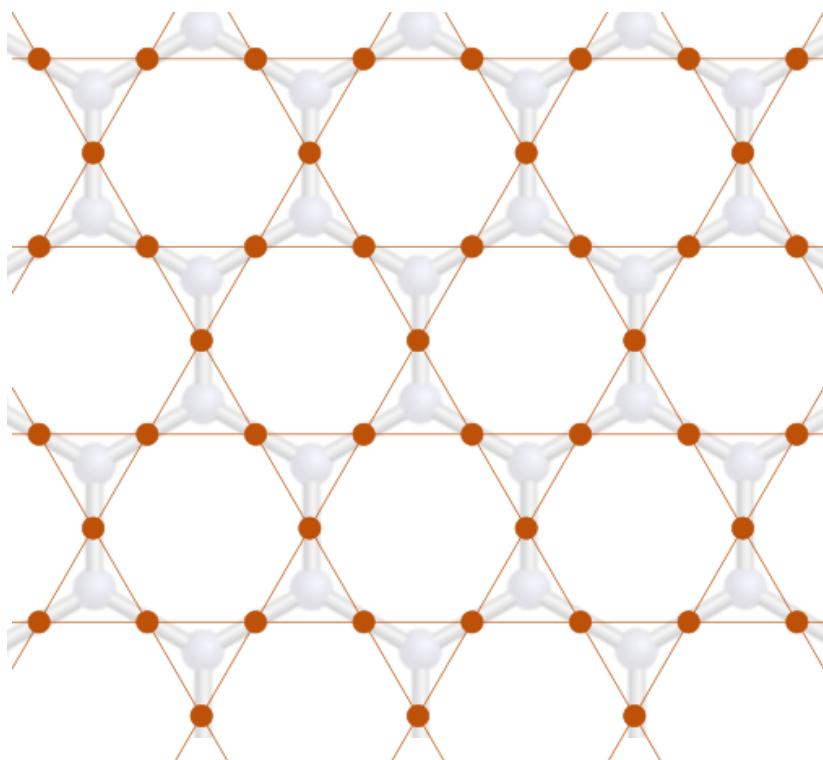
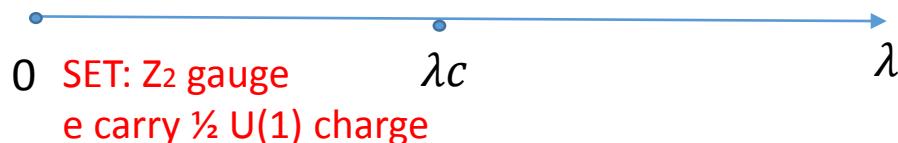
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Ising-layer: transverse field Ising spins  
on the honeycomb lattice

$$H_{\text{Ising}} = h \cdot \sum \sigma^x$$

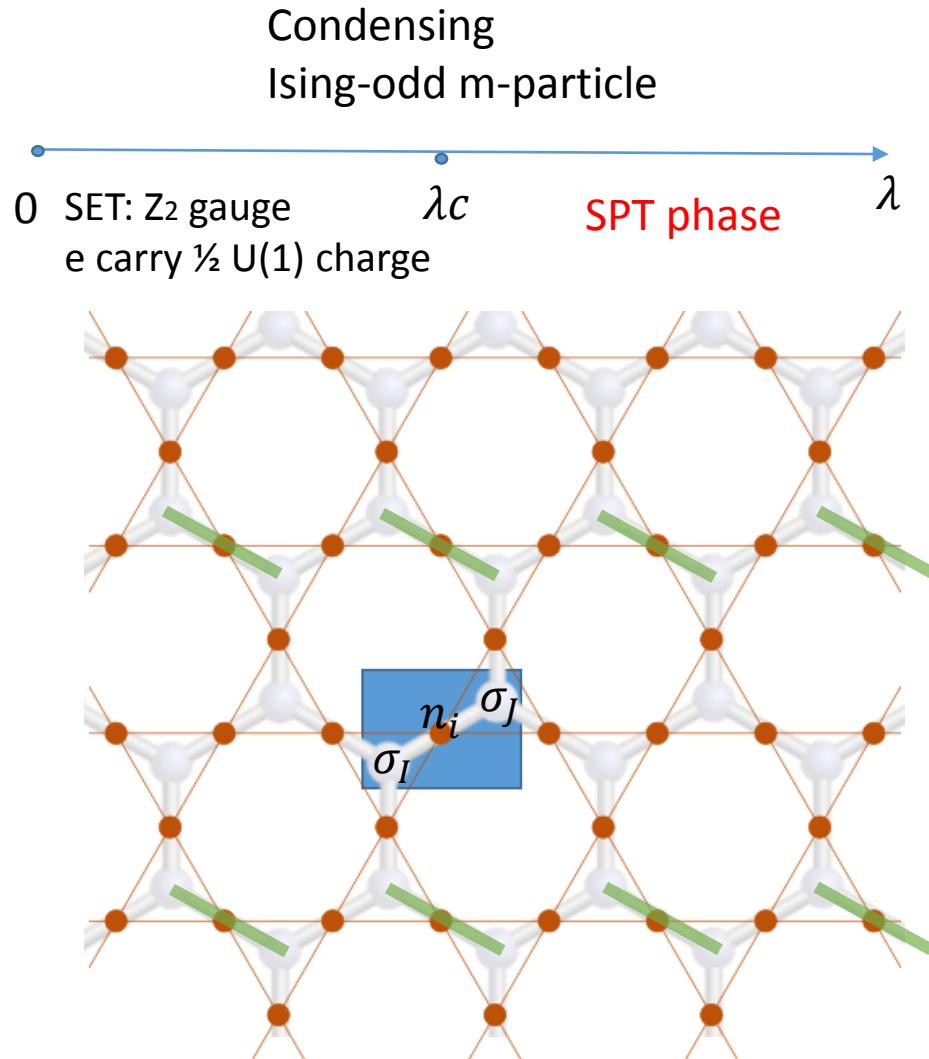
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$\lambda \cdot W$ : 3-spin interaction coupling two layers

$$\lambda \cdot W = \lambda \cdot \sum (n_i - 1/2) \cdot (s_{IJ} \sigma_I^z \sigma_J^z)$$

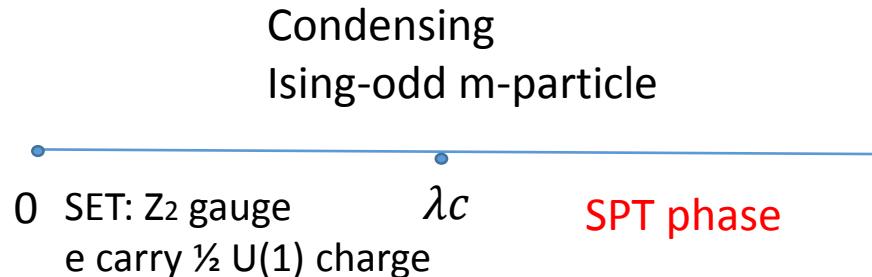
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Ising magnetic translation symmetric

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Ising magnetic translation symmetric

One can analytically show:  
SPT phase is realized when  
 $t, h \ll \lambda \ll V$  and  $\frac{t}{V} \ll \frac{h^2}{\lambda^2}$

# Summary

- For 2+1D bosonic systems with proj. rep. per unit cell respecting magnetic translation symmetry, we give sufficient and necessary condition for a sym-SRE phase to exist.
- If such sym-SRE phase exist, it must be SPT (symmetry-enforced SPT). All realizable SPT phases form a coset structure.
- Sometimes such sym-SRE does not exist due to nonobvious reason:  
new HOSLM-type constraint
- Simple Model realizations of SPT (via anyon condensation mechanism)

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Thank you!